





Course Code: BBL112	Course Title: Entrepreneurship and new business development			L- P- C	4	0	4
	Type of Course: Liberal/ Core Theory						
Version No.	1.0						
Course Pre-requisites	-NIL-						
Anti-requisites	-Nil-						
Course Description	This course aims to impart knowledge about the principles and methods of management and their applications. It also enables the students to understand the powers and responsibilities of auditors and also the valuation of assets and liabilities in the management process of various business organizations.						
Course Objective	The objective of the course is to familiarize the learners with the concepts of Entrepreneurship and new business development and attain Entrepreneurship through Experiential techniques.						
Course Outcome	On successful completion of the course the students shall be able to: CO 1: Recognize the basic concepts of Management. CO 2: Discuss the meaning and objectives of internal check & internal control CO 3: Explain the Importance of audit programme CO 4: Show the procedure of appointment and removal of auditor.						
Course Content:							
Module 1	Introduction to Entrepreneurs hip	Comprehension	Group discussion	08 Sessions			
Topics: Introduction, Concept of Entrepreneur, Entrepreneurship and Enterprise, Definition of Entrepreneurship, Objectives of Entrepreneurship Development, Phases of Entrepreneurship Development, Role of Entrepreneurship,							
Module 2	Entrepreneurship Development Skills	Comprehension	Case Analysis	10Sessions			
Topics: Meaning of Entrepreneurship skill, Types of Entrepreneurship Skills: Business management skills, Teamwork and leadership skills, Communication and listening, Customer service skills, Financial skills, Analytical and problem-solving skills, Critical thinking skills, Strategic thinking and planning skills, Technical skills.							
Module 3	Depreciation and Reserve	Comprehension	Group discussion	08Sessions			
Topics: The Entrepreneurial Mindset, Characteristics of Entrepreneurship, Traits of Entrepreneurship, Introduction to Entrepreneurship Skills							
Module 4	Appointment of Auditors	Comprehension	Case Analysis	10Sessions			

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Topics: Time management and organizational skills, Branding, marketing and networking skills , How to improve entrepreneurial skills, Entrepreneurial skills in the workplace, Entrepreneurial Imagination And Creativity	
Targeted Application (Business, Managerial and General communication & Tools that can be used: As a Management professional (Accountant, Auditor, CA, ICWA) MS Excel	
Assignment/Project Work: Article Review, Group Discussion, Case Analysis, Participative Learning and Self Learning	
Text Book 1. Tandom. B.N. Sudharsanam S. Sundhara babu, <i>Handbook of Practical Management</i> S. Chand 2. P N Reddy & Appannaiah, <i>Management</i>	
References https://www.wirc-icai.org/images/material/Case-Study-Opportunity-FA.pdf Ravinder Kumar, Virendra . <i>Management. Principles and Practice</i> , PHI	
E Book collection https://pqc.icai.org/assets/announcement_files/1550139967.pdf	
PU e-Resources: https://puniversity.informaticsglobal.com:2293/insight/publication/doi/10.1108/9781787434134	
Topics relevant to development of ‘ENTREPRENEURSHIP SKILLS: Recent trends in Management: Nature & Significance of tax audit – Cost Audit – Management Audit.	
Catalogue prepared by	Prof. Chetna Rath
Recommended by the Board of Studies on	13 th BOS 22 nd July 2022
Date of Approval by the Academic Council	5 th September 2022



PRESIDENCY UNIVERSITY

(Established under the Presidency University Act, 2013 of the Karnataka Act 41 of 2013)

ACA-2[2019] COURSE HANDOUT

SCHOOL: LAW **DEPT:** School of Law **DATE OF ISSUE:** 5th September, 2022

NAME OF THE PROGRAM: BBA LL.B (Hons.)

P.R.C. APPROVAL REF.: PU/AC18.14/SOL13/BBL/2022- 27

SEMESTER/YEAR: II Sem / 1st Year

COURSE TITLE & CODE: Entrepreneurship and New Business Development, BBL 112

COURSE CREDIT STRUCTURE: 4-0-4

CONTACT HOURS: As per the timetable

COURSE INSTRUCTOR: Prof. Chetna Rath

1. PROGRAM OUTCOMES:

PO-1 Ability to apply the fundamental concept of Humanities, Commerce and Management to legal problems

PO-2 Ability to develop critical thinking skill

PO-3 Ability to identify, analyze and solve legal problem with professional ethics and integrity

PO-4 Ability to conduct dispute resolution with professional ethics and integrity

PO-5 Ability to draft professional legal writing along with effective oral communication

PO-6 Ability to apply legal theory to factual settings

PO- 7 Ability to apply legal theory to engage in legal argumentation

PO-8 Ability to conduct independent legal research specific to the case

PO- 9 Ability to conduct client services with necessary usage of technological tools

PO-10 Recognition of the need for engaging in lifelong learning

PO-11 Exhibit social responsibility adhering to ethical and moral values

PO -12 Ability to adapt knowledge of contemporary issues

PO- 13 Ability to function in multidisciplinary team

Chetna Rath
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2. COURSE PREREQUISITES: NIL

3. COURSE DESCRIPTION:

This course aims to impart knowledge about the principles and methods of management and their applications. It also enables the students to understand the powers and responsibilities of auditors and also the valuation of assets and liabilities in the management process of various business organizations.

COURSE OBJECTIVE:

The objective of the course is to familiarize the learners with the concepts of Entrepreneurship and new business development and attain Entrepreneurship through Experiential techniques.

4. COURSE OUTCOMES:

On successful completion of the course the students shall be able to:

CO 1: Recognize the basic concepts of Management.

CO 2: Discuss the meaning and objectives of internal check & internal control

CO 3: Explain the Importance of audit programme

CO 4: Show the procedure of appointment and removal of auditor.

5. MAPPING OF C.O. WITH P.O. [H-HIGH, M- MODERATE, L-LOW]

C.O.NO.	P.O - 01	P.O - 07	P.O.9	P.O.10	P.O.12	P.O.13
CO1	M			H		
CO2	H			L		
CO3		L				H
CO4			L		H	

6. COURSE CONTENT (SYLLABUS):

MODULE I: INTRODUCTION TO ENTREPRENEURSHIP

CO1 Knowledge 15 hours

Introduction, concept of entrepreneur, entrepreneurship and enterprise, definition of Entrepreneurship, Objectives of Entrepreneurship Development, Phases of Entrepreneurship, Development, Role of Entrepreneurship

MODULE II: ENTREPRENEURSHIP DEVELOPMENT SKILLS

CO2 Comprehensive 12 hours

Meaning of Entrepreneurship skill, Types of Entrepreneurship Skills: Business management skills, Teamwork and leadership skills, Communication and listening, Customer service skills, Financial skills, Analytical and problem-solving skills, Critical thinking skills, Strategic thinking and planning skills, Technical skills.

MODULE III: DEPRECIATION AND RESERVE

CO3 Comprehensive 12 hours

The Entrepreneurial Mindset, Characteristics of Entrepreneurship, Traits of Entrepreneurship, Introduction to Entrepreneurship Skills

MODULE IV: APPOINTMENT OF AUDITORS

CO4 Comprehensive 12 hours

Time management and organizational skills, Branding, marketing and networking skills , How to improve entrepreneurial skills, Entrepreneurial skills in the workplace, Entrepreneurial Imagination And Creativity

7. DELIVERY PROCEDURE (PEDAGOGY):

The teaching method for course will include lecture and discussion methods. Class presentations and Class participation will make lectures more interactive. Course objectives and the evaluation process also motivates the methodology adopted.

Essential readings in each module will be circulated to the students prior to the commencement of each module. Students are expected to read the circulated material before the topic is discussed in the classroom.

SELF-LEARNING TOPICS:

Sl. No.	Self-Study Topic (will be part of assessment)	Source
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1.	Role of Entrepreneurship	Material will be sent through E-mail/Editch
2.	Time management and organizational skills	Material will be sent through E-mail/Editch

8. REFERENCE MATERIALS: Textbooks, reference books, any other resources, like webpages.

Text Books:

1. Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
2. P N Reddy & Appannaiah, Management

Reference Books:

1. Forensic Audit, CA Vikas Kumar, <https://www.wirc-icai.org/images/material/Case-Study-Opportunity-FA.pdf>
2. Ravinder Kumar, Virendra . Management. Principles and Practice , PHI
3. Data Analysis for Auditors, The institute of Chartered Accountants of India, https://pqc.icai.org/assets/announcement_files/1550139967.pdf.

E-resources

1. “Entrepreneurship Development.” Economic and Political Weekly 28, no. 32/33 (1993): 1626–1626. <http://www.jstor.org/stable/4400012>.
2. Mathew, P. M. “Integrated Entrepreneurship Development Programmes.” Economic and Political Weekly 23, no. 40 (1988): 2074–76. <http://www.jstor.org/stable/4379122>.
3. Leibenstein, Harvey. “Entrepreneurship and Development.” *The American Economic Review* 58, no. 2 (1968): 72–83. <http://www.jstor.org/stable/1831799>.

9. GUIDELINES TO STUDENTS:

- a. Do all of the reading assigned for your course.
- b. Pay attention and participate in the class.





10. COURSE SCHEDULE:

Sl. No.	ACTIVITY	STARTING DATE	CONCLUDING DATE	TOTAL NUMBER OF PERIODS
01	Over View of the course	12 th September, 2022	12 th September, 2022	1
02	Module : 01	13 th September, 2022	26 th October, 2022	15
02	Module: 02	27 th October, 2022	15 th November 2022	12
04	Assignment/any other activity/Guest Lecture/ Field Visit			
05	Mid-Term	3 rd November, 2022	9 th November, 2022	
06	Module:03	16 th November, 2022	30 th November, 2022	12
07	Module:04	1 st December, 2022	20 th December, 2022	12

11. SCHEDULE OF INSTRUCTIONS:

Session	Date	Lesson Title	Topics	Course Outcome Number	Delivery Mode	Reference
1.	12 th September, 2022		Introduction			Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
2.	13 th September, 2022	Introduction to Entrepreneurship	Concept of Entrepreneur	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical


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						Management S. Chand
3.	14 th September, 2022	Introduction to Entrepreneurship	Entrepreneurship and Enterprise	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
4.	15 th September, 2022	Introduction to Entrepreneurship	Entrepreneurship and Enterprise	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
5.	16 th September, 2022	Introduction to Entrepreneurship	Definition of Entrepreneurship	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
6.	19 th September, 2022	Introduction to Entrepreneurship	Definition of Entrepreneurship	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
7.	20 th September, 2022	Introduction to Entrepreneurship	Objectives of Entrepreneurship Development	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical

						Management S. Chand
8.	21 st September, 2022	Introduction to Entrepreneurship	Objectives of Entrepreneurship Development	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
9.	22 nd September, 2022	Introduction to Entrepreneurship	Phases of Entrepreneurship	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
10.	23 rd September, 2022	Introduction to Entrepreneurship	Phases of Entrepreneurship	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
11.	26 th September, 2022	Introduction to Entrepreneurship	Phases of Entrepreneurship	CO1	Discussions	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
12.	27 th September, 2022	Introduction to Entrepreneurship	Role of Entrepreneurship,	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical

						Management S. Chand
13.	28 th September, 2022	Introduction to Entrepreneurship	Role of Entrepreneurship,	CO1	Discussion	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
14.	29 th September, 2022	Introduction to Entrepreneurship,	Role of Entrepreneurship,	CO1	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
15.	30 th September, 2022	Entrepreneurship Development Skills	Meaning of Entrepreneurship skill	CO2	Lecture	P N Reddy & Appannaiah, Management
16.	7 th October, 2022	Entrepreneurship Development Skills	Meaning of Entrepreneurship skill	CO2	Lecture	P N Reddy & Appannaiah, Management
17.	10 th October, 2022	Entrepreneurship Development Skills	Types of Entrepreneurship Skills	CO2	Lecture	P N Reddy & Appannaiah, Management
18.	11 th October, 2022	Entrepreneurship Development Skills	Types of Entrepreneurship Skills	CO2	Lecture	P N Reddy & Appannaiah, Management

19.	12 th October, 2022	Entrepreneurship Development Skills	Business management skills	CO2	Discussion	P N Reddy &Appannaiah, Management
20.	14 th October, 2022	Entrepreneurship Development Skills	Business management skills	CO2	Lecture	P N Reddy &Appannaiah, Management
21.	17 th October, 2022	Entrepreneurship Development Skills	Business management skills	CO2	Lecture	P N Reddy &Appannaiah, Management
22.	18 th October, 2022	Entrepreneurship Development Skills	Teamwork and leadership skills	CO2	Lecture	P N Reddy &Appannaiah, Management
23.	19 th October, 2022	Entrepreneurship Development Skills	Teamwork and leadership skills	CO2	Lecture	P N Reddy &Appannaiah, Management
24.	21 st October, 2022	Entrepreneurship Development Skills	Communication and listening	CO2	Lecture	P N Reddy &Appannaiah, Management
25.	28 th October, 2022	Entrepreneurship Development Skills	Communication and listening	CO2	Lecture	P N Reddy &Appannaiah, Management
26.	31 st October, 2022	Entrepreneurship Development Skills	Customer service skills	CO2	Lecture	P N Reddy &Appannaiah, Management
27.	2 nd November, 2022	Entrepreneurship Development Skills	Financial Skills	CO2	Lecture	P N Reddy &Appannaiah, Management
28.	3 rd November- 9 th November, 2022	MID SEMESTER EXAMS	MID SEMESTER EXAMS			
29.	10 th November, 2022	Entrepreneurship Development Skills	Analytical and problem-solving skill	CO2	Lecture	P N Reddy &Appannaiah, Management

30.	11 th November, 2022	Entrepreneurship Development Skills	Analytical and problem-solving skill	CO2	Lecture	P N Reddy &Appannaiah, Management
31.	11 th November, 2022	Entrepreneurship Development Skills	Critical thinking skill	CO2	Lecture	P N Reddy &Appannaiah, Management
32.	14 th November, 2022	Entrepreneurship Development Skills	Critical thinking skill	CO2	Lecture	P N Reddy &Appannaiah, Management
33.	15 th November, 2022	Entrepreneurship Development Skills	Strategic thinking and planning skills	CO2	Lecture	P N Reddy &Appannaiah, Management
34.	16 th November, 2022	Entrepreneurship Development Skills skills	Strategic thinking and planning skills	CO2	Lecture	P N Reddy &Appannaiah, Management
35.	17 th November, 2022	Entrepreneurship Development Skills	Technical skills.	CO2	Discussion	P N Reddy &Appannaiah, Management
36.	18 th November, 2022	Entrepreneurial Mindset	The Entrepreneurial Mindset	CO3	Lecture	Ravinder Kumar, Virendra Management. Principles and Practice , PHI
37.	21 st November, 2022	Entrepreneurial Mindset	Characteristics of Entrepreneurship	CO3	Lecture	Ravinder Kumar, Virendra Management. Principles and Practice , PHI
38.	22 nd November, 2022	Entrepreneurial Mindset	Traits of Entrepreneurship	CO3	Lecture	Ravinder Kumar, Virendra Management. Principles and Practice , PHI

39.	23 rd November, 2022	Entrepreneurial Mindset	Traits of Entrepreneurship	CO3	Lecture	Ravinder Kumar, Virendra Management. Principles and Practice , PHI
40.	25 th November, 2022	Entrepreneurial Mindset	Introduction to Entrepreneurship Skills	CO3	Lecture	Ravinder Kumar, Virendra Management. Principles and Practice , PHI
41.	28 th November, 2022	Entrepreneurial Mindset	Introduction to Entrepreneurship Skills	CO3	Lecture	Ravinder Kumar, Virendra Management. Principles and Practice , PHI
42.	29 th November, 2022	Time Management skills	Time management and organizational skills	CO4	Case study	“Entrepreneurship Development.” Economic and Political Weekly 28, no. 32/33 (1993): 1626– 1626. http://www.jstor.org/stable/4400012 .
43.	30 th November, 2022	Time Management skills	Branding, marketing and networking skills	CO4	Lecture	“Entrepreneurship Development.” Economic and Political Weekly 28, no. 32/33 (1993): 1626– 1626. http://www.jstor.org/stable/4400012 .
44.	2 nd December, 2022	Time Management skills	How to improve entrepreneurial skills	CO4	Lecture	“Entrepreneurship Development.” Economic and Political Weekly 28, no. 32/33 (1993): 1626– 1626. http://www.jstor.org/stable/4400012 .

45.	5 th December, 2022	Time Management skills	How to improve entrepreneurial skills	CO4	Lecture	Mathew, P. M. “Integrated Entrepreneurship Development Programmes.” Economic and Political Weekly 23, no. 40 (1988): 2074–76. http://www.jstor.org/stable/4379122 .
46.	6 th December, 2022	Time Management skills	How to improve entrepreneurial skills	CO4	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
47.	7 th December, 2022	Time Management skills	Entrepreneurial skills in the workplace	CO4	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
48.	9 th December, 2022	Time Management skills	Entrepreneurial skills in the workplace	CO4	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand

49.	12 th December, 2022	Time Management skills	Entrepreneurial Imagination And Creativity	CO4	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
50.	13 th December, 2022	Time Management skills	Entrepreneurial Imagination And Creativity	CO4	Lecture	Tandom. B.N. Sudharsanam S. Sundhara babu, Handbook of Practical Management S. Chand
51.	14 th December, 2022	REVISION	REVISION			
52.	16 th December, 2022	REVISION	REVISION			
53.	19 th December, 2022	REVISION	REVISION			
54.	20 th December, 2022	REVISION	CLASS PRESENTATION			
55.	21 st December, 2022	CLASS PRESENTATION	CLASS PRESENTATION			
56.	23 rd December, 2022	CLASS PRESENTATION	CLASS PRESENTATION			
57.	26 th December, 2022	CLASS PRESENTATION	ASSIGNMENT			
58.	27 th December, 2022	ASSIGNMENT	ASSIGNMENT			
59.	28 th December, 2022	ASSIGNMENT	REMEDIAL CLASS			
60.	30 th December, 2022	REMEDIAL CLASS	REMEDIAL CLASS			

12. ASSESSMENT SCHEDULE: Topics relevant to “ENTREPRENEURSHIP SKILLS”:
 Entrepreneurship Skills, marketing and networking skills for developing Entrepreneurship Skills through Experiential techniques. This is attained through the Assignment as mentioned in the assessment component.

Sl.no	Assessment type[Include here assessment method for self-learning component also]	Contents	Course outcome Number	Duration In Hours	marks	weightage	Venue, DATE &TIME
1.	Research paper writing	Any Module	CO1,2, 3, 4, 5, 6	15 th September, 2022- 7 th December, 2022	20	40%	7 th December, 2022
2.	E Resource ASSIGNMENT- Review of digital/e-resource from Presidency University. Link given in the reference section (Mandatory to submit the screenshot accessing digital resource, otherwise it will not be evaluated.)	Leibenstein, Harvey. “Entrepreneurship and Development.” <i>The American Economic Review</i> 58, no. 2 (1968): 72–83. http://www.jstor.org/stable/1831799 .	CO1,2, 3, 4, 5, 6	Self	10	5%	7th December, 2022
3.	Presentation & Viva	Research paper	CO1,2, 3, 4, 5, 6	To be notified	20	10%	
4.	Mid Term	Module 1 & 2	CO1, 2	To be notified	60	50%	3 rd November- 9 th November, 2022
5.	End Term Examination	All Modules	CO 1, 2, 3, 4	23 rd to 31 st January, 2023	80	50%	

13. COURSE CLEARANCE CRITERIA:


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As per University Regulations.

14. CONTACT TIMINGS IN THE CHAMBER FOR ANY DISCUSSIONS:

Students may visit the faculty in University working hours and / with prior appointment.

15. SAMPLE THOUGHT PROVOKING QUESTIONS:

SL NO	QUESTION	MARKS	COURSE OUTCOME NO.	BLOOM'S LEVEL
01	What are the programmes to support entrepreneurship development in a situation of high inflation?	10 M	CO1	Analysis
02	How can entrepreneurship be activated through anti-crisis interventionist economic policy programmes in a situation of high inflation?	10M	CO2	Analysis

16. TARGET SET FOR COURSE OUTCOME ATTAINMENT:

Sl.no	C.O. No.	Course Outcomes	Target set for attainment in percentage
01	CO1	Recognize the basic concepts of Management.	60%
02	CO2	Discuss the meaning and objectives of internal check & internal control	50%
03	CO3	Explain the Importance of audit programme	60%
04	CO4	Show the procedure of appointment and removal of auditor.	50%

Signature of the course Instructor

This course has been duly verified Approved by the D.A.C.

Signature of the Chairperson D.A.C

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Course Completion Remarks &Self-Assessment.*[This has to be filled after the completion of the course]*

[Please mention about the course coverage details w.r.t. the schedule prepared and implemented. Any specific suggestions to incorporate in the course content. Any Innovative practices followed and its experience. Any specific suggestions from the students about the content, Delivery, Evaluation etc.]

Sl. No.	Activity As listed in the course Schedule	Scheduled Completion Date	Actual Completion Date	Remarks

Any specific suggestion/Observations on content/coverage/pedagogical methods used etc.:

Course Outcome Attainment:

Sl. No.	C.O. No.	Course Outcomes	Target set for attainment in percentage	Actual C.O. Attainment In Percentage	Remarks on attainment & Measures to enhance the attainment
01	CO1				
02	CO2				
03					
04					

Name and signature of the Course Instructor:

D.A.C. observation and approval:


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GAIN MORE KNOWLEDGE
REACH GREATER HEIGHTS

PRESIDENCY UNIVERSITY

(Private University Estd. in Karnataka State by Act No.41 of 2013)

School of Law

Activity Report on Entrepreneurship and new business development Conducted

Details of Participative

Introduction:

As part of the assessment of **Entrepreneurship and new business development**, a **Participative** was employed. For the students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.), a Moot was conducted in the course of Entrepreneurship and new business development. The purpose of this exercise was to provide students with a practical understanding of Entrepreneurship and new business development and its scope with respect to the recent issues brought before the various forums. The exercise aimed to enhance students' analytical and communication skills while simulating real-world scenarios that they might encounter in their professional careers.

Objective:

The primary objectives of the arbitration exercise were as follows:

- To enable students to apply their knowledge to practical disputes.
- To enhance students' ability to construct persuasive arguments.
- To develop critical thinking and problem-solving skills in resolving disputes relating to <course title>.
- To improve their Employability abilities.

Participants:

- The exercise involved students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.) students of semester 7

Exercise Details:

Preparatory Phase: Before the exercise, students were introduced to the concept of topic involved in the assessment in class. They were provided with relevant study materials and case studies to analyze. Additionally, they were guided on the basic framework governing the area of Entrepreneurship and new business development.

Preparation and Research: Each group was given sufficient time to thoroughly investigate and prepare their respective arguments. Students were encouraged to research primary and secondary sources of data for the same.

Assessment Day: On the day of the exercise, the participants presented their assessment before a panel of mock Judges, which consisted of teachers.

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Registrar
BANGALORE

Sanna
Dean - SOL



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Award and Feedback: Following the presentations, the faculty provided feedback to each student, evaluating their performance based on their performance.

Conclusion:

Furthermore, the exercise allowed students to work collaboratively as a team, promoting teamwork and cooperation among them. The feedback provided by the panel of Judges proved invaluable, as it highlighted areas for improvement and offered constructive criticism.

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GPS MAP CAMERA

5G9M+3CQ Bangalore Division
Karnataka IN

Latitude: 13.1682167

Longitude: 77.5334495

Date: 02 Feb 2023

Time: 02:46 PM



REGISTRAR

S. Anis

Dean - SOL

Course Code: LAW4019	Course Title: Securities Laws		L- P- C	4	0	4
Version No.	1.0					
Course Pre-requisites	LAW3007 Basics of Commerce					
Anti-requisites	NIL					
Course Description	This course will give the students a basic idea about the various aspects of securities laws, the applicable rules and regulations, the regulatory bodies and how the securities market function. They will also get to know about the various intermediaries operating in the securities markets and their respective roles and responsibilities.					
Course Objectives	The objective of the course is to familiarize the learners with the concepts of Securities Law (Capital market and securities law) and attain Entrepreneurship through Participative techniques.					
Course Out Comes	On successful completion of the course the students shall be able to: <ol style="list-style-type: none"> 1. Identify various types of markets 2. Describe various instruments in the capital markets 3. Summarize the roles and responsibilities of the various capital market regulators 4. Recognize the capital markets intermediaries and their roles 5. Interpret various laws governing the securities market 					
Course Content:						
Module 1	Introduction to Markets	Classroom Discussion	General classroom discussion			08
Topics: <ul style="list-style-type: none"> • Concept of markets, evolution and growth of markets • Introduction to financial and capital markets • Components of these markets • Difference between capital and financial markets 						

Module 2	Instruments of capital markets	Assignment	Written assignment	10
<p>Topics:</p> <ul style="list-style-type: none"> • Definition of Capital Markets • Types of capital markets • Instruments in capital markets (Shares/Debentures/Bonds/IDR/FCCB/FCEB etc.) • Various types of issues (IPOs/FPOs/Bonus Issue/Rights Issues/Private placements etc.) 				
Module 3	Regulatory Bodies in Capital Markets	Flipped Classroom Activity	Class presentation	10
<p>Topics:</p> <p>The Role and Responsibilities in regulating the capital markets of the following regulators with reference to SEBI Act, 1992 and Securities Contract (Regulations) Act and Rules, 1956/1957</p> <ul style="list-style-type: none"> • Reserve Bank of India • Securities and Exchange Board of India • Securities Appellate Tribunal • Recognized Stock Exchanges • SME Exchange • Forward Markets Commission 				
Module 4	Capital Markets Intermediaries	Assignment	Assignment	10
<p>Topics:</p> <ul style="list-style-type: none"> • Definition, Role, Responsibilities of Intermediaries • Eligibility criteria of the following intermediaries with reference to SEBI-Intermediaries Regulations, 2018 & Depositories Act, 1996 • Depositories • Depository Participants • Credit rating agencies • Merchant Bankers • Debenture Trustees • Registrar and Share Transfer agents • Bankers to the issue 				
Module 5	Regulations governing security market transactions	Case Study	Case Study method	12
<p>Topics:</p>				

Module 5.

The key provisions of the following acts/regulations will be studied:

- SEBI - Issue of Capital and Disclosure Requirements Regulations, 2018
- Sebi act
- SEBI - Substantial Acquisition of Shares and Takeover Regulations, 2011
- SEBI - Prohibition of Insider Trading Regulations, 2015

Targeted Application & Tools that can be used: NOT APPLICABLE

Project work/Assignment: Mention the Type of Project /Assignment proposed for this course
Details of Assignment

Assignment

The students will be given a written assignment in listing and identifying key points of the 10 recent IPOs that have come out in the market

Case Study

Important case laws from the listed regulations will be discussed in the classroom for better understanding of the concepts learned.

Flipped Classroom through presentations

Few selected students will be asked to educate the class in the form of presentation about the initiatives taken by various regulators for the development of securities market

Text Book

1. Study material on Securities Laws and Capital Markets, Executive level, ICSI, New Delhi, December 2021

References

1. Indian Financial Systems – MY Khan - Tata McGraw Hill, New Delhi, 11th edition, July 2019
2. Guide to SEBI, Capital Issues, Debentures & Listing, K Sekhar, Volume 1 5th Edition, LexisNexis
3. SEBI Manual – Taxmann Publications, 38th edition, January 2022

TOPICS RELEVANT TO DEVELOPMENT OF ENTREPRENEURSHIP SKILLS:

- Reserve Bank of India
- Securities and Exchange Board of India
- Securities Appellate Tribunal
- Recognized Stock Exchanges
- SME Exchange
- Forward Markets Commission

Catalogue prepared by

Dr. Paramjeet Singh

Recommended by the Board of Studies on

22/07/2022

Date of Approval by the Academic Council

05/09/2022





PRESIDENCY UNIVERSITY

(Established under the Presidency University Act, 2013 of the Karnataka Act 41 of 2013)

ACA-2[2019] COURSE HAND OUT

SCHOOL: LAW DEPT: School of Law DATE OF ISSUE: 5th September, 2022

NAME OF THE PROGRAM: BA LL.B (Hons.) /BBA LL.B (Hons.)/ B.COM LL.B (Hons.)

P.R.C. APPROVAL REF.: PU/AC18.14/SOL13/BBL/2018- 23, PU/AC18.14/SOL13/BAL/2018- 23,
PU/AC18.14/SOL13/BCL/2018- 23

SEMESTER/YEAR: IX Sem / 5th Year

COURSE TITLE & CODE: LAW 4019 & Capital Markets and Security Laws

COURSE CREDIT STRUCTURE: 4-0-4

CONTACT HOURS: As per the timetable

COURSE INSTRUCTOR: Ms. Devi Prabha

1. PROGRAM OUTCOMES:

PO-1 Ability to apply the fundamental concept of Humanities, Commerce and Management to legal problems

PO-2 Ability to develop critical thinking skill

PO-3 Ability to identify, analyze and solve legal problem with professional ethics and integrity

PO-4 Ability to conduct dispute resolution with professional ethics and integrity

PO-5 Ability to draft professional legal writing along with effective oral communication

PO-6 Ability to apply legal theory to factual settings

PO- 7 Ability to apply legal theory to engage in legal argumentation

PO-8 Ability to conduct independent legal research specific to the case

PO- 9 Ability to conduct client services with necessary usage of technological tools

PO-10 Recognition of the need for engaging in lifelong learning

PO-11 Exhibit social responsibility adhering to ethical and moral values

PO -12 Ability to adapt knowledge of contemporary issues

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PO- 13 Ability to function in multidisciplinary team

2. COURSE PREREQUISITES:

Students are expected to meet the core participation requirements for the course outlines. Should possess knowledge on contemporary issues and be willing to indulge in debates.

3. COURSE DESCRIPTION:

This course in Capital Market and Securities Laws will systematically examine the concept of Capital Market and how it has grown exponentially in terms of resource mobilization and market capitalization through various capital market instruments, including the stocks. The course will also focuses on the securities laws that regulates the securities market in India. The fundamental institutional changes with regard to the securities market has resulted in drastic reduction in transaction costs and significant improvement in efficiency, transparency and safety.

4. COURSE OUTCOMES:

The objective of the course is to familiarize the learners with the concepts of Securities Law (Capital market and securities law) and attain Entrepreneurship through Participative techniques.

5. COURSE OUTCOMES:

On successful completion of the course the students shall be able to:

CO.1 Define Capital Market Instruments and its salient features.

CO.2 Identify the relevant legal issues in various Securities transactions, and in Foreign Direct Investment.

CO.3 Apply the relevant provisions of Securities law to the relevant legal issues.

CO.4 Demonstrate the understanding of the Securities Laws, the powers and functions of SEBI, Securities Appellate Tribunal and the regulatory framework governing Indian Securities market.

5. MAPPING OF C.O. WITH P.O.

[H - HIGH, M - MODERATE, L- LOW]

C.O. No.	P.O. 01	P.O. 02	P.O. 03	P.O. 06	P.O. 10	P.O. 12
1	M			M	L	M
2	M	H	M	H	L	M
3	M		M	H	L	M
4	M	L		M	M	H

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6. COURSE CONTENT (SYLLABUS):

Module 1: Overview of Capital and Financial Markets CO1 Knowledge Comprehensive 16 hours

Financial Market: Introduction, meaning and significance, Regulatory authorities governing financial markets. Capital Market: Introduction, meaning and significance, Investors and companies, Securities laws and regulatory framework governing Indian capital market.

Module 2: Capital Market Instruments, Rating and Securities Market Intermediaries CO2 Knowledge 10 hours

Shares: Meaning, types, allotment, transfer, transmission, surrender, forfeiture, share certificate and share warrant, Concept of IPO, Primary market, Secondary market, Rating and Grading of Instruments: concept, scope and significance, Rating agencies in India, Rating methodologies, Securities market intermediaries: Role, function and regulatory framework.

Module 3: Securities Laws CO3 Comprehension 5 hours

Securities Contracts (Regulation) Act, 1956: definition, recognition of stock exchange, listing and delisting of securities; Securities Exchange Board of India Act, 1992: objectives, powers and functions, Securities Appellate Tribunal: constitution, powers and functions,

Module 4: Depositories and Investment

CO4 Comprehension 10 hours

Depositories Act, 1996: rights and obligations of depositories, participants, issuers and beneficial owners; Issue of Capital and Disclosure Requirement Regulation, 2009: conditions for public issues, rights issues, preferential issue, bonus issue and Indian depository receipts, Laws relating to insider trading, FEMA and FERA

7. DELIVERY PROCEDURE (PEDAGOGY):

The teaching method for course will include lecture and discussion methods. Classroom discussion on all the topics will be primarily through academic writing, case laws supplemented by relevant statutory provisions. Class presentations and Class participation will make lectures more interactive. Course objectives and the evaluation process also motivates the methodology adopted.

Major issues, important case laws and essential readings in each module will be circulated to the students prior to the commencement of each module. Students are expected to read the circulated material and cases before the topic is discussed in the classroom.

SELF-LEARNING TOPICS:



Sl. No.	Self-Study Topic (will be part of assessment)	Source
1.	Investors and companies under Capital Market.	Material will be sent through E-mail/CAMU
2.	Rating and Grading of Instruments: concept, scope and significance, Rating agencies in India, Rating methodologies.	Material will be sent through E-mail/CAMU
3.	Conditions for public issues, rights issues, preferential issue, bonus issue and Indian depository receipts under the Issue of Capital and Disclosure Requirement Regulation.	Material will be sent through E-mail/CAMU

8. REFERENCE MATERIALS: Textbooks, reference books, any other resources, like webpages.

Text Books:

1. Goyal, Arun; WTO in the new Millennium: Commentary, Case Law, Legal Texts; MVIRDC World Trade Centre
2. Schwarzenberger, Georg; Economic World Order; Manchester University Press
3. Bagchi, Jayanta; World Trade Organization: An Indian Perspective; Eastern Law House
4. Bhandari, Surendra; World Trade Organization and Developing Countries; Universal Publication
5. Kaul, A. K.; Guide to the WTO and GATT: Economics, Law and Politics; Kluwer Law International
6. Rao, MyneniSrinivasa; International Economic Law; Pioneer Books
7. Asif H. Qureshi and Andreas R. Ziegler, International Economic Law, Sweet & Maxwell

REFERENCE BOOKS

1. Ferran Ellis, Principles of Corporate Finance Law, Oxford University Press.
2. Majumdar, A.K. and Kapoor, G.K.; *Company Law and Practice*; Taxmann
3. Gower, LCB& Davies: *Principles of Modern Company Law*; Sweet and Maxwell

E RESOURCES

1. Securities market intermediaries: Role, function and regulatory framework- https://www.sebi.gov.in/sebi_data/commndocs/regulation_p.pdf

9. GUIDELINES TO STUDENTS:



- a. Do all of the reading assigned for your course.
- b. Pay attention and participate in the class.

10. COURSE SCHEDULE:

Sl. No.	ACTIVITY	STARTING DATE	CONCLUDING DATE	TOTAL NUMBER OF PERIODS
01	Over View of the course	12 th September, 2022	12 th September, 2022	1
02	Module : 01	13 th September, 2022	10 th October, 2022	16
02	Module: 02	11 th October, 2022	31 st October, 2022	8
04	Assignment/any other activity/Guest Lecture/ Field Visit			
05	Mid-Term	3 rd November, 2022	9 th November, 2022	
06	Module:03	2 nd November, 2022	27 th November, 2022	12
07	Module:04	28 th November, 2022	28 th December, 2022	12

11. SCHEDULE OF INSTRUCTIONS:

NOTE: LESSON TITLE IS THE TOPIC OF THE HOUR

TOPICS ARE THE CONTENT YOU DEAL UNDER THE SAID LESSON TITLE FOR THE DAY

Session	Date	Lesson Title	Topics	Course Outcome Number	Delivery Mode	Reference
1.	12 th September, 2022	Financial Market Introduction	Debt Market-Capital Market- Stock Market	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law</i>


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						<i>and Practice;</i> Taxmann
2.	13 th September, 2022	Regulatory authorities governing	SEBI-Powers, Duties, NCLAT, NCLT	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
3.	14 th September, 2022	Financial markets.	Types of Capital-fully paid, partially paid, called up capital-Shares-equity shares-preferential shares	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
4.	15 th September, 2022	Financial markets.	Types of Capital-fully paid, partially paid, called up capital-Shares-equity shares-preferential shares	1		Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
5.	16 th September, 2022	Capital Market: Investors	Introduction, meaning and significance	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
6.	19 th September, 2022	Shares	Preferential shares-Section 42 and 23-Private placement	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
7.	20 th September, 2022	Types of Prospectus	Red Herring prospectus, Shelf Prospective, Deemed Prospectus, Section 32 and 31 prospectus	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
8.	21 st September, 2022	Types of Prospectus	Red Herring prospectus, Shelf Prospective, Deemed Prospectus, Section 32 and 31 prospectus	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
9.	22 nd September, 2022	Shares: Meaning, types	Allotment, transfer, transmission, surrender, forfeiture, share certificate and share	1		Majumdar, A.K. and Kapoor, G.K.; <i>Company Law</i>

			warrant, Section 42,43 and 44			<i>and Practice;</i> Taxmann
10.	23 rd September, 2022	Concept of IPO	Primary market, Secondary market-meaning and comparison to regular market	1	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
11.	26 th September, 2022	Concept of IPO	Primary market, Secondary market-meaning and comparison to regular market	2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
12.	27 th September, 2022	Rating and Grading of Instruments: concept, scope and significance, Rating agencies in India	CRISIL, Investment Information and Credit Rating Agency- Role	2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
13.	28 th September, 2022	Rating and Grading of Instruments: concept, scope and significance, Rating agencies in India	CRISIL, Investment Information and Credit Rating Agency- Role	2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
14.	29 th September, 2022	Capital Market Instruments and Rating	Capital Market Instruments: Equity, Debentures, Preference Shares, Sweat Equity, Non-Voting Shares, Share Warrants Pure, Hybrid and Derivatives	2		Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
15.	30 th September, 2022	Capital Market Instruments and Rating	Rating and Grading of Instruments: Concept, Scope and Significance, Regulatory Framework Rating Agencies in India, Rating Methodologies	2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
16.	7 th October, 2022	Securities Market Intermediaries	Primary Market and Secondary Market Intermediaries: Role and Functions, Merchant	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law</i>

			Bankers, Stock Brokers, Syndicate Members, Registrars			<i>and Practice;</i> Taxmann
17.	10 th October, 2022	Securities Market Intermediaries	Underwriters, Bankers to an Issue, Portfolio Managers, Debenture Trustees, Foreign Institutional Investors, Depositories, Depositories Participants	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
18.	11 th October, 2022	Securities Market Intermediaries	Custodians, Credit Rating Agencies, Venture Capitalists	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
19.	12 th October, 2022	Market Infrastructure Institutions - Stock Exchanges	Functions and Significance of Stock Exchanges Operations and Trading Mechanism of Stock Exchanges	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
20.	14 th October, 2022	Market Infrastructure Institutions - Stock Exchanges	Settlement of Securities, Stock Market Indices, Risk Management, Surveillance Mechanism at Stock Exchanges, Straight through Processing	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
21.	17 th October, 2022	Market Infrastructure Institutions - Stock Exchanges	Demutualization of Stock Exchanges SME Exchange	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann
22.	18 th October, 2022	Rating methodologies, Securities	Market intermediaries: Role, function and regulatory framework.	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice;</i> Taxmann

23.	19 th October, 2022	Rating methodologies, Securities	Market intermediaries: Role, function and regulatory framework.	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
24.	21 st October, 2022	SEBI Act, 1992	Objective, Power and Functions of SEBI Securities Appellate Tribunal, Appeals, Appearance before SAT	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
25.	28 th October, 2022	Issue and Listing of Securities	Listing of Securities Issue of Capital and Disclosure Requirements (ICDR) Procedure for Issue of Various Types of Shares and Debentures	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
26.	31 st October, 2022	Issue and Listing of Securities	Employee Stock Option Scheme and Employee Stock Purchase Scheme λ Delisting of Securities	3	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
27.	2 nd November, 2022	Regulatory Framework relating to Securities Market Intermediaries	Primary Market and Secondary Market Intermediaries: Role and Functions, Merchant Bankers, Stock Brokers, Syndicate Members	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
28.	3 rd November - 9 th November, 2022	Regulatory Framework relating to Securities Market Intermediaries	Registrars, Underwriters, Bankers to an Issue, Portfolio Managers, Debenture Trustees, Foreign Institutional Investors, Custodians, Credit Rating Agencies, Venture Capitalists	4		Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
29.	10 th November, 2022	Investor Protection	Investors' Rights and Responsibilities, types of grievances and dealing authority, Investor Education and Protection Fund (IEPF) under SEBI Regulations and Companies Act, 2013	4		Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann

30.	11 th November , 2022	Investor Protection	Financial Education, Investor Grievance Redressal Mechanism at SEBI etc.	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
31.	11 th November , 2022	Debt Market	Introduction Debt Market Instruments Investors in debt market Debt Market Intermediaries/Participa nts Debt Market – Regulatory Framework 109 SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 110 SEBI (Issue and Listing of Debt Securities) Regulations, 2008	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
32.	14 th November , 2022	Mutual Funds	Introduction An overview of trends in Mutual Funds Advantages of Mutual Funds Schemes according to Maturity Period Investment Strategies	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
33.	15 th November , 2022	Mutual Funds	Advertisements code for Mutual Funds In principle approval from recognised stock exchange Listing of Close Ended Scheme Repurchase of Close Ended Scheme	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
34.	16 th November , 2022	Mutual Funds	Advertisements code for Mutual Funds In principle approval from recognised stock exchange Listing of Close Ended Scheme 4Repurchase of Close nded Scheme	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
35.	17 th November , 2022	Market Infrastructure Institutions -	Settlement of Securities, Stock Market Indices, Risk Management, Surveillance Mechanism at Stock Exchanges,	4		Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann

		Stock Exchanges	Straight through Processing			
36.	18 th November , 2022	Market Infrastructure Institutions - Stock Exchanges	Demutualization of Stock Exchanges SME Exchange	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
37.	21 st November , 2022	Rating methodologies, Securities	Market intermediaries: Role, function and regulatory framework.	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
38.	22 nd November , 2022	Rating methodologies, Securities	Market intermediaries: Role, function and regulatory framework.	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
39.	23 rd November , 2022	SEBI Act, 1992	Securities Market – Is a link between investment & savings Mobilises & channels savings Provides Liquidity to investors Is a market place for purchase and sale of securities	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
40.	25 th November , 2022	Issue and Listing of Securities	Listing of Securities Issue of Capital and Disclosure Requirements (ICDR) Procedure for Issue of Various Types of Shares and Debentures	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
41.	28 th November , 2022	Issue and Listing of Securities	Employee Stock Option Scheme and Employee Stock Purchase Scheme λ Delisting of Securities	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
42.	29 th November , 2022	Regulatory Framework relating to Securities Market Intermediaries	Primary Market and Secondary Market Intermediaries: Role and Functions, Merchant Bankers, Stock Brokers, Syndicate Members	4	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann

43.	30 th November , 2022	Regulatory Framework relating to Securities Market Intermediaries	Registrars, Underwriters, Bankers to an Issue, Portfolio Managers, Debenture Trustees, Foreign Institutional Investors, Custodians, 4Credit Rating Agencies, Venture Capitalists	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
44.	2 nd December , 2022	Investor Protection	Investors' Rights and Responsibilities, types of grievances and dealing authority, Investor Education and Protection Fund (IEPF) under SEBI Regulations and Companies Act, 2013	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
45.	5 th December , 2022	Investor Protection	Financial Education, Investor Grievance Redressal Mechanism at SEBI etc.	4	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
46.	6 th December , 2022	REVISION			Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
47.	7 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
48.	9 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
49.	12 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann



50.	13 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
51.	14 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
52.	16 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
53.	19 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
54.	20 th December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
55.	21 st December , 2022	REVISION		1	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
56.	23 rd December , 2022	REVISION		2	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
57.	26 th December , 2022	REVISION		2	Lecture & discussion s	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann

58.	27 th December , 2022	REVISION		2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
59.	28 th December , 2022	REVISION		2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K.; <i>Company Law and Practice</i> ; Taxmann
60.	30 th December , 2022	REVISION		2	Lecture & discussions	Majumdar, A.K. and Kapoor, G.K. <i>Company Law and Practice</i> ; Taxmann

12. ASSESSMENT SCHEDULE: Topics relevant to “ENTREPRENEURSHIP SKILLS”:

Financial Education, Investor Grievance Redressal Mechanism at SEBI etc., Primary Market and Secondary Market Intermediaries: Role and Functions, Merchant Bankers, Stock Brokers, Syndicate Members, Investors’ Rights and Responsibilities, types of grievances and dealing authority, Investor Education and Protection Fund (IEPF) under SEBI Regulations and Companies Act, 2013 for developing **Entrepreneurship Skills** through **Participative Learning techniques**. This is attained through the Research paper writing, **Presentation and Viva** as mentioned in the assessment component.

Sl.no	Assessment type[Include here assessment method for self-learning component also]	Contents	Course outcome Number	Duration In Hours	marks	weightage	Venue, DATE & TIME
1.	Research paper writing	Any Module	CO1,2, 3, 4, 5, 6	15 th September, 2022- 7 th December, 2022	20	40%	7 th December, 2022
2.	E Resource ASSIGNMENT- \Review of digital/e-resource from Presidency University. Link given in the reference section	https://www.sebi.gov.in/sebi_data/comm/ondocs/regulation_p.pdf	CO1,2, 3, 4, 5, 6	Self	10	5%	7th December, 2022


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	(Mandatory to submit the screenshot accessing digital resource, otherwise it will not be evaluated.)						
3.	Presentation & Viva	Research paper	CO1,2, 3, 4, 5, 6	To be notified	20	10%	
4.	Mid Term	Module 1 & 2	CO1, 2	To be notified	60	50%	3 rd November- 9 th November, 2022
5.	End Term Examination	All Modules	CO 1, 2, 3, 4	23 rd to 31 st January, 2023	80	50%	

13. COURSE CLEARANCE CRITERIA:

As per University Regulations.

14. CONTACT TIMINGS IN THE CHAMBER FOR ANY DISCUSSIONS:

Students may visit the faculty in University working hours and / with prior appointment.

15. SAMPLE THOUGHT PROVOKING QUESTIONS:

SL NO	QUESTION	MARKS	COURSE OUTCOME NO.	BLOOM'S LEVEL
01	What are significance of SEBI?	10 M	CO3	Comprehensive
02	Critically evaluate the factors that vitiate the Insider Trading Laws in India	10M	CO2	Analysis

16. TARGET SET FOR COURSE OUTCOME ATTAINMENT:

Sl.no	C.O. No.	Course Outcomes	Target set for attainment in percentage
01	Co1	Define Capital Market Instruments and its salient features.	60


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02	Co2	Identify the relevant legal issues in various Securities transactions, and in Foreign Direct Investment.	70
03	Co3	Apply the relevant provisions of Securities law to the relevant legal issues.	60
04	Co4	Demonstrate the understanding of the Securities Laws, the powers and functions of SEBI, Securities Appellate Tribunal and the regulatory framework governing Indian Securities market.	60

Signature of the course Instructor

This course has been duly verified Approved by the D.A.C.

Signature of the Chairperson D.A.C

Course Completion Remarks &Self-Assessment.[This has to be filled after the completion of the course]

[Please mention about the course coverage details w.r.t. the schedule prepared and implemented. Any specific suggestions to incorporate in the course content. Any Innovative practices followed and its experience. Any specific suggestions from the students about the content, Delivery, Evaluation etc.]

Sl. No.	Activity As listed in the course Schedule	Scheduled Completion Date	Actual Completion Date	Remarks

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Any specific suggestion/Observations on content/coverage/pedagogical methods used etc.:

Course Outcome Attainment:

Sl. No.	C.O. No.	Course Outcomes	Target set for attainment in percentage	Actual C.O. Attainment In Percentage	Remarks on attainment & Measures to enhance the attainment
01	Co1	Define Capital Market Instruments and its salient features.	60		
02	Co2	Identify the relevant legal issues in various Securities transactions, and in Foreign Direct Investment.	70		
03	Co3	Apply the relevant provisions of Securities law to the relevant legal issues.	60		
04	Co4	Demonstrate the understanding of the Securities Laws, the powers and functions of SEBI, Securities Appellate Tribunal and the regulatory framework governing Indian Securities market.	60		

Name and signature of the Course Instructor:

D.A.C. observation and approval:


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GAIN MORE KNOWLEDGE
REACH GREATER HEIGHTS

PRESIDENCY UNIVERSITY

(Private University Estd. in Karnataka State by Act No.41 of 2013)

School of Law

Activity Report on Securities Law (Capital market and securities law) Conducted

Details of Participative

Introduction:

As part of the assessment of **Securities Law (Capital market and securities law)**, a **Participative** was employed. For the students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.), a Moot was conducted in the course of Securities Law (Capital market and securities law). The purpose of this exercise was to provide students with a practical understanding of Securities Law (Capital market and securities law) and its scope with respect to the recent issues brought before the various forums. The exercise aimed to enhance students' analytical and communication skills while simulating real-world scenarios that they might encounter in their professional careers.

Objective:

The primary objectives of the arbitration exercise were as follows:

- To enable students to apply their knowledge to practical disputes.
- To enhance students' ability to construct persuasive arguments.
- To develop critical thinking and problem-solving skills in resolving disputes relating to <course title>.
- To improve their Entrepreneurship abilities.

Participants:

- The exercise involved students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.) students of semester 10

Exercise Details:

Preparatory Phase: Before the exercise, students were introduced to the concept of topic involved in the assessment in class. They were provided with relevant study materials and case studies to analyze. Additionally, they were guided on the basic framework governing the area of Securities Law (Capital market and securities law).

Preparation and Research: Each group was given sufficient time to thoroughly investigate and prepare their respective arguments. Students were encouraged to research primary and secondary sources of data for the same.

Assessment Day: On the day of the exercise, the participants presented their assessment before a panel of mock Judges, which consisted of teachers.

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BANGALORE

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Award and Feedback: Following the presentations, the faculty provided feedback to each student, evaluating their performance based on their performance.

Conclusion:

Furthermore, the exercise allowed students to work collaboratively as a team, promoting teamwork and cooperation among them. The feedback provided by the panel of Judges proved invaluable, as it highlighted areas for improvement and offered constructive criticism.

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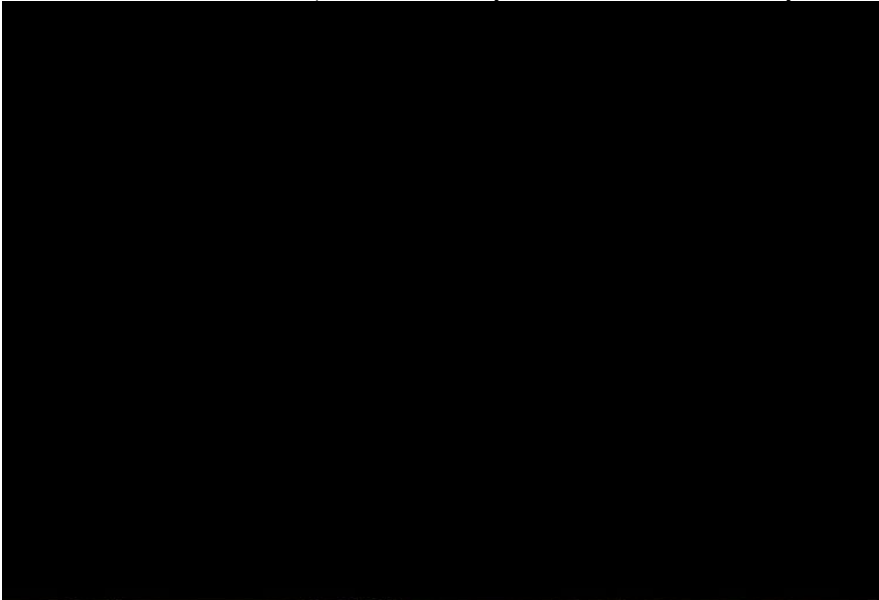
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GPS MAP CAMERA

5G9M+3CQ Bangalore Division
Karnataka IN

Latitude: 13.1682167

Longitude: 77.5334495

Date: 06 Feb 2023

Time: 02:46 PM



S. Anis
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Course Code: BBL 101	Course Title: Principles of Management		L- P- C			
	Type of Course: Liberal Core and Theory only			4	0	4
Version No.	1.0					
Course Pre-requisites	NIL					
Anti-requisites	NIL					
Course Description	This course introduces the nature and functions of management, development of management thought, social responsibilities of business, planning, decision making, business forecasting and organization, authority, delegation and decentralization, co-ordination, informal organization, staffing, training and development, performance management, compensation plans, direction and supervision, communication, leadership, managerial control, organization change, organization climate or culture.					
Course Out Comes	On successful completion of the course the students shall be able to: 1) Define basic concepts of Management. 2) Describe what is planning process and how decision to be made. 3) Distinguish between different organization structures. 4) Discuss different leadership style. 5) Interpret different types of control process.					
Course Objective	The objective of the course is to familiarize the learners with the concepts of Principles of Management and attain Entrepreneurship through Participative techniques.					
Course Content						
Module 1	Introduction to Principles of Management	Role of managers	Group Discussion and Debate	8 sessions		
Definition - Management - Role of managers - Evolution of Management thought - Organization and the environmental factors – Trends and Challenges of Management in Global Scenario.						
Module 2	Planning	Decision Making under different conditions	Group Discussion	12 sessions		
Nature and purpose of planning - Planning process - Types of plans – Objectives -Managing by objectives (MBO) Strategies - Types of strategies - Policies - Decision Making - Types of decision - Decision Making Process - Rational Decision Making Process - Decision Making under different conditions.						

Module 3	Organizing	Delegation of authority	Debate	10 sessions
Nature and purpose of organizing - Organization structure - Formal and informal groups organization - Line and Staff authority - Departmentation - Span of control - Centralization and Decentralization - Delegation of authority - Staffing - Selection and Recruitment - Orientation - Career Development - Career stages - Training - Performance Appraisal.				
Module 4	Directing	Managing cultural diversity	Assignment	10 Sessions
Creativity and Innovation - Motivation and Satisfaction - Motivation Theories - Leadership Styles - Leadership theories - Communication - Barriers to effective communication- Organization Culture - Elements and types of culture - Managing cultural diversity				
Module 5	Controlling	Types of control	Group Discussion	10 Sessions
Definition-Nature and Purpose of Control- Control Process- Barriers for Controlling-Requiring for Effective Control-Types of control-Feed Forward-Concurrent Control-Feedback Control.				
Targeted Application & Tools that can be used: NIL				
Project work/Assignment: Mention the Type of Project /Assignment proposed for this course				
Students would be expected to write a critique on: Centralization and Decentralization Assignment on topics: – Barriers for Controlling				
Text Book				
1. Essentials of Management-An international and leadership perspective, Harold Koontz & Heinz Weirich, Mc Graw Hill Publication, 9 th Edition.				
References				
1. Mullins, L.J. (nd). Management and Organizational Behaviour. Pearson Education.				
2. Management: Text and Cases-VSP Rao, Excel Books				
3. Management Theory & Practice Text & Cases – Subba Rao P & Hima Bindu, Himalaya Publication.				
TOPICS RELEVANT TO DEVELOPMENT OF ENTREPRENEURSHIP SKILL – Career Development - Career stages - Training - Performance Appraisal.				
Catalogue prepared by	Dr. Sanjay Kumar			
Recommended by the Board of Studies on	13 th BOS 22 July 2022			
Date of Approval by the Academic Council	5 th September 2022			

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PRESIDENCY UNIVERSITY

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School of Law

Activity Report on Principles of Management Conducted

Details of Experiential

Introduction:

As part of the assessment of **Principles of Management**, a **Experiential** was employed. For the students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.), a Moot was conducted in the course of Principles of Management. The purpose of this exercise was to provide students with a practical understanding of Principles of Management and its scope with respect to the recent issues brought before the various forums. The exercise aimed to enhance students' analytical and communication skills while simulating real-world scenarios that they might encounter in their professional careers.

Objective:

The primary objectives of the arbitration exercise were as follows:

- To enable students to apply their knowledge to practical disputes.
- To enhance students' ability to construct persuasive arguments.
- To develop critical thinking and problem-solving skills in resolving disputes relating to <course title>.
- To improve their Entrepreneurship abilities.

Participants:

- The exercise involved students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.) students of semester 3

Exercise Details:

Preparatory Phase: Before the exercise, students were introduced to the concept of topic involved in the assessment in class. They were provided with relevant study materials and case studies to analyze. Additionally, they were guided on the basic framework governing the area of Principles of Management.

Preparation and Research: Each group was given sufficient time to thoroughly investigate and prepare their respective arguments. Students were encouraged to research primary and secondary sources of data for the same.

Assessment Day: On the day of the exercise, the participants presented their assessment before a panel of mock Judges, which consisted of teachers.

Award and Feedback: Following the presentations, the faculty provided feedback to each student, evaluating their performance based on their performance.

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Conclusion:

Furthermore, the exercise allowed students to work collaboratively as a team, promoting teamwork and cooperation among them. The feedback provided by the panel of Judges proved invaluable, as it highlighted areas for improvement and offered constructive criticism.

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PRESIDENCY UNIVERSITY

(Established under the Presidency University Act, 2013 of the Karnataka Act 41 of 2013)

COURSE HAND OUT

SCHOOL: LAW

DEPT.: BCOM/BBA LLB

DATE OF ISSUE: 06/09/2022

NAME OF THE PROGRAM: BCOM/BBA LLB

P.R.C. APPROVAL REF.: PU/AC18.14/SOL13/BBL/2020- 25; PU/AC18.14/SOL13/BBL/2020- 25

SEMESTER/YEAR: THIRD SEMESTER/ AY 2020-21

COURSE TITLE & CODE: Principles of Management – BBL 101

COURSE CREDIT STRUCTURE: 4-0-0-4

CONTACT HOURS: 60

COURSE INSTRUCTORS:

Instructor In-Charge: Dr. Sanjay Kumar

Course Instructor:

PROGRAM OUTCOMES:

PO-1 Ability to apply the fundamental concept of Humanities, Commerce and Management to legal problems

PO-2 Ability to develop critical thinking skill

PO-3 Ability to identify, analyze and solve legal problem with professional ethics and integrity

PO-4 Ability to conduct dispute resolution with professional ethics and integrity

PO-5 Ability to draft professional legal writing along with effective oral communication

PO-6 Ability to apply legal theory to factual settings

PO- 7 Ability to apply legal theory to engage in legal argumentation

PO-8 Ability to conduct independent legal research specific to the case

PO- 9 Ability to conduct client services with necessary usage of technological tools

PO-10 Recognition of the need for engaging in lifelong learning



PO-11 Exhibit social responsibility adhering to ethical and moral values

PO -12 Ability to adapt knowledge of contemporary issues

PO- 13 Ability to function in the multidisciplinary team.

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The stamp is circular with a double border. The outer border contains the text "PRESIDENCY UNIVERSITY" at the top and "BANGALORE" at the bottom, separated by two small stars. The inner border contains the word "Registrar" in the center.

COURSE PREREQUISITES: Students are expected to know:

1. Basic communication skills in English.

COURSE DESCRIPTION:

This course introduces the nature and functions of management, development of management thought, social responsibilities of business, planning, decision making, business forecasting and organization, authority, delegation and decentralization, co-ordination, informal organization, staffing, training and development, performance management, compensation plans, direction and supervision, communication, leadership, managerial control, organization change, organization climate or culture.

COURSE OBJECTIVE:

The objective of the course is to familiarize the learners with the concepts of Principles of Management and attain Entrepreneurship through Participative techniques.

COURSE OUTCOMES: On successful completion of the course the students shall be able to:

- 1) Define basic concepts of Management.
- 2) Describe what is planning process and how decision to be made.
- 3) Distinguish between different organization structures.
- 4) Discuss different leadership style.
- 5) Interpret different types of control process.

MAPPING OF C.O. WITH P.O

[H-HIGH, M- MODERATE, L-LOW]

C.O.N0.	P.O .01	P.O.02	P.O.03
1	M	M	M
2	M	M	M
3	M	M	M
4	M	M	M
5	M	M	M

COURSE CONTENT (SYLLABUS):

Module 1: Introduction to Principles of Management: Definition - Management - Role of managers - Evolution of Management thought - Organization and the environmental factors – Trends and Challenges of Management in Global Scenario. **(Course Outcome 1)**

Number of Hours: 12, Knowledge Level

Module 2: Planning: Nature and purpose of planning - Planning process - Types of plans – Objectives - Managing by objectives (MBO) Strategies - Types of strategies - Policies - Decision Making - Types of decision - Decision Making Process - Rational Decision Making Process - Decision Making under different conditions. **(Course Outcome 2)**



Number of Hours: 10, Knowledge Level

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Module 3: Organizing: Nature and purpose of organizing - Organization structure - Formal and informal groups organization - Line and Staff authority - Departmentation - Span of control - Centralization and Decentralization - Delegation of authority - Staffing - Selection and Recruitment - Orientation - Career Development - Career stages - Training - Performance Appraisal. **(Course Outcome 3)**

Number of Hours: 10, Comprehension Level

Module 4: Directing: Creativity and Innovation - Motivation and Satisfaction - Motivation Theories - Leadership Styles - Leadership theories - Communication - Barriers to effective communication- Organization Culture - Elements and types of culture - Managing cultural diversity. **(Course Outcome 4)**

Number of Hours: 10, Application Level

Module 5: Controlling: Definition-Nature and Purpose of Control- Control Process- Barriers for Controlling-Requiring for Effective Control-Types of control-Feed Forward-Concurrent Control-Feedback Control. **(Course Outcome 5)**

Number of Hours: 10, Knowledge Level

DELIVERY PROCEDURE (PEDAGOGY):

Participants in the course will learn through a mix of concept discussion sessions, case discussions and role play. We will focus on covering the main conceptual and theoretical frameworks. The learning from this course would depend on the extent of investment of quality time and effort by every participant in preparing for each session, reading the assigned readings, and integrating them in to the case analysis before the respective session. Thus the onus for deriving maximum value from this course lies as much with you as with instructor.

Sl. No	Self-Learning Topic
1	Problem solving in Decision – making
2	Leadership
3	Motivation

REFERENCE MATERIALS:

Text Book(s):

- T 1** Essentials of Management-An international and leadership perspective, Harold Koontz & Heinz Weirich, Mc Graw Hill Publication, 9th Edition.

Reference Book(s):

- R 1** : Mullins, L.J. (nd). Management and Organizational Behaviour. Pearson Education.
- R 2** : Management: Text and Cases-VSP Rao, Excel Books

R3: Management Theory & Practice Text & Cases – Subba Rao P & Hima Bindu, Himalaya Publication.



GUIDELINES TO STUDENTS:

1. Students are supposed to go through the requisite chapters before attending the class.
2. For every case discussion it is expected that students come prepared with case in advance. Case discussion will follow the following pattern:
 - a. Case facts
 - b. Problems identified
 - c. Solution
 - d. Theoretical framework.
3. Students are supposed to refer to the text book other than the PPT and Study materials supplied by the course instructor.
4. Students are to abide by the deadlines and complete all assignments and tasks allotted without making any exception.

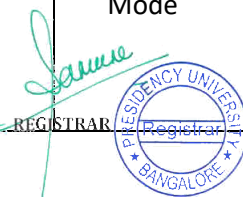
COURSE SCHEDULE

Sl. No.	ACTIVITY	STARTING DATE	CONCLUDING DATE	TOTAL NUMBER OF PERIODS
01	Over View of the course	12 th September, 2022	12 th September, 2022	1
02	Module : 01	13 th September, 2022	30 th September, 2022	09
04	Module: 02	3 rd October, 2022	31 st October, 2022	12
05	Mid term	3 rd November, 2022	9 th November, 2022	10
09	Module:03	14 th November, 2022	2 nd December, 2022	
12	Module:04	5 th December, 2022	20 th December, 2022	10
14	Module: 05	20 th December, 2022	31 th December, 2022	15


SCHEDULE OF INSTRUCTION:

Sl no	Session Number	Lesson Title	Topic	Course Outcome number	Delivery Mode	Reference
1	12 th September, 2022	Course Overview	Syllabus, Course Evaluation Components		Lecture Mode	
2	13 th September, 2022	Module 1: Definition, Management, Role of managers	Importance, nature and functions of Management	1	Lecture Mode	T1
3	14 th September, 2022	Definition, Management, Role of managers	Importance, nature and functions of Management	1	Lecture Mode	T1
4	15 th September, 2022	Evolution of Management thought	Classical approach	1	Lecture Mode	T1
5	16 th September, 2022	Evolution of Management thought	Behavioral approach	1	Lecture Mode	T1
6	19 th September, 2022	Evolution of Management thought	Quantitative approach	1	Lecture Mode	T1
7	20 th September, 2022	Evolution of Management thought	Systems approach	1	Lecture Mode	T1
8	21 st September, 2022	Evolution of Management thought	Contingency approach.	1	Lecture Mode	T1
9	22 nd September, 2022	Organization and the environmental factors	Micro and Macro Environmental Factors	1	Lecture Mode	T1
10	23 rd September, 2022	Organization and the environmental factors	Micro and Macro Environmental Factors	1	Lecture Mode	T1
11	26 th September, 2022	Trends and Challenges of Management in Global Scenario.	Latest trends and challenges in present Global Scenario (Before & After Covid)	1	Lecture Mode	T1

12	27 th September , 2022	Case Study on Module 1	TBA	1	Participative Mode	T1
13	28 th September , 2022	Module 2: Nature and purpose of planning	Nature and purpose of planning	2	Lecture Mode	T1
14	29 th September , 2022	Planning process	Understand various steps of planning process	2	Lecture Mode	T1
15	30 th September , 2022	Types of plans – Objectives - Managing by objectives (MBO) Strategies	Mission, Vision, tactical, organizational plans, Steps in MBO	2	Lecture Mode	T1
16	7 th October, 2022	Types of plans – Objectives - Managing by objectives (MBO) Strategies	Mission, Vision, tactical, organizational plans, Steps in MBO	2	Lecture Mode	T1
17	10 th October, 2022	Types of strategies and Policies	a) Cost leadership strategy b) Differentiation strategy c) Focus strategy	2	Lecture Mode	T1
18	11 th October, 2022	Rational Decision Making Process	Steps in Rational decision making	2	Lecture Mode	T1
19	12 th October, 2022	Rational Decision Making Process	Steps in Rational decision making	2	Lecture Mode	T1
20	14 th October, 2022	Decision Making under different conditions	a) Certainty, b) Uncertainty and c) Risk	2	Lecture Mode	T1



21	17 th October, 2022	Decision Making under different conditions	a) Certainty, b) Uncertainty and c) Risk	2	Lecture Mode	T1
22	18 th October, 2022	Decision Making under different conditions	a) Certainty, b) Uncertainty and c) Risk	2	Lecture Mode	T1
23	19 th October, 2022	Case Study on Module 2	TBA	2	Participative Mode	T1
24	21 st October, 2022	Online Quiz MCQs	Module 1 & Module 2	1,2	Online Edhitch	T1
25	28 th October, 2022	Module 3: Nature and purpose of organizing	Characteristics of Organizing	3	Lecture Mode	T1
26	31 st October, 2022	Organization structure - Formal and informal groups organization -	Types of Organization Structures	3	Lecture Mode	T1
27	2 nd November, 2022	Line and Staff authority ,Departmentation, Span of control -	Types of Organization Structures	3	Lecture Mode	T1
28	3 rd November - 9 th November, 2022	Mid term	Mid term	1,2	Mid term	T1
29	10 th November, 2022	Staffing	Hiring steps and process	3	Lecture Mode	T1
30	11 th November, 2022	Selection and Recruitment	Hiring steps and process	3	Lecture Mode	T1
31	11 th November, 2022	Orientation	Hiring steps and process	3	Lecture Mode	T1
32	14 th November, 2022	Career Development, Career stages, Training	Types of Training Methods	3	Lecture Mode	T1


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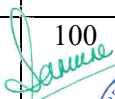

33	15 th November, 2022	Career Development, Career stages, Training	Types of Training Methods	3	Lecture Mode	T1
34	16 th November, 2022	Performance Appraisal	Steps in Performance Appraisal	3	Lecture Mode	T1
35	17 th November, 2022	Case Study on Module 3	TBA	3	Participative Mode	T1
36	18 th November, 2022	Group Presentation	Based on Assigned project Work	3	Demostratio n Mode	T1
37	21 st November, 2022	Group Presentation	Based on Assigned project Work	3	Demostratio n Mode	T1
38	22 nd November, 2022	Group Presentation	Based on Assigned project Work	3	Demostratio n Mode	T1
39	23 rd November, 2022	Group Presentation	Based on Assigned project Work	3	Demostratio n Mode	T1
40	25 th November, 2022	Module 4: Creativity and Innovation	Steps involved in creativity	4	Lecture Mode	T1
41	28 th November, 2022	Motivation and Satisfaction - Motivation Theories	Types of motivation theories	4	Lecture Mode	T1
42	29 th November, 2022	Motivation and Satisfaction - Motivation Theories	Types of motivation theories	4	Lecture Mode	T1
43	30 th November, 2022	Leadership Styles - Leadership theories	Traits and types of various leadership styles	4	Lecture Mode	T1
44	2 nd December, 2022	Leadership Styles - Leadership theories	Traits and types of various leadership styles	4	Lecture Mode	T1
45	5 th December, 2022	Communication - Barriers to effective communication	Process of Communication and barriers in communication	4	Lecture Mode	T1

46	6 th December, 2022	Communication - Barriers to effective communication	Process of Communication and barriers in communication	4	Lecture Mode	T1
47	7 th December, 2022	Organization Culture - Elements and types of culture	Understanding organization culture and types	4	Lecture Mode	T1
48	9 th December, 2022	Organization Culture - Elements and types of culture	Understanding organization culture and types	4	Lecture Mode	T1
49	12 th December, 2022	Managing cultural diversity	How to manage various cultural diversities	4	Lecture Mode	T1
50	13 th December, 2022	Case Study on Module 4	TBA	4	Participative Mode	T1
51	16 th December, 2022	Controlling: Definition- Nature and Purpose of Control	Understanding Controlling and nature	5	Lecture Mode	T1
52	19 th December, 2022	Definition- Nature and Purpose of Control	Purpose of Control	5	Lecture Mode	T1
53	20 th December, 2022	Control Process	Steps controlling process	5	Lecture Mode	T1
54	21 st December, 2022	Barriers for Controlling	How to avoid barriers in Controlling	5	Lecture Mode	T1
55	23 rd December, 2022	Requiring for Effective Control	How to control effectively	5	Lecture Mode	T1
56	26 th December, 2022	Types of control	Types of control	5	Lecture Mode	T1
57	27 th December, 2022	Feed Forward	Types of control	5	Lecture Mode	T1
58	28 th December, 2022	Concurrent Control- Feedback Control	Types of control	5	Lecture Mode	T1

59	29 th December, 2022	Case Study on Module 5	TBA	5	Participative Mode	T1
60	31 st December, 2022	Course Wrap- up	Concluding Remarks	1,2,3,4,5		

ASSESSMENT SCHEDULE: Topics relevant to “ENTREPRENEURSHIP SKILLS”: Management Principles, Applicability of principles in law for developing Entrepreneurship Skills through Participative techniques. This is attained through the group discussion as mentioned in the assessment component

Sl.no	Assessment type[Include here assessment method for self-learning component also]	Contents	Course outcome Number	Duration In Hours	marks	weightage	Venue, DATE & TIME
1	Group Discussion	Theory	CO1,2	15 th September, 2022- 7 th December, 2022	20	40%	15 th November , 2022
2	E Resource ASSIGNMENT- Review of digital/e-resource from Presidency University. Link given in the reference section (Mandatory to submit the screenshot accessing digital resource, otherwise it will not be evaluated.)	https://www.researchgate.net/publication/271644626 Principles of Management	CO1,2, 3, 4, 5, 6	Self	10	5%	7th December ,2022
3	Assignment	Assignment	CO1,2, 3, 4, 5, 6	To be notified	20	10%	7 th December, 2022
4	Mid Term	Module 1 & 2	CO1, 2	To be notified	50	25%	3 rd November -9 th November , 2022
5	End Term Examination	All Modules	CO 1, 2, 3, 4	To be notified	100	50%	23 rd to 31 st January, 2023

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COURSE CLEARANCE CRITERIA:

As per University Guidelines.

CONTACT TIMINGS IN THE CHAMBER FOR ANY DISCUSSIONS:

All working days from Monday-Saturday during break time for students. They can reach us through email to clarify course related doubts and issues. Students can reach instructor in-charge on sanjaykumar@presidencyuniversity.in


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SAMPLE THOUGHT PROVOKING QUESTIONS:

SL.No	QUESTION	MARKS	COURSE OUTCOME	BLOOM'S LEVEL
1	Define the functions of Management POSDCORB to any law firm you are aware of?	10	1	Knowledge
2	Describe how planning and decision making process happens in any law firm related to taking up a case or not.	15	2	Knowledge
3	Distinguish how organization structure for a law firm varies from police department	20	3	Comprehension
4	Apply different types of leadership styles to India's popular advocates and conclude whose leadership style is inspiring to you.	20	4	Application
5	Once a case is filed in a court by lawyer, to what extent you think case is under the control of the lawyer. If yes, explain the control mechanism process from filing the case to judgment day.	20	5	Knowledge

Target set for course Outcome attainment:

Sl.no	C.O. No.	Course Outcomes	Target set for attainment in percentage
01	Co1	Define basic concepts of Management.	40
02	Co2	Describe what is planning process and how decision to be made.	35
03	Co3	Distinguish between different organization structures.	35
04	Co4	Discuss different leadership style.	30
05	Co5	Interpret different types of control process	30

Signature of the course Instructor:

This course has been duly verified Approved by the D.A.C

Signature of the Chairperson D.A.C.

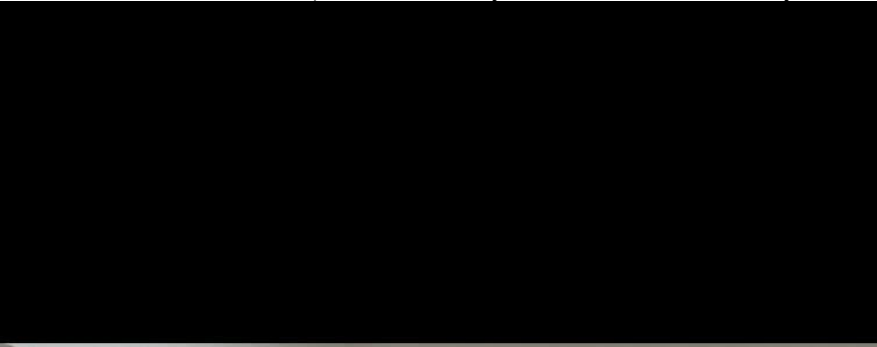




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Karnataka IN

Latitude: 13.1682167

Longitude: 77.5334495

Date: 03 Feb 2023

Time: 02:46 PM



S. Anis
Dean - SOL

Course Code: LAW4026	Course Title: Law of Equity and Trust			L- P- C			
	Type of Course: Honor Program Core and Theory only				4	0	4
Version No.	1.0						
Course Pre-requisites	Law of taxation (LAW3010)						
Anti-requisites	NIL						
Course Description	This course aims to provide inputs in area of general principal of Equity, Trust and Fiduciary Relations and remedies available under Equity. The course delves into various General Equity maxims and evolution of law. It also looks into development of the concept of trust and enactment of Indian Trust Act, 1882. It briefly touches on the concept of fiduciary relationships						
Course Out Comes	On successful completion of the course the students shall be able to: <ul style="list-style-type: none"> • Understand the concept of Equity and its development over a period of time under various legal systems • Understand the significance of equity under various legal system • Interpret various general Maxims of Equity and evolution of law • Explain the evolution of concept of trust and its various types • Analyze various provisions of Indian Trust Act, 1882 as it applies to various issues pertaining to trust 						
Course Objective	The objective of the course is to familiarize the learners with the concepts of Equity and Trust and attain Entrepreneurship through Participative techniques.						
Course Content:							
Module 1	INTRODUCTION	Assignment	Group Discussion and Debate				12
<i>Module 1: INTRODUCTION TO EQUITY</i> <ul style="list-style-type: none"> • Origin and Growth of Equity • Nature and Scope of Law and Equity • Sources of Law • Equity as a Source of Law 							
Module 2	EQUITY UNDER SEVERAL LEGAL SYSTEMS	• Meaning, Concept, and scope	Group Activity				08
<i>Module 2: EQUITY UNDER SEVERAL LEGAL SYSTEMS</i> <ul style="list-style-type: none"> • Importance of Equity under <ul style="list-style-type: none"> ○ Roman Legal system ○ English Legal system 							

<ul style="list-style-type: none"> ○ Indian Legal system ● History and development of Equity in Indian Legal System ● Equitable Rights and Interests under Indian Law <ul style="list-style-type: none"> ○ Nature of Equitable Rights and Interests ○ Classification of Equitable 				
Module 3	MAXIMS OF EQUITY	Assignment	Team Activity	10
<ul style="list-style-type: none"> ● Working Principles of Equity <ul style="list-style-type: none"> ○ Equity will not suffer a wrong to be without a remedy ○ Equity follows the law ○ He who seeks equity must do equity ○ He who comes into equity must come with clean hands ○ Delay defeats equities ○ Equality is equity ○ Equity looks to the intent rather than the form ○ Equity imputes an intention to fulfill an obligation ○ Equity acts in <i>personam</i> 				
Module 4	INTRODUCTION TO TRUSTS	INTRODUCTION TO TRUSTS	Team Activity	10
<p><i>Module 4: INTRODUCTION TO TRUSTS</i></p> <ul style="list-style-type: none"> ● History of the Trust ● Definition of trust and its comparison with other analogous relations <ul style="list-style-type: none"> ○ Trust and Condition ○ Trust and bailment ○ Trust and Agency ○ Trust and Contract ● Classification of Trusts <ul style="list-style-type: none"> ○ Creation of trusts ○ Express Private Trusts ○ Express Public (or charitable) Trusts ○ Religious Trusts ● Endowments, Trust and Breaches ● Doctrine of <i>Cy pres</i> 				
Module 5	<u>THE INDIAN TRUST ACT, 1882</u>	INTRODUCTION TO TRUSTS	Team Activity	8
<p><u>THE INDIAN TRUST ACT, 1882</u></p> <ul style="list-style-type: none"> ● Creation of Trusts ● Duties and Liabilities of Trustees ● Rights and Powers of Trustees ● Disabilities of Trustees ● Rights and Liabilities of the Beneficiary ● Vacating the office of Trustee ● Extinction of Trusts 				

- The obligation in the nature of Trusts

Targeted Application & Tools that can be used: NIL

Project work/Assignment: Mention the Type of Project /Assignment proposed for this course

1. Visit a public charitable trust and understand its objectives
2. Debate competition on "Equitable Treatment under Law"
3. Analyze any 3 cases from the list given below and enumerate application of various principles of Equity and Trusts in the judgements

Resources

Case studies

1. P.C. Chacko vs. Annamma & Ors. Kerala High Court AIR 1994 Ker 107
2. Earl of Oxford (1615 21 ER 485)
3. M.C. Mehta vs. Kamal Nath & Ors. Supreme Court of India (2002) Writ Petition No. (Civil) 182 of 1996
4. MI Builders Pvt. Ltd vs Radhey Shyam Sahoo, AIR 1999, Supreme Court Decision No. 2468
5. Shailesh R. Shah vs. State of Gujarat, Gujarat High Court (2002) Special Civil Application No.10621 of 2000
6. Namdeo Lokman Lodhi vs. Narnadabai & Ors. 1953 AIR 228
7. Ratan Lal vs. Vardesh Chander & Ors. 1965 AIR 588

Books

1. M.P. Tandon, Principles of Equity with Trust and Specific Relief
2. Snell Edmund Henry, Principles of Equity
3. Handbury and Maudsley, Modern Equity
4. G.W. Keeton, Law of Trusts
5. Aquil Ahmad, Equity, Trusts and Specific Relief

Other Resources

1. Desai S.T., *Equity, Trusts, and Specific Relief*.
2. Gandhi B.M., *Equity, Trusts, and Specific Relief*, Eastern Book Company.
3. Jhabwala N.H, *Elements of Equity, Trusts and Specific Relief*.
4. Rao Subha GCV, *Equity, Trust and Fiduciary Relation*.
5. Singh G.P., *Principles of Equity*.
6. Singh G.P., *Equity, Trusts, Mortgage and Fiduciary Relations*, Central Law Agency.

Prescribed Legislations

1. The Indian Trust Act, 1882
2. Specific Relief Act, 1877
3. Indian Succession Act, 1925
4. Transfer of Property Act, 1882

TOPICS RELEVANT TO THE DEVELOPMENT OF ENTERPRENURSHIP SKILL - Equity, trust

Catalogue prepared by	Ms. Kshama Pandey
Recommended by the Board of Studies on	13 th BOS 22 nd July, 2022
Date of Approval by the Academic Council	5 th September 2022

Sanne
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PRESIDENCY UNIVERSITY
BANGALORE



PRESIDENCY UNIVERSITY

(Established under the Presidency University Act, 2013 of the Karnataka Act 41 of 2013)

ACA-2[2022] COURSE HAND OUT

SCHOOL: LAW

DEPT.: School of Law

DATE OF ISSUE: 5th September, 2022

NAME OF THE PROGRAM: B.A.LLB (Hons)/Bcom LLB(Hons)/ BBA LLB (Hons)

P.R.C. APPROVAL REF.: PU/AC18.14/SOL13/BBL/2018- 23, PU/AC18.14/SOL13/BAL/2018-23, PU/AC18.14/SOL13/BCL/2018- 23

SEMESTER/YEAR: IX Sem / 5th Year

COURSE TITLE & CODE: Law of Equity and Trust / LAW4026

COURSE CREDIT STRUCTURE: 4-0-4

CONTACT HOURS: Four hours a week in each section as per the timetable- 60 hours

COURSE INSTRUCTOR: Ms. Kshama Pandey

1. PROGRAM OUTCOMES:

PO-1 Ability to apply the fundamental concept of Humanities, Commerce and Management to legal problems

PO-2 Ability to develop critical thinking skill

PO-3 Ability to identify, analyze and solve legal problem

PO-4 Ability to conduct dispute resolution with professional ethics and integrity

PO-5 Ability to draft professional legal writing along with effective oral communication

PO-6 Ability to apply legal theory to factual settings

PO- 7 Ability to apply legal theory to engage in legal argumentation

PO-8 Ability to conduct independent legal research specific to the case

PO- 9 Ability to conduct client services with necessary usage of technological tools

PO-10 Recognition of the need for engaging in lifelong learning

PO-11 Exhibit social and professional responsibility adhering to ethical and moral values


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PO -12 Ability to adapt knowledge of contemporary issues

PO- 13 Ability to function in multidisciplinary team

2. COURSE PREREQUISITES: Law of taxation (LAW3010)

3. COURSE DESCRIPTION: This course aims to provide inputs in area of general principal of Equity, Trust and Fiduciary Relations and remedies available under Equity. The course delves into various General Equity maxims and evolution of law. It also looks into development of the concept of trust and enactment of Indian Trust Act, 1882. It briefly touches on the concept of fiduciary relationships.

4. COURSE OBJECTIVE: The objective of the course is to familiarize the learners with the concepts of Equity and Trust and attain Entrepreneurship through Participative techniques.

5. COURSE OUTCOMES:

On successful completion of the course the students shall be able to:

- Understand the concept of Equity and its development over a period of time under various legal systems
- Understand the significance of equity under various legal system
- Interpret various general Maxims of Equity and evolution of law
- Explain the evolution of concept of trust and its various types
- Analyze various provisions of Indian Trust Act, 1882 as it applies to various issues pertaining to trust.

6.MAPPING OF C.O. WITH P.O. [H-HIGH , M- MODERATE, L-LOW]

C.O.NO.	P.O-02	P.O -03	P.O -05	P.O -06	P.O -07	P.O -08	PO -10	P.O -12
CO1	L			M	M			
CO2	M	H		M	M		H	
CO3		H	M			H	H	

CO4	L	M	M	M				H
CO5	M	H		M	M		H	

6. COURSE CONTENT (SYLLABUS):

MODULE I: INTRODUCTION TO EQUITY

C.O. No. 1- 12 Hours - Comprehension

- Origin and Growth of Equity
- Nature and Scope of Law and Equity
- Sources of Law
- Equity as a Source of Law

MODULE II - EQUITY UNDER SEVERAL LEGAL SYSTEMS

C.O. No. 2 - 10 Hours - Comprehension

- Importance of Equity under
 1. Roman Legal system
 2. English Legal system
 3. Indian Legal system
- History and development of Equity in Indian Legal System
- Equitable Rights and Interests under Indian Law
 1. Nature of Equitable Rights and Interests
 2. Classification of Equitable

MODULE III - MAXIMS OF EQUITY
Comprehension

C.O.No. 3 -10 Hours –

- Working Principles of Equity
 - Equity will not suffer a wrong to be without a remedy
 - Equity follows the law
 - He who seeks equity must do equity
 - He who comes into equity must come with clean hands
 - Delay defeats equities
 - Equality is equity
 - Equity looks to the intent rather than the form
 - Equity imputes an intention to fulfill an obligation
 - Equity acts in personam

MODULE IV – INTRODUCTION TO TRUSTS
Comprehension

C.O.No.4 -10 Hours -

- History of the Trust
- Definition of trust and its comparison with other analogous relations
 - Trust and Condition
 - Trust and bailment
 - Trust and Agency
 - Trust and Contract
- Classification of Trusts
 - Creation of trusts
 - Express Private Trusts
 - Express Public (or charitable) Trusts
 - Religious Trusts
- Endowments, Trust and Breaches
- Doctrine of Cypres

MODULE V – INDIAN TRUST ACT

C.O.No. 5 – 08 hours

The Indian Trust Act, 1882

- Creation of Trusts
- Duties and Liabilities of Trustees
- Rights and Powers of Trustees
- Disabilities of Trustees
- Rights and Liabilities of the Beneficiary
- Vacating the office of Trustee
- Extinction of Trusts
- The obligation in the nature of Trusts

7. DELIVERY PROCEDURE (PEDAGOGY):

The course will be conducted by the use of the lecture and discussion method. The course will also include case law analysis. The course will also involve critical appraisal of current issues in Law relating to Equity and Trust

S l. N o.	Self-Study Topic (will be part of assessment)	Source
1.	Equity looks to the intent rather than the form	Handbury and Maudsley, Modern Equity
2.	Equity imputes an intention to fulfill an obligation	Handbury and Maudsley, Modern Equity
3.	Equity acts in <i>personam</i>	Handbury and Maudsley, Modern Equity

8. REFERENCE MATERIALS: (KINDLY MENTION THE EDITION OF THE BOOK)

Acts and Statutes (As Amended)

1. The Indian Trust Act, 1882
2. Specific Relief Act, 1877
3. Indian Succession Act, 1925
4. Transfer of Property Act, 1882

Text Books

1. M.P. Tandon, Principles of Equity with Trust and Specific Relief
2. Snell Edmund Henry, Principles of Equity
3. Handbury and Maudsley, Modern Equity



4. G.W. Keeton, Law of Trusts
5. Aquil Ahmad, Equity, Trusts and Specific Relief

Reference Books

1. Desai S.T., *Equity, Trusts, and Specific Relief*.
2. Gandhi B.M., *Equity, Trusts, and Specific Relief*, Eastern Book Company.
3. Jhabwala N.H, *Elements of Equity, Trusts and Specific Relief*.
4. Rao Subha GCV, *Equity, Trust and Fiduciary Relation*.
5. Singh G.P., *Principles of Equity*.
6. Singh G.P., *Equity, Trusts, Mortgage and Fiduciary Relations*, Central Law Agency.

Cases: -

1. P.C. Chacko vs. Annamma & Ors. Kerala High Court AIR 1994 Ker 107
2. Earl of Oxford (1615 21 ER 485)
3. M.C. Mehta vs. Kamal Nath & Ors. Supreme Court of India (2002) Writ Petition No.(Civil) 182 of 1996
4. MI Builders Pvt. Ltd vs Radhey Shyam Sahoo, AIR 1999, Supreme Court Decision No. 2468
5. Shailesh R. Shah vs. State of Gujarat, Gujarat High Court (2002) Special Civil Application No. 10621 of 2000
6. Namdeo Lokman Lodhi vs. Narnadabai & Ors. 1953 AIR 228
7. Ratan Lal vs. Vardesh Chander & Ors. 1965 AIR 588

Digital References (KINDLY FOLLOW A UNIFORM CITATION FORMAT)

1. Davies, J. D. Review of *Equity and Trusts*, by H. A. J. Ford and W. A. Lee. *Oxford Journal of Legal Studies* 4, no. 3 (1984): 421–28. <http://www.jstor.org/stable/764185>.
2. Behari, Avadh. *Journal of the Indian Law Institute* 7, no. 4 (1965): 561–63. <http://www.jstor.org/stable/43949863>.
3. Haas, Richard S. “Specific Relief: Scope of Civil Code Section 3380.” *California Law Review* 36, no. 4 (1948): 647–50. <https://doi.org/10.2307/3478279>.
4. Farnsworth, E. Allan. “Damages and Specific Relief.” *The American Journal of Comparative Law* 27, no. 2/3 (1979): 247–53. <https://doi.org/10.2307/840031>.
5. Garrigues, Joaquín. “Law of Trusts.” *The American Journal of Comparative Law* 2, no. 1 (1953): 25–35. <https://doi.org/10.2307/837993>.

9. GUIDELINES TO STUDENTS:

1. Students are expected to read the basic materials provided to them.



2. Read all the allotted cases diligently.
3. Pay attention to the news and read case summaries, if not entire judgements of contemporary and current cases.
4. Pay attention to the class and participate in discussions.

10. COURSE SCHEDULE:

Sl. No.	ACTIVITY	STARTING DATE	CONCLUDING DATE	TOTAL NUMBER OF PERIODS
1)	Overview of the course	12 th September, 2022	12 th September, 2022	01
2)	Module : 01	13 th September, 2022	10 th October, 2022	12
3)	Module: 02	11 th October, 2022	31 st October, 2022	10
4)	Mid Term	3 rd November, 2022	9 th November, 2022	To be notified
5)	Module:03	2 nd November, 2022	17 th November, 2022	10
6)	Module 04	18 th November, 2022	28 th November, 2022	10
7)	Module 05	22 nd December, 2022	31 st December, 2022	08
8)	Assignment/any other activity/Guest Lecture/Field Visit			-
9)	End Term			To be notified

11. SCHEDULE OF INSTRUCTION:


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Sl. No	Session no	Lesson Title	Topics	CO Number	Delivery Mode	Reference
01)	12th September, 2022	INTRODUCTION	Origin and Growth of Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
02)	13th September, 2022	INTRODUCTION	Origin and Growth of Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
03	14th September, 2022	INTRODUCTION	Origin and Growth of Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
04	15th September, 2022	INTRODUCTION	Nature and Scope of Law and Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
05	16th September, 2022	INTRODUCTION	Nature and Scope of Law and Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
06	19th September, 2022	INTRODUCTION	Nature and Scope of Law and Equity	CO1	Lecture and	M.P. Tandon, Principles

					discussion	of Equity with Trust and Specific Relief
07	20th September, 2022	INTRODUCTION	Nature and Scope of Law and Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
08	21st September, 2022	INTRODUCTION	Nature and Scope of Law and Equity	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
09	22nd September, 2022	INTRODUCTION	Sources of Law	CO1	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
10	23rd September, 2022	INTRODUCTION	Sources of Law	CO2	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
11	26th September, 2022	INTRODUCTION	Sources of Law	CO2	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief

12	26th September, 2022	INTRODUCTION	Equity as a Source of Law	CO2	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
13	27th September, 2022	INTRODUCTION	Equity as a Source of Law	CO2	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
14	28th September, 2022	INTRODUCTION	Equity as a Source of Law	CO2	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
15	29th September, 2022	INTRODUCTION	Equity as a Source of Law	CO2	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
16	30th September, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under Roman Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
17	7th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under Roman Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
18	10th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under Roman Legal system	CO2	Lecture and discussion	Snell Edmund Henry,

						Principles of Equity
19	11th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under English Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
20	12th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under English Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
21	14th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under English Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
22	17th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under Indian Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
23	18th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Importance of Equity under Indian Legal system	CO2	Lecture and discussion	Snell Edmund Henry, Principles of Equity
24	19th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	History and development of Equity in Indian Legal System	CO2	Lecture and discussion	Aquil Ahmad, Equity, Trusts and Specific Relief
25	21st October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	History and development of Equity in	CO2	Lecture and	Aquil Ahmad, Equity,

			Indian Legal System		discussion	Trusts and Specific Relief
26	28th October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Nature of Equitable Rights and Interests	CO2	Lecture and discussion	Aquil Ahmad, Equity, Trusts and Specific Relief
27	31st October, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Nature of Equitable Rights and Interests	CO2	Lecture and discussion	Aquil Ahmad, Equity, Trusts and Specific Relief
28	2nd November, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Classification of Equitable	CO2	Lecture and discussion	Aquil Ahmad, Equity, Trusts and Specific Relief
29	3rd November-9th November, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Classification of Equitable	CO2	Lecture and discussion	Aquil Ahmad, Equity, Trusts and Specific Relief
30	10th November, 2022	EQUITY UNDER SEVERAL LEGAL SYSTEMS	Classification of Equitable	CO2	Lecture and discussion	Aquil Ahmad, Equity, Trusts and Specific Relief
31	11th November, 2022	MAXIMS OF EQUITY	Working Principles of Equity	CO2	Lecture and discussion	Handbury and Maudsley, Modern Equity
32	14th November, 2022	MAXIMS OF EQUITY	Equity will not suffer a wrong to be without a remedy	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
33	15th November, 2022	MAXIMS OF EQUITY	Equity will not suffer a wrong	CO3	Lecture and	Handbury and Maudsley,

				to be without a remedy		discussion	Modern Equity
34	16th November, 2022	MAXIMS EQUITY	OF	Equity follows the law	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
35	17th November, 2022	MAXIMS EQUITY	OF	Equity follows the law	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
36	18th November, 2022	MAXIMS EQUITY	OF	He who seeks equity must do equity	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
37	21st November, 2022	MAXIMS EQUITY	OF	He who seeks equity must do equity	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
38	22nd November, 2022	MAXIMS EQUITY	OF	He who comes into equity must come with clean hands	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
39	23rd November, 2022	MAXIMS EQUITY	OF	He who comes into equity must come with clean hands	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
40	25th November, 2022	MAXIMS EQUITY	OF	Delay defeats equities	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
41	28th November, 2022	MAXIMS EQUITY	OF	Delay defeats equities	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity

42	29th November, 2022	MAXIMS OF EQUITY	Equality is equity	CO3	Lecture and discussion	Handbury and Maudsley, Modern Equity
43	30th November, 2022	MAXIMS OF EQUITY	Equity looks to the intent rather than the form	CO3	Self Study	Handbury and Maudsley, Modern Equity
44	2nd December, 2022	MAXIMS OF EQUITY	Equity imputes an intention to fulfill an obligation	CO3	Self Study	Handbury and Maudsley, Modern Equity
45	5th December, 2022	MAXIMS OF EQUITY	Equity acts in <i>personam</i>	CO3	Self Study	Handbury and Maudsley, Modern Equity
46	6th December, 2022	INTRODUCTION TO TRUSTS	History of the Trust	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
47	7th December, 2022	INTRODUCTION TO TRUSTS	Definition of trust and its comparison with other analogous relations	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
48	9th December, 2022	INTRODUCTION TO TRUSTS	Trust and Condition	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief



49	12th December, 2022	INTRODUCTION TO TRUSTS	Trust and bailment	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
50	13th December, 2022	INTRODUCTION TO TRUSTS	Trust and Agency	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
51	December, 2022	INTRODUCTION TO TRUSTS	Trust and Contract	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
52	16th December, 2022	INTRODUCTION TO TRUSTS	Classification of Trusts <ul style="list-style-type: none"> • Creation of trusts • Express Private Trusts • Express Public (or charitable) Trusts • Religious Trusts 	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief

53	19th December, 2022	INTRODUCTION TO TRUSTS	Classification of Trusts <ul style="list-style-type: none"> • Creation of trusts • Express Private Trusts • Express Public (or charitable) Trusts • Religious Trusts 	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
54	20th December, 2022	INTRODUCTION TO TRUSTS	Endowments, Trust and Breaches	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
55	21st December, 2022	INTRODUCTION TO TRUSTS	Doctrine of <i>Cy pres</i>	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
56	23rd December, 2022	INTRODUCTION TO TRUSTS	Creation of Trusts	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief

57	26th December, 2022	INTRODUCTION TO TRUSTS	Duties and Liabilities of Trustees ; Rights and Powers of Trustees	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
58	27th December, 2022	INTRODUCTION TO TRUSTS	Disabilities of Trustees; Rights and Liabilities of the Beneficiary	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
59	28th December, 2022	INTRODUCTION TO TRUSTS	Vacating the office of Trustee; Extinction of Trusts	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief
60	30th December, 2022	INTRODUCTION TO TRUSTS	The obligation in the nature of Trusts	CO3	Lecture and discussion	M.P. Tandon, Principles of Equity with Trust and Specific Relief

12. ASSESSMENT SCHEDULE: ASSESSMENT SCHEDULE: Topics relevant to “ENTREPRENEURSHIP SKILLS”: Duties and Liabilities of Trustees, Rights and Powers of Trustees, Equity imputes an intention to fulfill an obligation, Equity as a Source of Law for developing **Entrepreneurship Skills** through participative learning. This is attained through the Projects, Research Assignments and Group Discussion as mentioned in the assessment component.

Sl.no	Assessment type[Include here assessment method for self-learning	contents	Course outcome Number	Duration In Hours	Marks	Weightage	Venue, DATE & TIME
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	component also]						
1.	Research Paper	Any Module	CO 1, 2, 3, 4	15th September, 2022-7th December, 2022	20	40	7th December, 2022
2.	E Resource ASSIGNMENT -\Review of digital/e-resource from Presidency University. Link given in the reference section (Mandatory to submit the screenshot accessing digital resource, otherwise it will not be evaluated.)	Yock Lin, Tan. "MAKING AND REMAKING EQUITY AND TRUSTS IN THE LAW SCHOOL." <i>Singapore Journal of Legal Studies</i> , 2017, 270–84. http://www.jstor.org/stable/44986456 .	CO 1,2,3,4	Self	10	5%	7th December, 2022
3.	Presentation & Viva	Research paper	CO1,2, 3, 4	To be notified	20	10%	
4.	Mid Term	Module 1, 2	CO1, CO2 ,	60 Minutes	60	30%	Will be notified
	End Term Examination	All Modules	CO1,2, 3, 4	180 Minutes	100	50%	Will be notified


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13. COURSE CLEARANCE CRITERIA:

As per University Policy and guidelines.

14. MAKEUP POLICY:

As per University Guidelines

15. CONTACT TIMINGS IN THE CHAMBER FOR ANY DISCUSSIONS:

Students may visit the course instructor in the University during working hours on all working days after seeking a prior appointment.

16. SAMPLE THOUGHT PROVOKING QUESTIONS:

SL NO	QUESTION	MARKS	COURSE OUTCOME NO.	BLOOM'S LEVEL
1)	Explain the Nature of Equitable Rights and Interests	10 Mark	CO3	Comprehension
2)	Critically evaluate the Working Principles of Equity	10 Mark	CO2	Analysis

17. TARGET SET FOR COURSE OUTCOME ATTAINMENT:

Sl.no	C.O. No.	Course Outcomes	Target set for attainment in percentage
1)	CO1	Understand the concept of Equity and its development over a period of time under various legal systems	60%
2)	CO2	Understand the significance of equity under various legal system	70%
3)	CO3	Interpret various general Maxims of Equity and evolution of law	65%

4)	CO4	Explain the evolution of concept of trust and its various types	60%
5)	CO5	Analyze various provisions of Indian Trust Act, 1882 as it applies to various issues pertaining to trust	60%

Signature of the Course Instructor:

This course has been duly verified Approved by the D.A.C.

Signature of the Chairperson D.A.C.

Course Completion Remarks & Self-Assessment. [This has to be filled after the completion of the course]

[Please mention about the course coverage details w.r.t. the schedule prepared and implemented. Any specific suggestions to incorporate in the course content. Any Innovative practices followed and its experience. Any specific suggestions from the students about the content, Delivery, Evaluation etc.]

Sl. No.	Activity As listed in the course Schedule	Scheduled Completion Date	Actual Completion Date	Remarks

Any specific suggestion/Observations on content/coverage/pedagogical methods used etc.:

Jane
REGISTRAR
PRESIDENCY UNIVERSITY
BANGALORE

Course Outcome Attainment:

Sl. No.	C.O. No.	Course Outcomes	Target set for attainment in percentage	Actual C.O. Attainment In Percentage	Remarks on attainment & Measures to enhance the attainment
01	CO1				
02	CO2				
03					
04					
05					
06					

Name and signature of the Course Instructor:

D.A.C. observation and approval:


REGISTRAR





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PRESIDENCY UNIVERSITY

(Private University Estd. in Karnataka State by Act No.41 of 2013)

School of Law

Activity Report on Equity and Trust Conducted

Details of Experiential

Introduction:

As part of the assessment of **Equity and Trust**, a **Experiential** was employed. For the students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.), a Moot was conducted in the course of Equity and Trust. The purpose of this exercise was to provide students with a practical understanding of Equity and Trust and its scope with respect to the recent issues brought before the various forums. The exercise aimed to enhance students' analytical and communication skills while simulating real-world scenarios that they might encounter in their professional careers.

Objective:

The primary objectives of the arbitration exercise were as follows:

- To enable students to apply their knowledge to practical disputes.
- To enhance students' ability to construct persuasive arguments.
- To develop critical thinking and problem-solving skills in resolving disputes relating to <course title>.
- To improve their Entrepreneurship abilities.

Participants:

- The exercise involved students of BA LLB(Hons.), BBA LLB(Hons.) and BCom LLB(Hons.) students of semester 5

Exercise Details:

Preparatory Phase: Before the exercise, students were introduced to the concept of topic involved in the assessment in class. They were provided with relevant study materials and case studies to analyze. Additionally, they were guided on the basic framework governing the area of Equity and Trust.

Preparation and Research: Each group was given sufficient time to thoroughly investigate and prepare their respective arguments. Students were encouraged to research primary and secondary sources of data for the same.

Assessment Day: On the day of the exercise, the participants presented their assessment before a panel of mock Judges, which consisted of teachers.

Award and Feedback: Following the presentations, the faculty provided feedback to each student, evaluating their performance based on their performance.

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Conclusion:

Furthermore, the exercise allowed students to work collaboratively as a team, promoting teamwork and cooperation among them. The feedback provided by the panel of Judges proved invaluable, as it highlighted areas for improvement and offered constructive criticism.

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Lat: N 13°10'5.95524 Date: 19/12/2022

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