



PRESIDENCY UNIVERSITY

(Established under the Presidency University Act, 2013 of the Karnataka Act 41 of 2013)

PRESIDENCY UNIVERSITY Ph.D. REGULATIONS

March 2025

Recommended by Resolution **No.03** of the **Sixteenth** meeting of R&IC held on **June 02, 2025** and approved by **Twenty Sixth** Academic Council Meeting held on **July 25, 2025** and Twenty **Seventh** Board of Management meeting held on **July 28, 2025**.

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Presidency University Ph.D. Regulations

In exercise of the powers conferred by and in discharge of duties assigned under the relevant provision(s) of the Act and Statutes of the Presidency University, the Academic Council on the recommendations of the Research and Innovation Council, hereby makes the following Regulations, namely;

Preliminary

Short Title and Commencement

- a) These Regulations shall be called the **Presidency University Ph.D. Regulations**.
- b) They shall come into force with immediate effect.
- c) The degree of Doctor of Philosophy (Ph.D.) shall be conferred on a Research Scholar who successfully completes all the requirements specified in the **Presidency University Ph.D. Regulations**.
- d) These Regulations are in accordance with the provisions and Guidelines of the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022. Further Amendments as notified, shall be amended to these Regulations.
- e) These Regulations may evolve and get amended or modified or changed through appropriate approvals from the Academic Council, from time to time, and shall be binding on all concerned.

Definitions

In these Regulations, unless the context otherwise requires:

- a) *“Academic Council” means the Academic Council of the University;*
- b) *“Academic Regulations” means the Academic Regulations of Presidency University;*
- c) *“Act” means the Presidency University Act, 2013;*
- d) *“Centre” means a Presidency University Research Centre established for monitoring, supervising and guiding, teaching, training and research activities in broadly related fields of studies;*
- e) *“Clause” means duly numbered Clauses of these Regulations;*
- f) *“Controller of Examinations” (COE) means the Controller of Examinations of the University;*
- g) *“Co-Supervisor” shall mean a faculty member (Regular / Professor of Practice / Adjunct Professor / Emeritus Professor) of the University / any other UGC recognized University, or, a scientist / senior professional / domain expert in a reputed organization / industry approved to co-supervise / co-Supervisor the research / academic work of the Research Scholar;*
- h) *“Course” means, a specific subject usually identified by its course-code and course-title, with specified credits and syllabus / course-description, a set of references, taught by some faculty member(s) / Course-instructor(s) to a specific class during a specific Academic Semester / Term*
- i) *“Dean” means the Dean of School / Centre of the University;*
- j) *“Degree” means the Degree of Doctor of Philosophy (Ph.D.) of the University;*

- k) *"Full-time Research Scholar" shall mean a student admitted to the Ph.D. Program of the University and devoting full-time for completing the degree requirements;*
- l) *"Head of Department" means the Head of Department within a School of the University;*
- m) *"Part-time Research Scholar" shall mean a student admitted to the Ph.D. Program of the University and continues to be employed in a University / Institute / Organization, or, self-employed / not employed during the Ph.D. Program;*
- n) *"Program" means Ph.D. Degree Program of the University;*
- o) *"Research Advisory Committee" (RAC) means the Research Advisory Committee assigned to the Research Scholar;*
- p) *"Research and Innovation Council (R&IC)" means the Research and Innovation Council of the University;*
- q) *"Research Scholar" shall mean a student admitted to the Ph.D. Program of the University;*
- r) *"Research Supervisor" shall mean a regular full-time faculty member of the University approved to supervise the research / academic work of the Research Scholar;*
- s) *"School / Department" means a constituent School / Department / Centre of the University established for monitoring, supervising and guiding, teaching, training and research activities in broadly related fields of studies;*
- t) *"Section" means duly numbered Sections of these Regulations;*
- u) *"Statutes" means the Statutes of Presidency University;*
- v) *"Sub-Clause" means duly numbered Sub-Clauses of these Regulations;*
- w) *"Supervisors" means Research Supervisors and Co-Supervisor(s) as applicable;*
- x) *"UGC" means University Grants Commission of India established by the UGC Act 1956;*
- y) *"University" means Presidency University (PU);*
- z) *"Vice Chancellor" means the Vice Chancellor of Presidency University.*

1.0 Introduction

Presidency University (PU) offers Ph.D. Programs through its Departments / Schools / Research Centres, hereinafter referred to as Department(s), leading to the award of the degree of Doctor of Philosophy, abbreviated as Ph.D. The award of Ph.D. Degree is in recognition of independent and original research work, which makes a contribution to the advancement of knowledge either by the discovery of facts, or by a fresh approach towards the interpretation and application of facts, or development of innovative solutions, services, products and technologies. The University also encourages research in interdisciplinary and multidisciplinary areas.

2.0 Research and Innovation Council

The Research and Innovation Council (R&IC) is a statutory authority under the provisions of the Presidency University Act. Research and Innovation Council shall, subject to the provisions of the Act, Statutes, and Regulations, exercise general supervision over the research policies of the University, and govern all aspects of the Ph.D. Program of the University.

3.0 Categories of Admission

Candidates shall be admitted to the Ph.D. Program under one of the following categories:

3.1 Full-Time Research Scholar:

- 3.1.1 Research Scholar with UGC-JRF Fellowship, or with Fellowships like National Doctoral Fellowship (NDF), Council of Scientific and Industrial Research (CSIR), Department of Biotechnology (DBT), National Board for Higher Mathematics (NBHM) and such other fellowships from nationally recognized agencies, or;
- 3.1.2 Research Scholar, including foreign national, sponsored with leave for a minimum of three (03) years, from the sponsoring Institute / Organization, or;
- 3.1.3 Research Scholar with Presidency University Research Fellowship, or;
- 3.1.4 Self-Financed Research Scholar
- 3.1.5 All Full-Time Research Scholars, including the self-financed scholars, shall follow the University policy regarding working days and working hours. Any absence other than approved leave (refer Clause 23.9), i.e. unauthorized leave, for over fifteen (15) consecutive University working days, and may result in the Research Scholar being issued a Caution Letter. This may further result in the status of the Research Scholar to be changed to Part-Time Research Scholar.

3.2 Part-Time Research Scholar:

Part-Time Research Scholar who is a regular employee in a UGC recognized College / University, or Industry / Organization, sponsored / self-financed by the respective College / University / Industry / Organisation, or, Faculty / Research staff of PU.

3.3 Admission of International Students:

Admission of International students to the Ph.D. Program shall be made as per the policies and directions of the Government of India and UGC, notified from time to time.

4.0 Eligibility Criteria for Admission

The following are eligible to seek admission to the Ph.D. Program:

4.1 Candidates for admission to the Ph.D. Program shall have successfully completed:

- 4.1.1** A 1-year Master's degree Program (after (04) four year undergraduate degree) with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 10- point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, or;
- 4.1.2** A 2-year Master's degree Program, with the same conditions as (in Sub-Clause 4.1.1 above), or;
- 4.1.3** A 4-year Bachelor's degree with Research under NEP Guidelines with a minimum CGPA of 7.50 on the UGC-10 point scale, or an equivalent grade, or;
- 4.1.4** A 4-Year Bachelor's degree in Engineering / Technology / Design and 5-year Bachelor's degree Program in Architecture, with a minimum CGPA of 7.50 on the UGC-10 point scale, or an equivalent grade.
- 4.1.5** The following candidates shall be eligible to apply for the Ph.D. (Law) Program:
- a.** Candidates having a 5-year / 10-semester / 15-trimester bachelor's degree in law through regular mode from a recognized University having secured a minimum of 55% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed (50% of marks or its equivalent grade in case of SC/ST/OBC- NCL / Persons with Disability.)
 - b.** Candidates having a 3-year / 6-semester / 9-trimester bachelor's degree in Law (LL.B.) through regular mode from a recognized University having secured a minimum of 55 % marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed (50% of marks or its equivalent grade in case of SC/ST/OBC-NCL Persons with Disability); and
 - c.** Candidates having a Master of Laws degree (LL.M.) through regular mode from a recognized University having secured an aggregate of at least 55% marks or its equivalent grade (50% of marks or its equivalent grade in case of SC/ST/OBC-NCL / Persons with Disability);
 - d.** Candidates having passed the Solicitors Examination conducted by the Bombay Incorporated Law Society and having completed a bachelor's degree in Law (LL.B. / LL.B. (Hons.)) degree from a recognized University through regular mode; or
 - e.** Candidates having a Master of Business Laws (MBL) degree from the National Law School of India University (NLSIU) with a minimum CGPA (Cumulative Grade Point Average) of 4.00 (on a 7-point scale used at NLSIU) and having completed a bachelor's degree from a recognized University through regular mode.

- f. Provided that candidates in their final year of study in the respective degrees in (a), (b) and (c) shall be eligible to apply, subject to the candidate securing the minimum marks or equivalent grade prior to the date of commencement of the Ph.D.
- 4.2** M.Phil. coursework with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 10-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a Foreign Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. Program.
- 4.3** A candidate whose M.Phil. Dissertation has been evaluated and recommended for award of the degree, may be admitted to the Ph.D. Program on a provisional basis even before the viva-voce or final defense.
- 4.4** The relaxation of the eligibility criteria for those belonging to SC/ST/OBC (non-creamy layer) / differently abled, Economically Weaker Section (EWS) and other categories of candidates shall be as per the directives of the UGC / State Government.
- 4.5** Any amendments in the eligibility criteria notified by UGC / Statutory bodies from time to time shall be applicable and notified by the University.
- 4.6** Additional eligibility criteria for admission to the Ph.D. Program for Part-time Research Scholars:
- 4.6.1** The candidate must submit a 'No Objection Certificate' from the employer to the effect that the duties allotted by the employer will allow the required time for pursuit of the research work and completion of the mandatory Ph.D. coursework requirements.
- 4.6.2** Additionally, the faculty or staff of PU need to provide an undertaking that they will continue and complete all the academic and administrative work assigned by the School / Department, while being a Part-time Research Scholar in the Ph.D. Program.

5.0 Duration of the Program

- 5.1** Ph.D. Program shall be for a minimum duration of three (03) years, including course work, and a maximum duration of six (06) years from the date of admission to the Ph.D. Program.
- 5.2** A maximum of an additional two (02) years can be given through a process of re-registration with the approval of the RAC and R&IC; provided, however, that the total period for completion of a Ph.D. Program should not exceed eight (08) years from the date of admission in the Ph.D. Program. Failure to submit the Ph.D. Thesis within the stipulated maximum duration shall result in the cancellation of the Ph.D. Registration.
- 5.3** Provided further that, female Ph.D. Research Scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (02) years; however, the total period for

completion of a Ph.D. Program in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Program.

5.4 Female Ph.D. Scholars may be provided Maternity Leave / Child Care Leave for up to two hundred and forty (240) days in the entire duration of the Ph.D. Program.

5.5 In case of transfer of Ph.D. Research Scholar from another university, the minimum duration shall be at least two (02) years, on specific recommendation of the RAC. (Refer to Clause 12.11)

6.0 Procedure for Admission

6.1 The Ph.D. Program will commence twice in an Academic Year, viz., Odd Semester beginning in August and the Even Semester beginning in January

6.2 The notification for admission for Ph.D. Program will be announced well in advance through University Notification and on the University website. The University Notification will specify the details of the eligibility criteria for admission, procedure for admission, dates of the Ph.D. Entrance Test (PET) and other relevant information.

6.3 Application for Admission

Candidates desirous of admission into the Ph.D. Program shall submit the prescribed Ph.D. Application Form complete in all respects to the Office of the Dean Research on or before the last date for submitting applications as notified by the University. A non-refundable Application Fee as decided by the University from time to time shall be paid by the candidate to the University along with the completed Application Form.

6.4 Selection Process

The selection process has two stages:

6.4.1 Ph.D. Entrance Test (PET)

Candidates fulfilling the minimum eligibility criteria for Ph.D. Admission as specified in Section 4 shall be required to take the PET followed by an interview. The candidates must obtain a minimum of 50% marks in the PET to qualify for the Interview. Candidates fulfilling the criteria given in Clause 6.7 shall be exempted from the PET.

6.4.2 Interview

The candidates who have qualified in the Entrance Test would be required to appear before an Interview Panel. The interview process shall assess the research orientation and the preparedness of the candidate for the Ph.D. Program in the chosen domain of Research.

6.5 The final selection shall be based on the evaluation of the PET and Interview with a weightage of 70% to the PET and 30% to the performance in the Interview.

6.6 The selection of candidates exempted from the PET shall be based only on the performance in the Interview.

6.7 Exemption from PET

Candidates fulfilling the following criteria will be exempted from PET and will directly appear for the Interview / Viva-Voce:

UGC / CSIR / NET / GATE qualified research fellows or project fellows / research fellows sponsored by the UGC / CSIR / ICSSR / NDF / ICMR / DBT / NBHM or any other such recognized national / international organizations.

- 6.8** The final merit list of selected candidates for the Ph.D. Program shall be declared within two (02) working weeks from the completion of the Interview / Viva-Voce and shall be displayed on the official website of the University on the scheduled date.

7.0 Presidency University Research Fellowship

- 7.1** The University has instituted a Presidency University Research Fellowship (PURF) for eligible Full-Time Research Scholars. The amount and total number of PURF shall be as approved by the Board of Management of the University, from time to time.
- 7.2** The Chairperson, R&IC shall constitute a committee to evaluate the merit list of selected Full-Time Research Scholars for the award of PURF. The committee shall submit the recommended merit list for the award of the PURF to the Chairperson, R&IC, who shall decide and approve the final list of Full-Time Research Scholars for the award of PURF.
- 7.3** Research Scholars who are admitted as Full-Time Research Scholars and already hold a fellowship granted by a Government / Statutory body (as in Sub-Clause 3.1.1) shall not be eligible for the PURF.
- 7.4** The Research Scholars who are awarded the PURF shall be required to submit an undertaking to abide by the terms and conditions under which the PURF is awarded.
- 7.5** The continuation of the PURF on a monthly / yearly basis is contingent on satisfactory academic and research performance.
- 7.6** The maximum duration for which PURF can be awarded is three (03) years or till the end of the month in which the Ph.D. Thesis is submitted, whichever is earlier.
- 7.7** Extension of the PURF shall be on the recommendation of the RAC and approval of the R&IC. However, such an extension of PURF shall not exceed six (06) months.
- 7.8** Those Research Scholars who are not awarded the PURF or for whom PURF is discontinued can continue with the Ph.D. Program as self- financing Research Scholars.

8.0 Research Advisory Committee

- 8.1** The Chairperson, R&IC shall constitute a Research Advisory Committee (RAC) for every Research Scholar admitted to the Ph.D. Program. The Dean of the concerned School (in which the Research

Scholar is admitted) shall recommend the constitution of RAC. The composition of the RAC shall be as described in the table below:

| Research Advisory Committee (RAC) | |
|--|---|
| Member | Description |
| Chairperson | Head of Department / Associate Dean / Dean, of the parent Department / School in which the Research Scholar is admitted |
| Convener | Research Supervisor of the Research Scholar |
| Member(s) Member | Co-Supervisor(s), if any, of the Research Scholar |
| | One faculty member from the parent Department / School in the relevant field of research, nominated by Chairperson RAC. In case of non-availability of faculty member from the parent Department / School, the Chairperson RAC may nominate a faculty member from another Department / School with approval of the Dean Research. |
| | One external expert, nominated by Chairperson RAC |

8.2 The RAC for a Research Scholar shall be appointed within a week, but not later than a month from the date of Provisional Registration (at the time of admission).

8.3 The term duration of the RAC shall be until the completion of the Ph.D. Defense Viva-Voce of the Research Scholar.

8.4 The RAC shall meet at least once every semester and the quorum for the RAC meeting shall be three (03).

8.5 The responsibilities of the RAC shall include the following:

8.5.1 Ensure that the Ph.D. Program is conducted in accordance and compliance with the Presidency University Ph.D. Regulations;

8.5.2 To review the research proposal and finalize the topic of research;

8.5.3 To guide the Research Scholar in developing the study design and methodology of research and identify the course(s) that they may have to do.

8.5.4 To periodically review and assist in the progress of the research work of the Research Scholar.

8.5.5 To ensure that the Research Scholar(s) and Supervisor(s) follow the best practices of research, documentation / publishing / communication and not resort to plagiarism or any unethical publishing practices.

- 8.5.6** To periodically review and assist the Research Scholar in the progress of his / her research work. The RAC shall meet at least once in an academic semester (about once every six (06) months) to review the Research Progress Report (Refer Section 15) submitted by the Research Scholar.
- 8.5.7** During its first meeting the RAC shall approve the list of Courses that the Research Scholar has to complete within the first year of the Ph.D. Program.
- 8.5.8** Thereafter, the RAC shall meet every semester to review the progress of the research work of the Research Scholar till the submission of the Ph.D. Thesis.

9.0 Research Supervisor and Co-Supervisor

9.1 Research Supervisor

Each Research Scholar shall be assigned one Research Supervisor who is duly approved by the R&IC. The eligibility criteria for a Research Supervisor is as follows:

- 9.1.1** A Full-Time Professor / Associate Professor of the PU with at least five (05) research publications in refereed journals, or, a Full-Time Assistant Professor of the University with a Ph.D. Degree and at least three (03) research publications in refereed journals shall be recognised as a Research Supervisor of the University.
- 9.1.2** Any change in the eligibility for Research Supervisor and Co-Supervisor shall be as per the directives of UGC / Statutory bodies from time to time.
- 9.1.3** In the event that in areas / disciplines where there is no or only a limited number of peer reviewed or refereed journals, PU may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 9.1.4** An eligible Professor / Associate Professor / Assistant Professor can guide up to eight (08) / six (06) / four (04) Ph.D. Research Scholars, respectively, at any given time. The prescribed number of Maximum Research Scholars is inclusive of Co-Supervision also.
- 9.1.5** Further, an eligible Professor / Associate Professor / Assistant Professor can guide up to two (02) international Research Scholars on a supernumerary basis over and above the permitted number of Research Scholars (as specified in Sub-Clause 9.1.4 above).
- 9.1.6** At any point, the total number of Research Scholars under a Research Supervisor, shall not exceed the number prescribed in Sub-Clauses 9.1.4 and 9.1.5.
- 9.1.7** Adjunct Faculty members are not eligible to be assigned as Research Supervisors. However they may be assigned as Co-Supervisors subject to eligibility criteria (Clause 9.2)
- 9.1.8** A faculty member with less than three (03) years of service before superannuation shall not be assigned new Research Scholars. However, the faculty member shall continue to supervise Research Scholars priorly registered, until superannuation, and may continue as Co-Supervisor after superannuation.

9.1.9 In case a Research Supervisor leaves the University, they cannot continue as a Research Supervisor for the concerned Research Scholar(s); however, they may continue as Co-Supervisor, subject to approval from their parent organisation / university.

9.1.10 Responsibilities of the Research Supervisor include the following:

- a.** Guide the Research Scholar to select a topic for research;
- b.** Suggest the courses and credit requirements for Ph.D. course work;
- c.** Guide the Research Scholar in all aspects of the research work and regularly monitor the progress of the Research Scholar, from the initial provisional registration till the submission of the Ph.D. Thesis;
- d.** Ensure that all the provisions and guidelines of these Ph.D. Regulations are strictly followed;
- e.** Prepare the Research Progress Assessment Report in consultation with the Co-Supervisor(s), if any;
- f.** Ensure that the Research Scholar follows the best practices of research, documentation / publishing / communication and avoid plagiarism or any unethical publishing practices;
- g.** Coordinate regularly with the Co-Supervisor(s), if any;
- h.** Convene the RAC meetings regularly as per the Ph.D. Regulations;
- i.** Coordinate with the Chairperson, RAC and arrange for the Comprehensive Viva-Voce Examination;
- j.** Suggest the Panel of Examiners for the Ph.D. Thesis to the RAC;
- k.** Approve and forward all applications of the Research Scholar related to any administrative / academic matter to the concerned authority;
- l.** Recommend the payment / renewal of the monthly / yearly research, scholarship and the contingency grants, where applicable;
- m.** Arrange for a change of Research Supervisor in the event of the exceptional case mentioned in Clause 23.2.

9.2 Co-Supervisor

In the case of research areas that are interdisciplinary, multidisciplinary or industry-based / collaborative work, the RAC may recommend the assignment of a Co-Supervisor along with the Research Supervisor for a particular Research Scholar. The eligibility criteria for a Co-Research Supervisor is as follows:

9.2.1 A Co-Supervisor may be a Full-Time regular Professor / Associate Professor of the University or any other UGC recognized University with at least five (05) research publications in refereed journals, or, any Full-Time regular Assistant Professor of the University or any other UGC recognized University, with a Ph.D. Degree and at least three (03) research publications in referred journals; Provided that in areas / disciplines where there is no or only a limited number

- of refereed journals, the above condition for recognition as a Research Supervisor shall be relaxed and reasons be recorded in writing, or;
- 9.2.2** A Professor of Practice / an Adjunct Professor / an Emeritus Professor of the University, or;
- 9.2.3** A Scientist / Senior Professional / Domain Expert in a reputed organization / industry with recognized qualifications and expertise in the relevant areas / disciplines and with refereed publications, innovation projects, patents, consulting experience, and such other recognized credentials.
- 9.2.4** A Co-Supervisor who is not a faculty member of the University shall give their consent and undertaking that they shall adhere to these Regulations and instructions pertaining to the Ph.D. Program issued by the University from time to time and be present at the RAC meetings.
- 9.2.5** A Co-Supervisor who is not a faculty member of the University shall not be entitled to any periodic remuneration or honorarium for being a Co-Supervisor. However, the expenses pertaining to travel and other such related expenses to attend the RAC meetings or any other visits to the University pertaining to the Ph.D. Program shall be reimbursed as per the University norms, subject to prior approvals being taken by the concerned supervisor.
- 9.2.6** The maximum number of Research Scholars a Co-Supervisor who is not a faculty member of PU can have under their supervision shall be six (06) at any given time.
- 9.2.7** Responsibilities of the Co-Supervisor includes the following:
- a.** Guide the Research Scholar to select a topic for research;
 - b.** Suggest the courses for Ph.D. course work;
 - c.** Guide the Research Scholar in all aspects of the research work and regularly monitor their research progress, from the initial provisional registration till the submission of the Ph.D. Thesis;
 - d.** Ensure that all the provisions and guidelines of these Ph.D. Regulations are strictly followed;
 - e.** Ensure that Research Scholar follows the best practices of research, documentation / publishing / communication and avoid plagiarism or any unethical publishing practices;
 - f.** Coordinate regularly with the Research Supervisor on all aspects of the research supervision (Refer Sub-Clause 9.1.10)
 - g.** Member, RAC;
 - h.** Member / Examiner of Ph.D. Defense Committee.

10.0 Ph.D. Program Structure

The Ph.D. Program structure consists of the following stages:

- a.** Provisional Registration, Allocation of Research Supervisor and Appointment of RAC

- b. Ph.D. Coursework and Minimum Grade Requirement
- c. Research Progress Report and Assessment
- d. Comprehensive Viva-Voce / Examination and Research Proposal Assessment
- e. Ph.D. Registration Confirmation / Cancellation
- f. Pre-Synopsis Report and Seminar
- g. Submission of Ph.D. Synopsis and Thesis
- h. Thesis Adjudication
- i. Ph.D. Defense Viva-Voce
- j. Award of Ph.D. Degree

The following Sections describe each stage:

11.0 Provisional Registration, Allocation of Research Supervisor and Appointment of RAC

- 11.1** Research Scholars admitted to the Ph.D. Program are required to report to the Dean Research on the scheduled date and complete the Provisional Registration process as prescribed by PU.
- 11.2** The allocation of the Research Supervisor and Co-Supervisor(s), if any, to a Research Scholar admitted shall be recommended by the Dean Research, subject for the approval of the R&IC. The appointment of the Research Supervisor for a Research Scholar must be finalized on or before the date of Provisional Registration.
- 11.3** The appointment of the RAC for a Research Scholar shall be announced by the Dean of the respective School, with the approval of the Dean Research, within a week but not later than a month, from the date of Provisional Registration. (Pro Forma for appointment of RAC is placed in Annexure A.)
- 11.4 Registration:** Every Research Scholar shall be required to complete the Academic Registration process every semester as prescribed by the Academic Regulations, till the submission of the Thesis irrespective of the category of admission, i.e., whether Full-Time or part-time. The Research Scholar shall pay the prescribed Ph.D. Program Annual Fee on or before stipulated dates as notified by the University, until submission of the Thesis.

12.0 Ph.D. Coursework and Minimum Grade Requirement

- 12.1** All Research Scholars admitted to the Ph.D. Programs shall be required to complete the coursework during the initial semesters. The coursework shall be treated as a prerequisite for Ph.D. preparation.
- 12.2** The Credit requirement for the Ph.D. coursework is a minimum of twelve (12) credits. This shall include mandatory courses on “Research Methodology” and “Research and Publication Ethics”.
 - 12.2.1** Further, Research Scholars admitted to the Ph.D. Program directly from 4-Year Bachelor’s Degree with Research / any professional 4-Year Bachelor’s Degree shall mandatorily have to

complete an additional Ph.D. coursework of a minimum of eight (08) credits. (Thus, the minimum number of credits for the Ph.D. coursework for such aforementioned Research Scholars shall be twenty (20) credits.)

12.3 The Research Supervisor with approval of RAC may recommend additional coursework for a Research Scholar. However, it is recommended that the additional coursework shall not exceed a total of six (06) credits.

12.4 It is mandatory for all Research Scholars to attend the coursework classes as prescribed by the RAC and maintain the minimum attendance requirements as per the Academic Regulations.

12.5 The minimum grade requirement in each course of the Ph.D. course work shall be a “B” grade (the grades are as described in the Academic Regulations.)

12.5.1 A Ph.D. Research Scholar who is unable to obtain the required minimum “B” grade in a particular course, may use the provision of a Make-Up examination (refer Academic Regulations), where applicable, to secure the required “B” grade. Alternatively, the Research Scholar may re-register for the same course (if it is a mandatory course), or for another course to earn the required credits with the approval of the RAC.

12.6 The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. Program.

12.7 All Full-Time Ph.D. Research Scholars, irrespective of discipline, shall be required to train in teaching / education / pedagogy / writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. Research Scholars may also be assigned 4-6 hours per week of teaching / research assistantship for conducting tutorial or laboratory work and evaluations.

12.8 All Research Scholars, irrespective of discipline, may be required to take credit-based courses in teaching / education / pedagogy / writing as part of the coursework as recommended by the RAC. Further, the total credits accrued from all such courses shall be limited to four (04) credits.

12.9 Self-study courses totalling a maximum of four (04) credits may be assigned as Ph.D. coursework with the approval of RAC. Such courses will have to be satisfactorily completed by the Research Scholar in accordance with the approved course plan for the concerned course.

12.10 All courses prescribed for Ph.D. coursework shall conform to the requirements of the Academic Regulations of the University.

12.11 Coursework Exemption and Credit Transfer

12.11.1 Research Scholars sponsored / authorized by the Govt. of India institutions / organizations with which PU has a MoU / Exchange Program, etc. may be exempted from the Ph.D. course work provided they produce a certificate of completing course work of equivalent credits from their respective institution / organization. Further, the RAC may mandate some additional courses / credits to be completed by such Research Scholars.

12.11.2 A Research Scholar who has already completed Ph.D. coursework from another University / Organisation and has taken admission to Ph.D. Program at Presidency University, shall be exempted from the coursework, if approved by the respective RAC. However, the RAC may mandate additional coursework / credits, as required, to be completed by such Research Scholar.

12.11.3 Credits earned for completed coursework from another University / Organisation may be transferred as credits earned on the approval of RAC.

13.0 Research Progress Report and Assessment

13.1 All Research Scholars shall submit a Research Progress Report (as per the Pro Forma placed in Annexure B) every semester (on or before 31st January for the progress pertaining to Odd semester, and on or before 31st July for the progress pertaining to the Even semester of each year) to the RAC, beginning from the Provisional Registration until submission of the Thesis. Failure to submit the Research Progress Report within the stipulated dates may lead to the cancellation of the Ph.D. registration.

13.2 The RAC shall assess the progress report and submit its assessments in the prescribed format (Annexure B) to the R&IC. In case the progress of the Ph.D. Research Scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. Research Scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. Research Scholar from the Ph.D. Program.

14.0 Comprehensive Viva-Voce / Examination and Research Proposal Assessment

14.1 On successful completion of the Ph.D. coursework requirements, the Research Scholar shall request for and appear for the Comprehensive Viva-Voce / Examination. (The request shall be placed as per the Pro Forma specified in Annexure C).

14.2 The request for the Comprehensive Examination shall be submitted within a maximum of twenty-four (24) months from the date of Provisional Registration, conditional to completion of coursework.

14.3 If a Research Scholar fails to complete the Comprehensive Examination within a maximum of thirty (30) months, i.e. two and a half years, from the date of provisional registration, the provisional registration of the Research Scholar shall be cancelled.

14.4 The Research Scholar shall submit the Ph.D. Research Proposal, along with the request for the Comprehensive Examination to the Research Supervisor who shall forward it to the Chairperson, RAC with their recommendations. (The guidelines for preparing the Ph.D. Research Proposal is placed in Annexure D.)

- 14.5** The Chairperson, RAC shall arrange to complete the Comprehensive Examination of the Research Scholar within thirty (30) days from date of receipt of the request.
- 14.6** The Assessment Committee for the Comprehensive Examination shall consist of the RAC and at least two (02) invited faculty / expert member(s), as recommended by the Chairperson, RAC and approved by the Dean Research.
- 14.7** The Comprehensive Viva-Voce examination shall have the mandatory two (02) stages:
- 14.7.1** Viva-Voce Examination to assess the Research Scholar's domain knowledge, including topics from the coursework and other relevant areas and its application to the research work.
- 14.7.2** Ph.D. research proposal assessment: The Assessment Committee shall examine the Research Scholar on the proposed research including aspects of the research objectives, methodology and the plan to complete the research work.
- 14.8** The committee shall submit the Comprehensive Examination Assessment Report of the Research Scholar (as per the Pro Forma specified in Annexure E) to the Dean Research and based on the performance will recommend or not recommend the Research Scholar for confirmation of Ph.D. Registration.
- 14.9** If a Research Scholar is not recommended for confirmation of Ph.D. Registration they shall continue to pursue research / work on the recommendations of the RAC, for a further period not exceeding four (04) months, at the end of which they shall reappear for the Comprehensive Examination, on a date fixed by the RAC. If the RAC / Assessment Committee is satisfied with the performance of the Research Scholar, the RAC shall recommend the confirmation of the Ph.D. Registration.
- 14.10** If a Research Scholar fails to appear for the Comprehensive Examination and / or to present the Research Proposal before the Assessment Committee, the Research Scholar's provisional registration shall stand cancelled forthwith.

15.0 Ph.D. Registration Confirmation / Cancellation

- 15.1** On successful completion of the Comprehensive Viva-Voce, the RAC shall recommend the confirmation of the Ph.D. Registration.
- 15.2** The date of Ph.D. Registration confirmation for the Research Scholar shall be the date of the RAC report recommending the confirmation of the Ph.D. Registration.
- 15.3** After confirmation of the Ph.D. Registration, the Research Scholar shall not be permitted to change the broad topic of research, Research Supervisor and / or Co-Supervisor(s), if any. Only in exceptional cases, a Research Supervisor or Co-Supervisor(s) may be changed after the confirmation of Registration. (Refer Clause 23.2).
- 15.4** Minor changes in the research plan, if necessary, shall be recommended by the RAC / Assessment Committee, and submitted to the Office of the Dean Research.

15.5 If the Research Scholar is not recommended for confirmation of the Ph.D. Registration even after the second attempt at the Comprehensive Viva-Voce Examination, the provisional registration shall stand cancelled forthwith.

16.0 Pre-Synopsis Report and Seminar

16.1 The Supervisor(s), on assessment that the Research work carried out by the Research Scholar is sufficiently complete in terms of the planned quantity and quality for submission as a Ph.D. Thesis, shall ask the Research Scholar to prepare a Pre-Synopsis Report. The Pre-Synopsis Report is an overall report of the research work carried out by the Research Scholar.

16.2 The Research Scholar shall submit the soft copies of the Pre-Synopsis Report to the Chairperson, RAC, with the request to convene the Panel for Assessment for the Pre-Synopsis Report and Seminar. (The Pro Forma for Pre-Synopsis application is placed in Annexure F.)

16.3 A Panel shall be appointed by the Chairperson, RAC in consultation with the Research Supervisor and the office of the Dean Research, for assessment of the Pre-Synopsis Report and Seminar within fifteen (15) days of the date of request. The Panel shall comprise of the RAC and at least two (02) other members who may be internal faculty members or external experts, appointed by the Chairperson, RAC. The Chairperson, RAC shall be the Chairperson of the Panel.

16.4 Details of the Seminar shall be notified by the Chairperson, RAC to enable interested faculty members and Research Scholars to attend.

16.5 An assessment of the Pre-Synopsis Report and Seminar shall be made by the Panel. (The Pro Forma for the Pre-Synopsis Assessment Report is placed in Annexure F.)

16.6 The Panel shall then decide whether the Research Scholar shall be asked to submit the Ph.D. Synopsis and Thesis, subject to the conditions prescribed in Section 17.

16.7 In case, the Panel is not satisfied with the Pre-Synopsis Report, it shall give specific recommendations for revision / modification / augmentation of the research work / Pre-Synopsis Report and a time frame to resubmit the Pre-Synopsis Report with the recommendation of the Research Supervisor for approval of the Chairperson, RAC.

17.0 Submission of Ph.D. Synopsis and Thesis

On acceptance of the Pre-Synopsis Report, the Research Scholar shall submit the Ph.D. Synopsis and Ph.D. Thesis, within four (04) months from the date of the Pre-Synopsis Assessment Report to the Chairperson, RAC with the endorsement of the Supervisor(s). (Guidelines for preparation of the Ph.D. Synopsis and Ph.D. Thesis is placed in Annexure G. Pro Forma for submission of Ph.D. Synopsis and Thesis is placed in Annexure H.)

17.1 All the following conditions must be fulfilled for submission of the Ph.D. Synopsis and Ph.D. Thesis:

- 17.1.1** The Ph.D. Thesis submission date satisfies the conditions for the minimum / maximum duration of the Ph.D. Program (as specified in Section 5.0.)
- 17.1.2** The Research Scholar shall have at least two (02) papers / articles published or accepted for publication in a reputed Journal. The RAC must certify that the Journal is of high quality and repute.
- 17.1.3** The Research Scholar has paid the Annual Fee and the other dues, if any, as well as the prescribed Ph.D. Thesis Examination Fee to the University.
- 17.1.4** The Research Scholar and Research Supervisor (and Co-Supervisor, if any) shall jointly submit the “Originality and Anti-Plagiarism Certificate” (along with the anti-plagiarism scanning report using anti-plagiarism software, like Turnitin®, etc.). The “Originality and Anti-Plagiarism Certificate” shall be endorsed by the Chairperson RAC. (Pro Forma placed in Annexure I).
- 17.2** The Ph.D. Synopsis and Thesis shall be accepted by the Chairperson, RAC only if all the conditions and requirements specified above (Sub-Clauses 17.1.1 to 17.1.4) are fulfilled.
- 17.3** The Research Scholar shall submit two (02) softcopies of the Ph.D. Synopsis and Thesis, to the Chairperson, RAC with the recommendation of the Research Supervisor and Co-Supervisor(s), if any.
- 17.4** The Ph.D. Synopsis and Thesis shall be submitted in English, except in language subjects where the Synopsis and Thesis shall be in that language, or, when the research work requires the use of multiple languages, the Synopsis and Thesis may have some sections in other languages.

18.0 Thesis Adjudication

The University will follow appropriate methods to complete the entire process of evaluation of Ph.D. Thesis within the shortest possible duration from the date of submission. The process of Thesis evaluation and adjudication shall be conducted by the Controller of Examinations.

18.1 Panel of Thesis Examiners

- 18.1.1** The Panel of Thesis Examiners shall consist of at least eight (08) examiners. The Panel of Examiners shall be suggested by the Research Supervisor and recommended by the RAC. The list of panel examiners shall be submitted to the Dean Research along with the submission of Ph.D. Synopsis and Thesis (Pro Forma placed in Annexure J) The Panel of Thesis Examiners shall be maintained in strict confidence.
- 18.1.2** Thesis Examiners should be researchers from academia / research organisations with a good research records of scholarly publications in the concerned field. Domain experts from industry with established credentials and recognition may also be considered for examinership.
- 18.1.3** The Panel shall also include at least three (03) examiners preferably from outside India. These examiners may be chosen from the list of references cited in the Synopsis submitted by the Research Scholar.

- 18.1.4** In case, in certain research domains where suitable examiners are not available from outside of India, the RAC may request the inclusion of examiners from within India, with adequate justification for the same.
- 18.1.5** The recommended examiners from within India should not be the faculty members from within Presidency University or Presidency Group of Institutions.
- 18.1.6** Any person employed (Full-Time or Part-Time) in the Research Organization, Academic Institution or Industry where the Part-Time external Research Scholar or Co-Supervisor(s), if any, is(are) employed, shall not be included in the Panel of Thesis Examiners.
- 18.1.7** Any person related (family relations) to the Research Scholar, Research Supervisor or Co-Supervisor(s) shall not be included in the Panel of Thesis Examiners.
- 18.1.8** The Dean Research shall verify that the Panel of Thesis Examiners recommended by the RAC satisfies all the requirements (as specified in Sub-Clauses 18.1.2 to 18.1.7). Thereafter, the Dean Research shall take the approval of the Chairperson R&IC for processing the Thesis Adjudication through the Controller of Examinations.

18.2 Board of Thesis Examiners

- 18.2.1** The Board of Thesis Examiners shall consist of:
- a.** Two (02) External Examiners: One (01) preferably from outside India (except for the case mentioned in Sub-Clause 18.1.4.) and One (01) from within India;
 - b.** Internal Examiner(s): The Research Supervisor and Co-supervisor(s), if any, will be the Internal Examiners.
- 18.2.2** The Chairperson, R&IC shall list in order of priority, the External Examiners from the Panel of Thesis Examiners recommended by the Chairperson, RAC and accordingly advise the COE to communicate and ascertain the willingness of the External Examiners to be the members of the Board of Thesis Examiners to adjudicate the Ph.D. Thesis. (Refer Annexure K.)
- 18.2.3** The COE shall send a copy of the Ph.D. Synopsis to the External Examiner (as per the priority list mentioned in Sub-Clause 18.2.2 above), with the request if the examiner is willing to evaluate the Ph.D. Thesis and seek a confirmation or otherwise. The COE shall complete this process of confirming the external examiner within a period of four (04) weeks.
- 18.2.4** After obtaining the confirmation from examiners, the COE will finalize the Board of Thesis Examiners.
- 18.2.5** The copy of the Ph.D. Thesis shall be sent to the members of the Board of Thesis Examiners from the office of the Controller of Examinations with the request for a detailed assessment report and recommendations (in the prescribed Pro Forma placed in Annexure L).
- 18.2.6** If the report from any of the External Examiners is not received within the stipulated period of eight (08) weeks, the Controller of Examinations shall rigorously follow up with the examiner

concerned to get reports. However, if no response / report is received within twelve (12) weeks from the date of dispatch of Thesis, the Controller of Examinations shall initiate the process to get another External Examiner appointed to expedite evaluation of the Ph.D. Thesis.

18.2.7 The Internal Examiner(s) shall submit the Thesis evaluation report within eight (08) weeks.

18.3 Thesis Examiners' Reports and Recommendation:

18.3.1 The Thesis Examiners, independently, shall submit the Thesis Evaluation Report (Pro Forma placed in Annexure L) to the Chairperson, Research and Innovation Council (R&IC) through the Controller of Examinations of the University. The evaluation report includes:

- a.** A critical survey and evaluation of the quality and quantity of the research work;
- b.** A definite recommendation as to whether the Thesis is “ACCEPTED” for the award of the Ph.D. Degree (Doctor of Philosophy); OR,
- c.** A provisional recommendation that the Thesis is “ACCEPTABLE WITH MINOR REVISIONS” for the award of the Ph.D. Degree, subject to the condition that the Research Scholar is required to make the “minor” revisions / modifications, which are clearly specified by the External Examiner; OR,
- d.** A conclusive decision by the External Examiner that the Thesis is “REJECTED”, with reasons why the Thesis is adjudicated as unacceptable for the award of the Ph.D. Degree.
- e.** If the Thesis is ACCEPTED / ACCEPTABLE WITH MINOR REVISIONS, the evaluation report may also include specific questions or points to be clarified by the Research Scholar at the time of the Ph.D. Defense Viva-Voce.

18.3.2 If BOTH the External Examiners recommend acceptance of the Thesis as it is, or, with answers to the specific questions, and / or points to be clarified, if any, at the Ph.D. Defense Viva-Voce (refer Section 19), the Ph.D. Defense Viva-Voce shall be held at the earliest.

18.3.3 If ONE or BOTH the External Examiners recommend “ACCEPTABLE WITH MINOR REVISIONS” in the Thesis, (as described in Sub-Clause 18.3.1 c), the Ph.D. Defense Viva-Voce shall be held only after the Research Supervisor certifies to the Chairperson RAC, that the changes / revisions have been completed and documented. The Chairperson, RAC shall forward the aforementioned certificate (with the concerned document) to the Controller of Examinations. The COE shall conduct the Ph.D. Defense Viva-Voce with the approval of the Chairperson R&IC.

18.3.4 If BOTH External Examiners adjudicate the Ph.D. Thesis as “REJECTED”, then the submitted Ph.D. Thesis is rejected and the Research Scholar shall be declared ineligible for the award of the Ph.D. Degree.

18.3.5 If any ONE External Examiner adjudicates the Ph.D. Thesis as “REJECTED”, an opinion

of a “third” External Examiner shall be sought. The third External Examiner shall be appointed by the Chairperson, R&IC from the priority list of the Panel of Thesis Examiners (Sub-Clause 18.3.2). If the third External Examiner adjudicates the Ph.D. Thesis as “ACCEPTED”/ “ACCEPTABLE WITH MINOR REVISIONS”, the Ph.D. Defense Viva-Voce shall be conducted (as per the relevant provisions of Section 19). If the evaluation report of the third External Examiner adjudicates the Ph.D. Thesis as “REJECTED”, the Thesis shall be rejected and the Research Scholar shall be declared ineligible for the award of the Ph.D. Degree.

18.3.6 The copies of the Reports of the External Examiners shall be made available to the Supervisor(s).

18.3.7 A copy of the reports of all Thesis Examiners shall be intimated to the Research Scholar.

19.0 Ph.D. Defense Viva-Voce

A Research Scholar whose Ph.D. Thesis has been recommended for the award of the Ph.D. Degree shall defend the Ph.D. Thesis at an open Ph.D. Defense Viva-Voce before a duly constituted Ph.D. Defense Committee.

19.1 Ph.D. Defense Committee:

The Ph.D. Defense Committee (PDC) shall be appointed by the Chairperson R&IC, on the request of the COE.

19.2 The PDC shall consist of:

| Ph.D. Defense Committee | |
|--------------------------------|--|
| Members | Description |
| Chairperson | Dean Research |
| Members | At least One External Examiner, who has evaluated the Thesis |
| | One Professor / Associate Professor of the University |
| | Research Supervisor |
| | Co-Supervisor(s), if any |
| Convener | Chairperson of the concerned RAC |

19.3 In case the Chairperson of the PDC is unavailable, a Dean / Senior Professor of the University, shall be appointed to officiate as Chairperson, PDC by the Chairperson R&IC.

19.4 In case, the External Examiner appointed to the PDC, is unable to be present in person for the Ph.D. Defense Viva-Voce, they could participate in the Defense Viva-Voce by means of video conferencing. The Chairperson, PDC shall certify the acceptance of the Thesis and successful

conduct of the Ph.D. Defense Viva-Voce Examination on behalf of the External Examiner, when the External Examiner participates through video conferencing.

19.5 In case of non-availability of the External Examiner in the PDC, the Controller of Examinations may get another External Examiner appointed from the panel of External Examiners recommended by Chairperson, RAC, with due approval of the Chairperson, R&IC.

19.6 All members of the PDC shall be provided with the Thesis Evaluation Report of all the Thesis Examiners before the Ph.D. Defense Viva-Voce.

19.7 The Controller of Examinations, with the approval of Chairperson R&IC, shall communicate the constitution of the PDC (Pro Forma placed in Annexure M), and coordinate with the Dean Research to arrange the Ph.D. Defense Viva-Voce.

19.8 The Ph.D. Defense Viva-Voce shall be adequately notified to enable interested faculty members and Research Scholars to attend.

19.9 The date fixed for the Ph.D. Defense Viva-Voce shall not be changed under any circumstances, except in the case if the Research Scholar has to be hospitalized due to a medical contingency. The Research Scholar shall then request the Chairperson, RAC (attaching the medical certificate of hospitalization) for a new date for the Ph.D. Defense Viva-Voce.

20.0 Ph.D. Defense Committee Report

20.1 On the completion of the Ph.D. Defense Viva-Viva, the PDC shall submit its report (Pro Forma placed in Annexure N) to the Chairperson, R&IC, through the Controller of Examinations, with one of the following recommendations:

20.1.1 RECOMMENDED: Recommend conferring of the Degree of Doctor of Philosophy (Ph.D.) on the Research Scholar, subject to the approval of the Academic Council
or;

20.1.2 RE-EXAMINATION: Ph.D. Viva-Voce UNSATISFACTORY. Recommend the Research Scholar be re-examined within {DD/MM/YYYY specify date/time period}

21.0 Submission of Final Copies of the Thesis

21.1 After successful completion of the Ph.D. Defense Viva-Voce, the Research Scholar shall submit two (02) hardbound copies and a softcopy of the approved Ph.D. Thesis to the Office of the Controller of Examinations within one (01) month from the date of the Ph.D. Defense Viva-Voce. The final copies of the Thesis should incorporate all the revisions / corrections, if any, listed by the Ph.D. Defense Committee (PDC) and duly certified by the Research Supervisor. Additional copies may be required to be submitted to the parent Department / School and Research Supervisor separately.

21.2 The Controller of Examinations shall coordinate with the Chief Librarian to submit the soft copy of the Ph.D. Thesis to INFLIBNET, to make it accessible to all Institutions / Colleges / Universities.

21.3 One (01) hardbound copy of the Ph.D. Thesis shall be deposited in the University Library and one (01) copy shall be kept in the parent School as a record of the research work conducted by the School / Department.

22.0 Award of Ph.D. Degree

22.1 Issue of Provisional Degree Certificate: Prior to the actual award of the Ph.D. Degree, the University shall issue a Provisional Degree Certificate to the effect that the Degree has been awarded in accordance with all the provisions of these Regulations and the relevant UGC Regulations. (The Pro Forma for the Application for the Provisional Degree Certificate and the Pro Forma for the Provisional Degree Certificate is placed in Annexure O.)

22.2 The Degree of Doctor of Philosophy (Ph.D.) shall be awarded by the Board of Management of the University upon the recommendation of the Academic Council. The degree will be conferred on the Research Scholar at the subsequent Convocation of the University, or thereafter.

23.0 Miscellaneous

23.1 Measures Against Plagiarism

23.1.1 The University is committed to ensure the authenticity as well as accuracy of documentation of the research record, whether in a pre-registration research proposal, research progress report, pre-submission synopsis, final thesis, publications, or any other form of claims made to the academia, government, industry, media, or public at large.

23.1.2 For this purpose, the RAC and R&IC shall ensure that the research work and Ph.D. Thesis complies with the University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018, and any amendments notified from time to time.

23.1.3 The Research Scholar and Supervisor(s) shall be held responsible for any such document found to have unethical content / practices including, but not limited to plagiarism, falsification and fabrication of results / data / claims, during or even after the award of Ph.D. Such Publications / Thesis shall be withdrawn by the University, regardless of their consequences to their authors, including termination of Ph.D. Registration or withdrawal of their Ph.D. degrees, if awarded. Such Research Scholars may also be debarred from admission to the same or any other program in the University.

23.2 Change of Supervisor(s)

In exceptional cases, request from a Research Scholar for change of Supervisor(s) shall be permitted on recommendation of the RAC, and, approval of the Chairperson, R&IC. If the Research Supervisor proceeds on long leave for more than six (06) months, or resigns from the University, or passes away, a

new Research Supervisor shall be assigned to the Research Scholar based on the recommendations of the RAC and approval of the Chairperson, R&IC.

23.3 Relocation of a woman Research Scholar

In case of relocation of a woman Research Scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University / College to which the Research Scholar intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the Supervisor from any funding agency. The Research Scholar shall, however, give due credit to the parent University / Institution and the previous Supervisor, for the part of research work already done.

23.4 Conversion of Full-time to Part-time and vice-versa

The University may permit the conversion from the category of Full-time to Part-time basis and vice-versa, for valid reasons, with the approval of the Research and Innovation Council (R&IC). Full-Time Research Scholars cannot take up any job / assignment during the entire Ph.D. Program.

23.5 Research Exchange Programs

For research / student exchange / extension programs (offered by reputed Institutions / Research Organizations in India or abroad through an MoU with the PU or otherwise) evaluated and recommended by the RAC to the effect that such programs will contribute significantly to the ongoing research work, and on approval of the R&IC, the Research Scholar may be granted leave of absence for not more than six (06) months. Such exchange programs and leave of absence shall be permissible only after the confirmation of Ph.D. Registration. Further, the Research Scholar will not be eligible for any additional grants or travel support from the University for such exchange / extension programs. However, the Research Scholar may receive grants specifically for the aforementioned program from other sources and must declare the same to the RAC for approval.

23.6 Grievance Redressal

A grievance or ethical issue faced by a Research Scholar, which is specifically related to research such as authorship of the research papers, plagiarism, ethical issues with Research Supervisors and such other issues related to the research program, shall be addressed to the Dean Research.

The Dean Research shall make necessary preliminary investigations and submit recommendations for redressal to the Vice Chancellor, within seven (07) days from the receipt of the grievance. Based on the recommendations, the Vice Chancellor shall constitute a committee chaired by the Dean Research for redressal. The committee shall seek to resolve the issue within fifteen (15) days of the notification of this committee and submit its report and recommendations to the Vice Chancellor. The decision of the Vice Chancellor shall be final and binding.

23.7 Unfair Means in Coursework Assessments / Examinations

In case, a Research Scholar is found adopting or suspected of adopting unfair means during or after the Ph.D. coursework assessments / examinations, such penal action shall be taken by PU as may be necessary to uphold the sanctity and integrity of the assessment / examination system and the credibility of PU. Such cases may also be reported by examiners / invigilators / course instructors to the Controller of Examinations for consideration. After giving an opportunity to the concerned Research Scholar(s) to explain the conduct / defend against the charge, the Chairperson, RAC on the recommendation of the Controller of Examinations shall take action to impose on the concerned Research Scholar(s) appropriate penalty including termination of provisional registration or award of “F” Grade in the concerned course(s).

23.8 Discovered / Reported Unfair means after Award of Ph.D. Degree:

- 23.8.1** Upon discovery or receiving of complaints of plagiarism or fraud with sufficient evidence that a Research Scholar may have obtained the Ph.D. Degree by fraud, the Dean Research shall convene an Investigation Panel constituted by the Vice Chancellor. The Panel shall have at least two (02) experts in the domain of research of the Ph.D. Thesis being investigated. The Dean Research shall be the Convener of this Panel.
- 23.8.2** The Panel shall submit its report to the Vice Chancellor within three (03) months from the date of notification of the Panel.
- 23.8.3** After reviewing the Panel’s report, the Vice Chancellor shall decide whether to proceed toward a revocation of the Ph.D. Degree or whether the complaint can be dropped as unsubstantiated. If the Vice Chancellor decides to pursue revocation of the Degree, the case shall be referred to the Academic Council for further action as per the Statutes and relevant Regulations of the University.
- 23.8.4** Unsigned and undated complaints without the credentials and address of the complainant shall not be entertained by the University. The University shall also strictly maintain confidentiality of the name and address of the complainant.

23.9 Leave Rules

- 23.9.1** All Full-Time Research Scholars are entitled for leave for a maximum of thirty (30) days per calendar year in addition to the University Declared Holidays. This includes twenty (20) days of general leave and ten (10) days of AAL to be utilized for academic and research purposes.
- 23.9.2** Women Research Scholars may be provided Maternity Leave / Child Care Leave once in the entire Duration of Ph.D. program for up to two hundred and forty (240) days. The leave application should be supported by relevant medical certificates. Further, PURF if applicable, shall be suspended till such time the Research Scholar resumes the Ph.D. Program.
- 23.9.3** No vacation leave in summer / winter is admissible.

23.9.4 The Research Scholar shall submit the leave request to the Research Supervisor who shall forward it to the Head of Department / Dean with a recommendation for approval or otherwise.

23.10 Teaching Assistantships

Research Scholars may also have six (06) – eight (08) hours per week of actual teaching experience gathered through teaching assistantships or other forms of academic engagements as approved by the RAC.

24.0 Power to Revise, Modify and Amend

24.1 The Academic Council has the rights to revise, modify and amend any of the above Regulations from time to time upon the recommendations of the Research and Innovation Council, and shall be binding on all parties concerned.

24.2 Notwithstanding anything stated in these Regulations, for any unforeseen issues arising, and not covered by these Regulations or in case of difficulty in application of any of the Sections / Clauses of the Regulations specified above, the Vice Chancellor shall have the powers to amend / modify / remove the difficulty in the relevant Regulation



PRESIDENCY UNIVERSITY

PRESIDENCY UNIVERSITY Ph.D. REGULATIONS

ANNEXURES

(All Pro Forma are subject to change)



PRESIDENCY UNIVERSITY

ANNEXURE A

[Pro Forma]

Annexure A has THREE parts:

Part A: Appointment of Research Advisory Committee

Part B: Research Advisory Committee – Minutes of Meeting (First Meeting)

Part C: Research Advisory Committee – Minutes of Meeting (From Second Meeting)



PRESIDENCY UNIVERSITY

ANNEXURE A - Part A

Appointment of Research Advisory Committee

[Pro Forma]

(Note: Form to be submitted by the Dean of the School to the Dean Research within a week but not later than a month from the date of Provisional Registration of the Research Scholar.)

To

Chairperson R&IC

Through: Dean Research

Subject: Recommendation for Appointment of Research Advisory Committee (RAC)

The details of the Research Scholar and the recommended RAC is detailed below for approval:

| Details of Research Scholar for whom the RAC is Constituted | |
|---|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of the Allotted Research Supervisor with Designation | |
| Name of the Allotted Co-Supervisor with Designation (If applicable) | |
| Tentative Research Area / Topic | |

| RESEARCH ADVISORY COMMITTEE (RAC) | | |
|--|---|-----------------------------|
| Member | Description | Name and Designation |
| Chairperson | Head of Department / Associate Dean / Dean, of the parent Department / School in which the Research Scholar is admitted | |
| Convener | Research Supervisor of the Research Scholar | |
| Member(s) | Co-Supervisor(s), if any, of the Research Scholar | |
| Member(s) | One faculty member from the parent Department / School in the relevant field of research, nominated by Chairperson RAC. In case of non-availability of faculty member from the parent Department / School, the Chairperson RAC may nominate a faculty member from another Department / School with approval of the Dean Research. | |
| | One external expert, nominated by Chairperson RAC | |
| | Head of Department / Associate Dean / Dean, of the parent Department / School in which the Research Scholar is admitted | |

Forwarded to the Dean Research

Signature of Dean of Concerned School

Date

Forwarded to the Chairperson R&IC

Signature of Dean Research

Date

The RAC as constituted above is approved

Signature of Chairperson R&IC

Date:



PRESIDENCY UNIVERSITY

ANNEXURE A - Part B

[Pro Forma]

Research Advisory Committee – Minutes of Meeting

First Meeting

Date: DD/MM/YYYY

| Details of Research Scholar for whom the RAC is Constituted | |
|--|---|
| Name of the Research Scholar | |
| Enrolment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of the Allotted Research Supervisor with Designation | |
| Name of the Allotted Co-Supervisor with Designation (If applicable) | |
| Tentative Research Area / Topic | |

Topics Discussed and suggested during meeting:

1. Confirmation of Research Supervisor (and Co-Supervisor, if any) allocation of Research Scholar.
2. Recommended Ph.D. Course work:

(Minimum credits and Mandatory course titles to be referred from the Regulations)

| Sl. No. | Course Code | Course Name | Credits |
|---------|-------------|---------------------------------|---------|
| 1. | | Research Methodology | |
| 2. | | Research and Publication Ethics | |
| 3. | | | |
| | | | |
| : | | Total Credits | |

3.

4.

Signature of the Research Scholar

| Sl. No. | Members | Name with Designation | Signature with Date |
|----------------|---|------------------------------|----------------------------|
| 1. | Chairperson (HOD / Asso Dean / Dean of the parent department) | | |
| 2. | Convenor (Research Supervisor) | | |
| 3. | Member - Co-Supervisor (if any) | | |
| 4. | Member – Faculty Member from parent department | | |
| 5. | Member – External Expert | | |



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ANNEXURE A - Part C

[Pro Forma]

Research Advisory Committee – Minutes of Meeting

From Second Meeting of RAC

Date: DD/MM/YYYY

| Details of Research Scholar for whom the RAC is Constituted | |
|---|---|
| Name of the Research Scholar | |
| Enrolment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of the Allotted Research Supervisor with Designation | |
| Name of the Allotted Co-Supervisor with Designation (If applicable) | |
| Tentative Research Area / Topic | |

Topics Discussed and suggested during meeting (Please include relevant Attachments):

1. Approval of previous Minutes of RAC meeting along with previous RPR:
2. Current RPR received:
3. Soft copy of the Presentation showing the progress received:
4. Approval of any changes in recommended courses etc. from the previous meeting, if any
5. Points/Guidelines Suggested by members
- 6.
- 7.
8.

RAC Number ____ dated ____

Signature of the Research Scholar

| Sl. No. | Members | Name with Designation | Signature with Date |
|----------------|---|------------------------------|----------------------------|
| 1. | Chairperson (HOD / Asso Dean / Dean of the parent department) | | |
| 2. | Convenor (Research Supervisor) | | |
| 3. | Member - Co-Supervisor (if any) | | |
| 4. | Member – Faculty Member from parent department | | |
| 5. | Member – External Expert | | |



ANNEXURE B
Research Progress Report
and
Research Progress Assessment Report
[Pro Forma]

With reference to Clause 13.1 and 13.2 of the Ph.D. Regulations:

All Research Scholars shall submit a Research Progress Report **every semester (on or before 31st January for the progress pertaining to Odd semester, and on or before 31st July for the progress pertaining to the Even semester of each year)** to the RAC, beginning from the Provisional Registration until submission of the Thesis. Failure to submit the research progress report within the stipulated dates may lead to the cancellation of the Ph.D. registration.

This form is to report the progress of the Ph.D. Program and is periodically submitted by the Research Scholar and the Supervisor(s), to the Chairperson, Research Advisory Committee (RAC) who reviews and sends it to the Chairperson, Research and Innovation Council (R&IC). The submission of this form is mandatory under the Ph.D. Regulations and failure to fulfil this requirement could lead to termination of the Ph.D. Registration / Enrolment and Fellowships / PURF (where applicable).

This report consists of two parts:

Part A: Research Progress Report (RPR) – to be completed by the Research Scholar and submitted to the RAC through the Research Supervisor.

Part B: Research Progress Assessment Report (RPAR) – Assessment and Recommendations of the RAC.



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ANNEXURE B - Part A

Research Progress Report

| RESEARCH PROGRESS REPORT (RPR) | |
|--|------|
| For Semester (ODD / EVEN) (Approximately six (06) months of work) | Date |
| | |

To,
The Chairperson,
Research Advisory Committee

| RESEARCH PROGRESS REPORT | |
|---|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of the Allotted Research Supervisor with Designation | |
| Name of the Allotted Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Proposed Title of Ph.D. Thesis | |

1. Summary of progress of research work, milestones achieved over the last six (06) months. Provide point by point details.
2. Details of Coursework ongoing / completed

| Course Code | Course Name | Credits | Grade |
|-------------|-------------|---------|-------|
| | | | |

3. **Self-Assessment pertaining to the above research work and milestones:**

(a) I rate the *quality of my work* as:

1) Very Good. 2) Good. 3) Satisfactory. 4) Below my expectations.

[If item (4) is selected, list measures to be taken to address this.]

(b) I assess my *rate of progress* as:

1) Very Good. 2) Good. 3) Satisfactory. 4) Below my expectations.

[If item (4) is selected, list measures to be taken to address this.]

(c) Any issues related to the research work that requires Head of Department / Dean or the Dean Research to be aware of?

1) No 2) Yes (If yes, give specific details.)

4. Details of Research Papers Published, Manuscripts / Abstracts accepted for Publication or Papers presented in Conferences, if any (attach separate sheet giving details):

| Details of the Research Paper / Abstract / Manuscripts accepted for Publication / Presentation | Details of the Journal / Conference |
|--|-------------------------------------|
| ... | |

5. Outline the research goals / milestones for the next six (06) months.

Signature and Name of the Research Scholar:

Date:

Endorsed by

Signature and Name of the Research Supervisor:

Date:



ANNEXURE B - Part B

Research Progress Assessment Report

To
The Chairperson
RAC

1. Assessment of the Research Supervisor:

a) The quality of the Research Scholar's work is:

1) Very Good. 2) Good. 3) Satisfactory. 4) Below expectations.

[If item (4) is selected, list measures to be taken to address this.]

b) The Research Scholar's rate of progress is:

1) Very Good. 2) Good. 3) Satisfactory. 4) Below the expectations.

[If item (4) is selected, list measures to be taken to address this.]

c) Progress in the initial phase of the Ph.D. Program (Initial Coursework / Literature Review / Problem formulation):

1) Satisfactory 2) Unsatisfactory.

If unsatisfactory, list specific remedial measures given to the Research Scholar:

d) (d) Bi-Annual Progress Seminar (B-APS)

1) Satisfactory 2) Unsatisfactory.

If unsatisfactory, B-APS should be re-presented within (specify date / time period).

e) Are there any issues related to the research work that the Dean Research should be aware of?

1) No 2) Yes

If yes, provide specific details:

f) If the Research Scholar has completed three (03) years from the date of Ph.D. Registration:

Expected time for submission of Pre-Synopsis Report and Seminar:

1. within six (06) months

2. within one (01) year

3. More than one (01) year.

[If more than (01) year, list the major issues / areas of concern and measures to be taken.]

- g) If the Research Scholar is approaching completion of six (06) years from the date of admission to the Ph.D. Program (Refer Clause 5.1)

Recommend / Not Recommend - Extension of the Ph.D. Registration:

- ☐ RECOMMENDED (up to specify date, with reasons)
☐ NOT RECOMMENDED - Ph.D. Registration to be terminated, with reasons

Additional Comments, if any (attach additional sheet if necessary).

Endorsement by the Chairperson RAC of the RPR and RPAR to be placed for ratification in the next RAC meeting.

Name and Signature of Chairperson, RAC:

CC:

1. Dean Research
2. Research Scholar



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ANNEXURE C

Application for Ph.D. Comprehensive Viva-Voce Examination

[Pro Forma]

Date

| Application for Ph.D. Comprehensive Viva-Voce Examination | |
|---|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of the Allotted Research Supervisor with Designation | |
| Name of the Allotted Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Proposed Title of Ph.D. Thesis | |

Request for conducting the Comprehensive Viva-Voce Examination as per Section 13 of the Ph.D. Regulations. Submitting the following:

1. List of completed Courses: (Copy of Grade Card to be attached)

| Sl. No. | Course Code | Course Name | Credits | Grade Awarded |
|---------------|-------------|-------------|---------|---------------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| Total credits | | | | |

2. Ph.D. RESEARCH PROPOSAL – Attached

Signature and Name of Research Scholar
Forwarded to the Chairperson RAC

Signature and Name of the Research Supervisor



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ANNEXURE D

Guidelines to Prepare the Ph.D. Research Proposal

[Pro Forma]

(Note: These Guidelines are provided only as a sample and are not meant to be considered as a fixed template for the research proposal)

Ph.D. Research Proposal

[Submitted along with the form for scheduling the Ph.D. Comprehensive Viva-Voce Examination]

[Type Title of Ph.D. Research Proposal here]

Submitted by

Name of Research Scholar:

Enrolment Number:

Date of submission:

Under the Supervision of

Name of Research Supervisor:

Designation:

Name of Co-Supervisor:

Designation:

[Name of School / Department]

Abstract

The abstract is a summary of the Ph.D. Research Proposal and should not exceed five hundred (500) words. It briefly describes the domains under which the research is undertaken. This is followed by the focus area in the domains and the problems for which solutions or designs are proposed. The research could also be aimed at pursuing new knowledge, insights or innovations in the domain areas. The abstract should provide an overview of the research problem, main research questions and a brief explanation for the methods adopted to conduct the research. This is followed by the expected results and the contribution of the findings to the advancement of knowledge in the domain.

Keywords

In this section specific words or expressions (not more than ten (10)) are listed which define the broad area or topic for which the research is undertaken.

Table of Contents

| Chapter No. | Topic | Page No. |
|-------------|--|----------|
| Chapter 1 | Introduction | {pp} |
| Chapter 2 | Literature Review and Theoretical/Conceptual Framework | {pp} |
| Chapter 3 | Research Objectives and Methodology | {pp} |
| Chapter 4 | Pilot / Ongoing Research and Preliminary Results | {pp} |
| Chapter 5 | Work Plan | {pp} |
| Chapter 6 | Conclusion | {pp} |
| | References | {pp} |

Chapter 1

Introduction

This chapter gives a broad outline of the research project that will be undertaken by the Research Scholar. It explains the background and the major topics covered in the area. Details of the problem or issue are discussed in the research statement along with the proposed hypotheses or research question. The research statement should give details of the research to be undertaken and the boundaries to be maintained for the study. This section should also explain the outcome expected and the contribution it would make to the existing knowledge in the domains of study.

The introduction should be written in a style which is easy to understand and does not require advanced knowledge of the domain. References should be cited in the context of the issues described and the chapter should end with the details of the ensuing chapters.

Chapter 2

Literature Review and Theoretical / Conceptual Framework

This section plays an important role in the research project. It demonstrates the extent of the study undertaken to identify the issues and the state of research carried out so far in the selected areas or domains. This section should be presented systematically to arrive at gaps in the current literature which then become central to the research project. The Literature review is also the key argument that justifies the research problem and the methodological approaches. It often provides the theoretical or conceptual framework to Supervisor the research process.

The review is carried out theme-wise with a comprehensive reference list at the end of the proposal document. The review should be extensive and all books, book chapters, research articles and other studies should be mentioned with details of the author and the year when they were published. The referencing convention should be followed when reviewing any study or document, and details should be mentioned in the list of references at the end of the document. Paragraph organisation for literature review and other chapters should follow the structure as shown below (numbers illustrated for Chapter 2):

2.1. First paragraph

2.1.1. First sub-paragraph of first paragraph

2.1.2. Second sub-paragraph of first paragraph

2.2. Second paragraph

2.2.1. First sub-paragraph of second paragraph

2.2.2. Second sub-paragraph of second paragraph

Chapter 3

Research Objectives and Methodology

This chapter describes the research objectives which have been formulated after the literature review. These objectives should be clear and focus on the gap identified in the literature review. It should explain in detail the step-by-step methodology to be adopted for the study. This section should clearly show how the study will extend the research which has been completed by other scholars. The chapter should give details of the plan adopted in resolving the research problem with information on reasons for formulating such a plan. It should also explain research tools and techniques planned to be used in the research. The Research Scholar should justify the selection of the methods, tools and techniques and explain how they are best suited for achieving the selected objectives. The section should provide detailed information to the reader so that the adequacy of the plan can be evaluated.

Chapter 4

Pilot / Ongoing Research and Preliminary Results

This chapter should highlight any current work undertaken and the progress achieved. The tasks completed to date should be based on the objectives identified. This section should explain in a structured manner the exploratory work and if any preliminary results obtained.

Chapter 5

Work Plan

This part of the proposal document should list what the Research Scholar plans to do in detail and the milestones expected to be achieved in the time estimated. Details of literature review to be completed, formulation of hypothesis or the research questions, data collection, experiments and analysis to be conducted should be listed in this section. A project plan in the form of a Gantt chart with timelines stated can be prepared. The plan should also list any issues / problems expected in the research process and describe the approach to be followed in resolving them. It should provide details of conferences intended to attend and the journals targeted for publication of research articles. In an exploratory type of research where each step builds on the previous results, it may be difficult to have a detailed plan. However,, even a rough estimate of the work planned will ensure the researcher is focused and in the right direction. The work plan will also provide the reader with the extent of focus the Research Scholar has established in working towards the objectives identified.

Chapter 6

Conclusion

This chapter will restate the objectives of the research and the steps to be followed toward the objectives. The section should describe briefly the plan adopted and the results expected at the end of the journey.

References

In this section the Research Scholar should list all the references made throughout the document ensuring that the list is in the convention-style adopted for the study and as specified by the University.

Note: Recommended Citation Styles:

1. For STEM domains – IEEE (Institute of Electrical & Electronic Engineers)
2. For Humanities and Management domain – APA (American Psychological Association)
3. For Law domain – Bluebook (Uniform system of citation) and ILI Rules of Footnoting (Indian Law Institute)



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ANNEXURE E

Comprehensive Examination Assessment Report

[Note: To be completed by the RAC Chairperson and submitted to Dean Research]

[Pro Forma]

Date

| Comprehensive Examination Assessment Report | |
|---|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of the Allotted Research Supervisor with Designation | |
| Name of the Allotted Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Proposed Title of Ph.D. Thesis | |

Recommendations of the Assessment Committee for the Comprehensive Examination (Clause 14.6 of Ph.D. Regulations):

- ☐ Recommend confirmation of Ph.D. Registration
- ☐ Not Satisfactory – The Research Scholar is advised to re-appear for the comprehensive examination after four (04) months from this date.
- ☐ Unacceptable Progress and Performance - Registration Cancelled.

| Assessment Committee for the Comprehensive Examination | | | |
|--|---------------------------------|-----------------------|-----------|
| Sl. No. | Committee Member | Name with Designation | Signature |
| 1. | Chairperson RAC | | |
| 2. | Convener RAC | | |
| 3. | Member RAC | | |
| 4. | Member RAC | | |
| 5. | Member RAC | | |
| 6. | Invited faculty / expert member | | |
| 7. | Invited faculty / expert member | | |

Forwarded to the Dean Research

Signature of the Chairperson RAC

Date

Approved for notification

Signature of Dean Research

CC:

1. Chairperson R&IC
2. Registrar
3. Concerned Research Supervisor
4. Concerned Research Scholar
5. Dean of Concerned School



ANNEXURE F

[Pro Forma]

Note: This Annexure consists of three parts:

Part A: Submission of Pre-Synopsis Report and request for the Pre-Synopsis Seminar.

(For preparation of the Pre-Synopsis report, the Research Scholar must follow the same format as specified for final Ph.D. Synopsis and Thesis, which is detailed in Annexure G)

Part B: Convening Panel for Assessment of Pre-Synopsis Report and Seminar

Part C: Assessment Report of the Pre-Synopsis Report and Seminar



PRESIDENCY UNIVERSITY

ANNEXURE F - Part A

Submission of Pre-Synopsis Report and request for the Pre-Synopsis Seminar

To

Date

Chairperson RAC

Submission of Pre-Synopsis Report and request to convene the Pre-Synopsis Seminar and the Panel for assessment

| Submission of Pre-Synopsis Report and request for the Pre-Synopsis Seminar | |
|--|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Title of Ph.D. Thesis | |

Proposed date of Pre –Synopsis:

1. Course work details:

| Sl. No. | Course Code | Course Name | Grade Awarded |
|---------------|-------------|-------------|---------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| Total credits | | | |

2. Attach Comprehensive Examination Assessment Report.
3. Attach all Research Progress Assessment Reports.
4. Attach Pre-Synopsis Report. (For preparation of the Pre-Synopsis report, the Research Scholar must follow the same format as specified for final Synopsis, which is detailed in Annexure G)

Signature of the Research Scholar

Signature of the Research Supervisor

CC:

Dean Research



PRESIDENCY UNIVERSITY

ANNEXURE F - Part B

Convening Panel for Assessment of Pre-Synopsis Report and Seminar

To

Date

Dean Research

With reference to the application made by the Research Scholar (Application attached) the following Panel is recommended for assessing the Pre-Synopsis Report and Seminar.

| Convening Panel for Assessment of Pre-Synopsis Report and Seminar | | |
|---|---------------------------------|-----------------------|
| Sl. No. | Committee Member | Name with Designation |
| 1. | Chairperson RAC | |
| 2. | Convener RAC | |
| 3. | Member RAC | |
| 4. | Member RAC | |
| 5. | Member RAC | |
| 6. | Invited faculty / expert member | |
| 7. | Invited faculty / expert member | |

| | |
|--|--|
| Proposed Date for Pre-Synopsis Seminar: | |
|--|--|

Signature of Chairperson RAC

Date:

Reviewed and Approved

Signature Dean Research



PRESIDENCY UNIVERSITY

ANNEXURE F - Part C

Assessment Report of the Pre-Synopsis Report and Seminar

To

Date

Dean Research

| Assessment Report of the Pre-Synopsis Report and Seminar | |
|--|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Title of Ph.D. Thesis | |

☐ Pre-Synopsis Report accepted and recommended for Ph.D. Thesis Submission

☐ Not Satisfactory – The Research Scholar is advised to revise the Pre-Synopsis Report considering the suggestions of the Panel, and re-submit the Pre-Synopsis Report within three (03) months.

| Panel for Assessment of Pre-Synopsis Report and Seminar | | | |
|---|-----------------------------------|----------------------|-----------|
| Sl. No | Member | Name and Designation | Signature |
| 1. | Chairperson RAC | | |
| 2. | Convener RAC | | |
| 3. | Member RAC | | |
| 4. | Member RAC | | |
| 5. | Member RAC | | |
| 6. | Invited faculty / expert member 1 | | |
| 7. | Invited faculty / expert member 2 | | |

Signature of the Chairperson RAC

CC:

1. Research Scholar

2. Research Supervisor



ANNEXURE G

Guidelines for Preparation of the Ph.D. Synopsis and Ph.D. Thesis

1. INTRODUCTION

Preparation of a Ph.D. Thesis is of paramount importance. The Thesis must be a document of the best professional standards. Whilst originality is very much expected in a Thesis, the Thesis document necessarily adheres to specified conventions and formats. Ph.D. Thesis are deposited in the Presidency University Library as bound volumes and must be produced with the same care as printed books.

The intention of these Guidelines is to produce a high-quality thesis document, and to ensure consistency among the Ph.D. Theses produced by the University Research Scholars. It is the responsibility of the Research Scholar to ensure that these Guidelines are met, and the responsibility of the Supervisor(s) to confirm the same before submitting the Thesis for approval.

2. STRUCTURE OF THE CONTENTS OF THE THESIS

The Ph.D. Thesis should be structured as follows:

2.1. Preliminaries

- a) Title Page
- b) Dedication (where included)
- c) Certificate(s)
- d) Table of Contents
- e) Abstract
- f) Acknowledgement
- g) List of Figures
- h) List of Schema / Photographs (where included)
- i) List of Tables
- j) List of Abbreviations
- k) List of Notations and Symbols (where included)
- l) Plagiarism software report signed by the Chief Librarian and Dean Research

2.2. Text of Thesis

- a) Introduction
- b) The Body of the Thesis
- c) Conclusions

2.3. Appendices (where included)

2.4. References

2.5. Bibliography (where included)

3. GUIDELINES FOR LAYOUT AND FORMAT

3.1. Title Page

(The Pro Forma for the Title Page is placed in Appendix A at the end of this Annexure.) The specimen of the University Logo is available in the University Academic Office. The title of the Thesis is typed in upper- and lower-case letters. Do not use all uppercase letters. This is to ensure that the words (such as proper names) within the title are capitalized correctly when listed elsewhere.

3.2. Dedication Page

The Research Scholar may wish to include a dedication message. Typically, this is a single Phrase / line message placed in a blank page after the title page. If a Dedication is included, it will be numbered as page ii. (This is not similar to “Acknowledgements” which is a separate item.)

3.3. Certificate Page

The “*Originality and Anti-Plagiarism Certificate*” shall be placed (as per the Pro Forma given in **Annexure I** of the Ph.D. Regulations.) This is a mandatory certificate.

Additional certificates, if any, such as sponsored research projects, use of certain calibrated equipment, certification from standards organizations, etc.

3.4. Table of Contents

The Table of Contents needs to include entries for all of the front matter except for the Title page, Dedication page and Table of Contents page. The numbering and wording used in the Table of Contents must match the numbering and wording of the titles and headings in the front matter and text. (A Guideline for the Order of Contents and the Pro Forma for the Table of Contents is given in Appendix B (placed at the end of this Annexure).)

3.5. Acknowledgments

The Thesis must include an Acknowledgments section which, at a minimum, describes the source(s) of support for the research. Acknowledgment of the source(s) of support is important ethically in all research publications and presentations, including theses, to give the sponsors the recognition they deserve, and also to disclose publicly the organization or persons funding the research.

The Research Advisory Committee may also be listed in the Acknowledgments.

3.6. Abstract

The Abstract should present a brief summary of the thesis indicating the purpose, the procedures or methods used, the results or product that was produced, and the significant conclusions. The reference-free double-spaced abstract is typically limited to about 400 words. The Abstract is also followed by Key Words (typically not more than six key words).

3.7. List of Figures

All Figures must be numbered and listed. Numbers and titles of Figures listed must be identical to the numbers and titles used within the body of the Thesis.

Note: If photographs, schema, maps, diagrams, graphs or drawings are included, separate Lists of Photographs, Schema, etc., as required may be used. Numbers, Titles, and Thesis page numbers must be included as done for the case of Figures.

3.8. List of Tables

If Tables are included, all Tables, should carry a number and a title clearly describing the data presented. Table numbers and titles listed must be identical to the numbers and titles used within the body of the Thesis.

3.9. List of Abbreviations

The Research Scholar must take utmost care in the use of abbreviations. The scholar shall mention full form followed by abbreviation within parenthesis during the first mention. Post this the abbreviation can be used without explanation each time. Standards must be adhered to.

3.10. List of Notations and Symbols

The Research Scholar must explain the meaning of special notations and symbols used in the Thesis. Define Numerical, English symbols, Greek / Latin symbols and Miscellaneous symbols separately.

3.11. Thesis Text: General Guidelines

One of the most important requisites in preparation of the Ph.D. Thesis is consistency of Format and adherence to the specific Guidelines given below:

a) Language

The Ph.D. Thesis should be written in English. (In case of exceptions where some parts of the Thesis may need to be written in another language as per the approval of the RAC and provisions of the PU Ph.D. Regulations, the standard publishing norms followed by scholarly Journals published in that language shall be followed.)

The Ph.D. Thesis should be free from grammatical, lexical and punctuation errors. In addition to the word processor spellchecker, a Ph.D. Thesis should be proof-read to check that errors do not remain that are not detected by the spellchecker. The Ph.D. Thesis should consistently use either American or British spelling but should not alternate between the two. Research Scholars are encouraged to take additional support as required to have their manuscript thoroughly edited before submission, to improve the clarity and preciseness of communication.

b) Paper

For the Hard Bound copies of the Ph.D. Thesis to be submitted to the University, the paper must be chosen for its permanence and durability.

The paper must be Standard Bond Paper.

c) Font

The Thesis needs to be prepared using a standard text processing software and must be printed in black color text (color for images, if necessary) using high quality printer. As far as possible, use the same font for the entire Ph.D. Thesis but, if necessary, different fonts may be used within Tables, Figures, and Appendices.

d) Layout

Chapter and Page Layout

Each chapter shall begin on a fresh page (odd number page in case of double-sided printing) with an additional top margin. A chapter can be divided into sections, subsections and sub-subsections so as to present different concepts separately. Sections and subsections can be numbered using decimal points, e.g. 2.2 for the second section in Chapter 2 and 2.3.4 for the fourth subsection in the third section of Chapter 2. The sections and subsections of the chapter need not begin on a new page. Chapters, sections and subsections shall be included in the Table of Contents with page numbers. Further sub-sub-subsections need not be included in the Table of Contents.

Headings and Sub-headings

Headings should be distinguished from the surrounding text by a larger font size, bolding, italics, or a combination of these.

All headings of the same level should use the same style, and headings at lower levels should be less prominent than those at higher levels.

All headings should be left aligned. The headings and subheadings should be numbered.

Numbering and Titles / Captions for Tables and Figures / Schema / Photographs

Each Table and Figure / Schematic / Photograph should carry a number and Caption / Title that clearly describes the nature of data / information presented. Table number and title will be placed above the Table while the Figure number and caption / title will be located below the figure. They should be numbered consecutively throughout, in the order in which they are placed in the text. The Tables and Figures should be embedded in the text of the Ph.D. Thesis, immediately after the first mention of it in the text, on the same page if there is room, or on the following page. Tables and Figures shall be numbered chapter-wise. For example, the fourth figure in Chapter 5 will bear the number Figure 5.4 or Fig 5.4. All Tables and Figures must be referred to in the text by numbers and not by a phrase such as "the following table". Tables or Figures of peripheral importance to the text may be placed in an Appendix. Reference for any Table or Figure reproduced from another source shall be cited as per the citation style (APA / IEEE / Bluebook) chosen for use in the Thesis.

3.12 Appendices:

Long or detailed supplementary information such as derivations, tables, experimental setups / observations, questionnaires, approval letters or such other materials that are relevant to the Thesis but are not necessary for understanding alongside the text, should be placed in an appendix or appendices and listed in the Table of Contents. Appendices should be numbered using a consistent form, such as Appendix 1, Appendix 2, etc., or Appendix I, Appendix II, etc. All Appendix pages must be numbered and are to be included in the Table of Contents.

3.13 References

Citations of the professional literature should be standardized throughout the Thesis. A single list of references at the end of the Thesis is preferred. The form of citation should be consistent with the form used in a standard professional journal of the field of the research work.

3.14 Bibliography

Books, monographs, articles and other materials used in the research work may be listed According to the accepted bibliographic practice in the field of the research work. A single bibliography at the end of the Thesis is preferred.

Note: Recommended Citation Styles:

1. For STEM domains – IEEE (Institute of Electrical & Electronic Engineers)
2. For Humanities and Management domain – APA (American Psychological Association)
3. For Law domain – Bluebook (Uniform system of citation) and ILI Rules of Footnoting (Indian Law Institute)

4. PREPARATION OF Ph.D. SYNOPSIS

4.1 General Guidelines:

There are wide variations in the subject matter and style of presentation of the Ph.D. Synopsis amongst disciplines. However, the primary objective of the Synopsis is to enable the Examiner / Thesis Adjudicator to judge whether a *prima facie* case exists for accepting the proposed Ph.D. Thesis for a detailed evaluation for the award of the Ph.D. Degree.

The Synopsis should therefore, list, clearly, the contributions resulting from the research investigations carried out by the Research Scholar, which have led to seminal / new frameworks for advancement of knowledge in the field of investigation, and / or new solutions / products / services that have business, technological, environmental and / or social applications.

In order to fully appropriate the Research Scholar's contribution, it is necessary to articulate the context, challenge and scope of research work. The Synopsis should contain a well-summarized account of the existing knowledge and the inadequacy or gap in this knowledge that led to the

formulation of the research problem. It is, therefore, recommended that the Synopsis be structured and presented as per the Guidelines given below:

- (a) Title Page
- (b) Abstract
- (c) Brief Literature Review / State-of-the-Art Review
- (d) Motivation, Objectives and Scope of Research Work
- (e) Methodology / Framework(s) / Experimental Setups for Research
- (f) Significant Results and Original Contributions
- (g) Conclusions and Suggestions for further work
- (h) References (as relevant to the Synopsis)
- (i) List of Papers Published / Accepted; Patent Applications (from the Ph.D. work) The Ph.D. Synopsis typically consists of about 20 pages.

4.2 Layout and Format:

The Guidelines for Layout and Format as specified in Section 3.0 of this Annexure may be used in the preparation of the Synopsis. (Additionally, the “Guidelines to prepare the Ph.D. Research Proposal” placed in Annexure D of the Ph.D. Regulations, may also be referred to.) The changes in certain items (with reference to Section 3.0 of this Annexure) are outlined below:

i) Title Page

The Title of the Ph.D. Synopsis is the same as that of the Ph.D. Thesis. (The Pro Forma is placed in Appendix C)

ii) Abstract

The Abstract and Keywords placed in the Synopsis and Thesis will be exactly the same.

iii) References

Only select references as relevant to the text in the Synopsis is to be listed. Normally, a Synopsis will have about 10 – 15 references, or even less.

5. PREPARATION: Ph.D. Synopsis and Thesis

Synopsis

Ph.D. Synopsis will be soft copies. (The Pro Forma for the Cover Page is the same as that of the Title Page as placed in Appendix C.) The Cover Page is ‘Presidency Blue’ in color and text color is White. The specimen of the University Logo and Presidency Blue color is available in the University Library.

Thesis

The evaluation copies of the Ph.D. Thesis will be soft copies.

After successful completion of the Ph.D. Defense Viva-Voce, the Research Scholar shall submit two (02) hardbound copies and a softcopy of the approved Ph.D. Thesis as per the Regulations. (The Research Scholar may get additional hard bound copies done as per their requirements.)

(The Pro Forma for the Cover Page is placed in Appendix D.)

The Cover Page is the Cover Page is 'Presidency Blue' in color and text color is White. The specimen of the University Logo is available in the University Academic Office.

Additionally, the following should be printed on the spine of the thesis:

- (i) At the top end of the spine [Ph.D. Thesis]
- (ii) At the centre of the spine {Name of the Research Scholar}
- (iii) At the bottom end of the spine {YYYY}, which is the year of submission.

6. ELECTRONIC / SOFT COPY OF Ph.D. THESIS AND SYNOPSIS – SUBMISSION GUIDELINES

The Thesis must be submitted in Adobe PDF format. When preparing the PDF, the following points must be observed:

- (i) Embed all fonts (Assuming the text is prepared in MS Word, the MS Office Support will provide Guidelines to embed fonts.)

Note: Manuscripts without embedded fonts can cause all punctuation and formatting to disappear when the document is printed from the digital file. The Research Scholar is responsible for the appearance of the thesis manuscript in PDF to ensure that it will appear and may be downloaded exactly as submitted.

- (ii) NO password protection on the PDF
- (iii) NO compression
- (iv) Security settings to allow printing

7. CONCLUDING REMARKS

These “Guidelines for the preparation of the Ph.D. Thesis and Synopsis” present only the basic requirements for thesis preparation. Over and above the aforementioned points, a thesis should be easy and pleasant to read both in its appearance and presentation. Several aspects of thesis preparation, particularly style of writing and presentation, have not been discussed in detail. The Research Scholar should glean appropriate ideas from standard literature of the area of research, and create a unique style, while maintaining conventions and format consistently throughout the thesis. In doing so, the Research Scholar should be in constant conversation with their supervisor for guidance, and to ensure compliance with regulatory bodies.

In conclusion, the Ph.D. Thesis (and Synopsis) is the capstone project for Ph.D. Research Scholars. It is the most important and far-reaching undertaking in entire doctoral program, having an impact that extends well beyond the Ph.D. Program.

Note: APPENDIX A, B, C and D follow

**{Title of the Ph.D. Thesis in 18 or 20 pt Bold Lettering
in Black Color with Single Spacing between Lines and
Centered}**

A
Thesis
submitted by
< 14 or 16 pt >

{Full Name of Research Scholar}
< same pt as Title >

in partial fulfilment for the requirements of the award of the degree of
< 14 or 16 pt >

DOCTOR OF PHILOSOPHY
< same pt as Title >

Faculty of {-----}
{Name of the Department/Institute/School}
< 14 or 16 pt >



< Times New Roman 16pt >
PRESIDENCY UNIVERSITY

{Month (full) Year (YYYY)}
<Times New Roman Font 12>
BENGALURU

Appendix B

GUIDELINE FOR ORDER OF CONTENTS AND PRO FORMA FOR TABLE OF CONTENTS

Order of Contents [with illustrations/examples]

< Page Numbering Scheme – Sample >

| | |
|--|--|
| Title Page | [i] |
| Counted as first page but not numbered | |
| Do not include in Table of Contents | Not numbered in Thesis |
| Dedication Page (optional) | [ii] |
| Do not include in Table of Contents | Not numbered in Thesis |
| Certificate Page | [iii] |
| (Mandatory Originality and Antiplagiarism Certificate, and any other Certificates, if any) | Not numbered in Thesis |
| Do not include in Table of Contents | |
| Table of Contents | [iv] |
| Include front matter listed below followed by entries for text of thesis | Not numbered in Thesis |
| Do not include an entry for the Table of Contents in the Table of Contents | |
| Acknowledgements | [vi] |
| | Numbering starts in Thesis and the Acknowledgments page begins with vi < example > |
| Abstract | [viii] |
| List of Tables (when applicable) | [ix] |
| List of Figures (when applicable) | [xi] |
| List of Schemes/Photographs/ ... (use separate List as applicable) | [xiii] |
| List of Abbreviations | [ix] |
| List of Notation/Symbols (use separate List as applicable) | [x] |
| Chapter 1 | 1 |
| | (numbering begins Numeral 1) |
| Remaining Chapters 2, 3, ... etc. | 22 <example> ... |
| Chapter 6 <example> Conclusions | 238 <example> |
| Appendices [Appendix A, B, ... or Appendix I, II, III...] | 245<example> |
| References | 265 |
| Bibliography (when applicable) | 280 |
| Blank pages as required | Not numbered |

PRO FORMA FOR TABLE OF CONTENTS

Table of Contents

[with illustrations/examples]

| | Page < example > |
|--|----------------------------|
| Acknowledgements | vi |
| Abstract | viii |
| List of Figures | ix |
| List of Tables | xii |
| List of Abbreviations | xiii |
| List of Notations | xiv |
| List of Symbols | xv |
| Chapter 1 Introduction {title} | |
| 1.1 {subtitle} | 1 |
| 1.2 | 2 |
| 1.3 | 3 |
| 1.3.1 | 3 |
| 1.3.2 | 5 |
| 1.4 | 8 |
| 1.5 | 10 |
| 1.5.1 | 10 |
| 1.5.2 | 12 |
| 1.5.3 | 13 |
| 1.6 | 14 |
| Chapter 2 {Title} | 16 |
| < Similarly for all the other Chapters ...> | |
| Appendix 1 (or I) {Title} | 245 |
| Appendix 2 (or II) {Title} | 247 |
| < Similarly for all the other Appendices ...> | |
| References | 265 |
| Bibliography | 280 |

APPENDIX C

PRO FORM FOR COVER & TITLE PAGE – Ph.D. SYNOPSIS

SYNOPSIS

<Bold, 18 or 20 pt>

of the Ph.D. Thesis titled

<14 pt>

**{Title of the Ph.D. Thesis in Bold Lettering in Black Color with
Single Spacing Between Lines and Centered}**

<Bold, 18 or 20 pt>

Proposed to be submitted by

<14 pt>

{Full Name of Research Scholar}

<Bold, 18 or 20 pt>

in partial fulfilment for the requirements of the award of the degree of

<14 pt>

DOCTOR OF PHILOSOPHY

<Bold, 18 or 20 pt>

Faculty of {-----}

{Name of the Department/Institute/School}

< 14 or 16 pt >



< Times New Roman 16pt>

PRESIDENCY UNIVERSITY

{Month (full) Year (YYYY)}

BENGALURU

APPENDIX D
PRO FORMA – COVER PAGE

**{Title of the Ph.D. Thesis in 18 or 20 pt Bold Lettering
in Black Color with Single Spacing between Lines and
Centered}**

**A
Thesis
submitted by
< 14 or 16 pt >**

{Full Name of Research Scholar}
< same pt as Title >

in partial fulfilment for the requirements of the award of the degree of
< 14 or 16 pt >

DOCTOR OF PHILOSOPHY
< same pt as Title >

**Faculty of {-----}
{Name of the Department/Institute/School}**
< 14 or 16 pt >



< Times New Roman 16pt >
PRESIDENCY UNIVERSITY
{Month (full) Year (YYYY)}
BENGALURU



PRESIDENCY UNIVERSITY

ANNEXURE H

Submission of Ph.D. Synopsis and Thesis

[Pro Forma]

(Guidelines for preparation of Ph.D. Synopsis and Thesis which are placed in Annexure G)

To

Date:

Chairperson RAC

| Submission of Ph.D. Synopsis and Thesis | |
|--|---|
| Details of Research Scholar | |
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Title of Ph.D. Thesis | |
| Date of Confirmation of Ph.D. Registration: | |

1. Details of Publications / Paper Presentations (as per Sub-Clause: 17.1.2 of the Ph.D. Regulations.)

Publications / Accepted for Publication in Reputed Journals (Attach Preprints / Acceptance of Communication)

(Attach Preprints / Acceptance Communication)

| No. | Title of Paper [Authors as listed in the Paper] | Details of the Journal |
|-----|--|------------------------|
| ... | | |

2. Presentations in Reputed Conferences/Seminars (Attach Copies / Certificates / Acceptance of Communication)

| No. | Title of Paper [Authors as listed in the Paper] | Details of the Conference / Seminar |
|-----|--|-------------------------------------|
| ... | | |

3. Attach the following Documents/Certificates:

- i) Copy of the Pre-Synopsis Assessment Report certifying that the Pre-Synopsis Report and Seminars have been declared “Accepted”
- ii) Copy of Transcripts / Grade Sheets to certify that the prescribed Ph.D. coursework credits have been successfully completed
- iii) Copies / Preprints of papers published in Journals and Papers presented in Seminars / Conferences {and/or} Certificates / Communication of Acceptance of papers for publication / presentation
- iv) Copy of Receipt of payment of the Ph.D. Thesis Examination Fee
- v) Copy of Receipt of payment of the Ph.D. Fee for the current year.
- vi) “Originality and Antiplagiarism Certificate”
- vii) Soft copies of Ph.D. Thesis and Synopsis

4. Address for communication (till the date of Ph.D. Defense Viva-Voce):

Mobile:

Alternate Email:

(It is the responsibility of the Research Scholar to intimate the University Academic Office of any change of Address / Mobile Number / Email, in advance.)

I affirm that I have fulfilled all the conditions as specified in Section 17 of the Presidency University Ph.D. Regulations for submission of the Ph.D. Synopsis and Ph.D. Thesis.

Signature and Name of the Research Scholar:

Date:

Endorsement by the Supervisor(s):

I / We approve the submission of the Ph.D. Synopsis and Ph.D. Thesis to the Chairperson, Research Advisory Committee for consideration of the Ph.D. Thesis for adjudication.

Signature & Name of the Research Supervisor

Date:

Signature and Name of Co- Supervisor (if any)

Date:

CC:

Dean Research



ANNEXURE I

Originality and Anti-Plagiarism Certificate

| | |
|--|--|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Department / School: | |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (if any) | |
| Title of Ph.D. Thesis | |
| Date of Submission of Ph.D. Thesis | |

We *{Name of Research Scholar and Name of Research Supervisor}* hereby declare that the research work documented in this Ph.D. Thesis *{“TITLE OF THE Ph.D. THESIS”}* has been conducted at the *{Department / School}* of Presidency University.

We *{Name of Research Scholar and Name of Research Supervisor (and Name of Co-Supervisor if applicable)}* hereby declare that the research work documented in this Ph.D. Thesis, to the best of our knowledge does not include any work which has at any time previously, been submitted for the award of any other Degree / Diploma of the University, or any other Institution / University.

Further, we declare that the Ph.D. Thesis has been checked for plagiarism using *{name of the anti-plagiarism software, like Turnitin®, etc.}* and the copy of the scan report / originality report is attached, certifying that the contents of the Ph.D. Thesis are within acceptable norms to be declared as free from plagiarism.

Signature and Name of Research Scholar:

Date:

Signature and Name of Research Supervisor:

Date:

Signature(s) and Name(s) of Co- Supervisor(s) (if any):

Date:

Endorsed by Chairperson RAC (of the Research Scholar)

Signature and Name of Chairperson RAC:

Date:

Attached / Enclosed: Copy of the scan report / originality report, certifying that the contents of the Ph.D. Thesis are within acceptable norms to be declared as free from plagiarism (Duly attested by the concerned authority).



PRESIDENCY UNIVERSITY

ANNEXURE J

Recommendation of Panel of Thesis Examiners

[Pro Forma]

[CONFIDENTIAL]

[To be completed by the Research Supervisor in consultation with the Co-Supervisor(s), if any, and submitted to Chairperson, RAC along with copies of the Ph.D. Synopsis and Ph.D. Thesis]

To

Date:

Dean Research

| Details of Research Scholar | |
|--|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Title of Ph.D. Thesis | |
| Date of Confirmation of Ph.D. Registration: | |
| Date of Pre-Synopsis Report and Seminar | |

| Suggested Panel Of Thesis Examiners (as per Clause 18.1 of the Ph.D. Regulations) | |
|---|--|
| Note: 1. Only Official email ID to be provided. 2. Official Designation with details of University / Organisation must be provided. | |
| EXTERNAL EXAMINERS FROM OUTSIDE INDIA | |
| 1. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 2. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 3. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 4. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |

| EXTERNAL EXAMINERS FROM WITHIN INDIA | |
|--------------------------------------|--|
| 1. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 2. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 3. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 4. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |

Recommended by

Signature and Name of Research Supervisor

Date:

Signature and Name of Co-Supervisor (if any)

Date:

Endorsed by Chairperson RAC

Signature and Name of Chairperson RAC

Date:



PRESIDENCY UNIVERSITY

ANNEXURE K

Recommended Board of Thesis Examiners

(Listed in Order of Priority)

[Pro Forma]

[Chairperson, RAC to forward Recommendation to the Controller of Examinations]

To

The Controller of Examinations

Presidency University

(With approval of the Chairperson R&IC)

| Details of Research Scholar | |
|--|---|
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Admission Type: | <input type="checkbox"/> Full-Time / <input type="checkbox"/> Part-Time (External) <input type="checkbox"/> Part-Time (Internal) |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (If applicable) | |
| Research Area | |
| Title of Ph.D. Thesis | |
| Date of Confirmation of Ph.D. Registration: | |
| Date of Pre-Synopsis Report and Seminar | |

For the Ph.D. Thesis of the Research Scholar as detailed above, the Recommended Board of Thesis Examiner is tabled below:

| Recommended Board Of Thesis Examiners Listed In Order Of Priority | |
|---|---|
| <p>Note:</p> <ol style="list-style-type: none"> 1. Only Official email ID to be provided. 2. Official Designation with details of University/Organisation must be provided. | |
| <p align="center">EXTERNAL EXAMINERS FROM OUTSIDE INDIA</p> | |
| 1. | <p>Name:</p> <p>Designation / Position:</p> <p>Details of University / Organisation:</p> <p>Postal Address:</p> <p>Mobile No:</p> <p>Email:</p> |
| 2. | <p>Name:</p> <p>Designation / Position:</p> <p>Details of University / Organisation:</p> <p>Postal Address:</p> <p>Mobile No:</p> <p>Email:</p> |
| 3. | <p>Name:</p> <p>Designation / Position:</p> <p>Details of University / Organisation:</p> <p>Postal Address:</p> <p>Mobile No:</p> <p>Email:</p> |
| 4. | <p>Name:</p> <p>Designation / Position:</p> <p>Details of University / Organisation:</p> <p>Postal Address:</p> <p>Mobile No:</p> <p>Email:</p> |

| EXTERNAL EXAMINERS FROM WITHIN INDIA | |
|--------------------------------------|--|
| 1. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 2. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 3. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |
| 4. | Name: Designation / Position: Details of University / Organisation: Postal Address: Mobile No: Email: |

Signature of Dean Research

Date:

Enclosed: Soft copies of Ph.D. Synopsis and Thesis



PRESIDENCY UNIVERSITY

ANNEXURE L (Thesis Adjudication Process) [Pro Forma]

Annexure L has THREE parts:

Part A: Letter/Email to External Examiner (Board of Thesis Examiners) seeking acceptance to be a Thesis Examiner. To be sent by the Controller of Examinations along with a copy of the Ph.D. Synopsis.

Part B: Letter/Email to External Examiner (Board of Thesis Examiners) for adjudication of Ph.D. Thesis. To be sent by the Controller of Examinations along with a copy of the Ph.D. Thesis.

Part C: Thesis Evaluation Report (with Enclosure), where Item I has to be filled by Controller of Examinations before sending to the Ph.D. Thesis Examiner.



ANNEXURE L - Part A

Letter/Email to External Examiner (Board of Thesis Examiners) seeking acceptance to be a Thesis Examiner
(Sub-Clause 18.3.1 of Ph.D. Regulations)

(To be sent by the Controller of Examinations along with a copy of the Ph.D. Synopsis.)

Ref:

Date

Dr/Prof. {Full Name}

{Official Designation & Address}

Dear Dr/Prof. {Name}

Sub: Adjudication of Ph.D. Thesis; Invitation to be a member of the Board of Thesis Examiners and Ph.D. Defense Committee

On behalf of the Chairperson - Research and Innovation Council of Presidency University, I am pleased to invite you to be an esteemed member of the Board of Thesis Examiners {and Ph.D. Defense Committee} to examine the Ph.D. Thesis titled {"....."}

A soft copy of the Ph.D. Synopsis is attached for your review and consideration for acceptance to be an Examiner of this Thesis.

On receipt of your acceptance, a copy of Ph.D. Thesis will be sent to you for evaluation.

If for some reason you are not able to accept this invitation, please inform me by return mail. It will be very helpful if you communicate your acceptance (or otherwise) at the earliest, but not later than {DD/MM/YYYY}.

Kindly note that the contents of this Ph.D. Synopsis may not be disclosed in any form or used for any purpose other than reviewing it. You are also requested to inform if there is any conflict of interest with this Research Scholar whose Ph.D. Thesis is under evaluation.

Further, you will be required to be present as the External Examiner on the Ph.D. Defense Committee to evaluate the Ph.D. Defense Viva-Voce. The date of the Ph.D. Defense Viva-Voce will be communicated in due course after the completion of the evaluation of the Ph.D. Thesis by all the Thesis Examiners.

The details of honorarium for adjudication of the Ph.D. Thesis are attached for your information.

Yours sincerely,

Controller of Examinations

Presidency University



ANNEXURE L - Part B

Letter/Email to External Examiner (Board of Thesis Examiners) for adjudication of Ph.D. Thesis.

(Sub-Clause 18.3.1 of Ph.D. Regulations)

To be sent by the Controller of Examinations along with a copy of the Ph.D. Thesis.

Ref:

Date

Dr/Prof. {Full Name}

{Official Designation & Address}

Dear Dr/Prof. {Name}

Sub: Adjudication of Ph.D. Thesis; Invitation to be a member of the Board of Thesis Examiners and Ph.D. Defense Committee

On behalf of the Chairperson - Research and Innovation Council of Presidency University, I thank you for accepting our invitation to be a member of the Board of Thesis Examiners to evaluate the Ph.D. Thesis and to be a member of the Ph.D. Defense Committee to evaluate the Ph.D. Defense Viva-Voce.

A soft copy of the Ph.D. Thesis titled “.....” is attached herewith for evaluation. I shall be grateful if you could examine it and send us (electronically) a scanned version of your report.

The Pro Forma for the “Thesis Evaluation Report” is attached. Kindly complete the evaluation of the Thesis at the earliest, but not later than {DD/MM/YYYY}.

I would like to draw your attention to the following points:

1. Please indicate your recommendation and report in the enclosed / attached Thesis Evaluation Report.
2. An excerpt (Clause 18.3) from the Presidency University Ph.D. Regulations of University – “Thesis Examiners’ Reports and Recommendation” is attached for your information.
3. The contents of this Ph.D. Thesis may not be disclosed in any form or used for any purpose other than evaluating it.
4. A Honorarium form is also attached with this mail. Please fill this in and mail me a scanned copy.
5. The Ph.D. Defense Viva-Voce date will be fixed, after taking inputs from all examiners on the panel.

Kindly acknowledge receipt of this letter and the Ph.D. Thesis.

Yours sincerely,

Controller of Examinations
Presidency University

Attached:

- i) PRO FORMA – Thesis Evaluation Report
- ii) Excerpt from Presidency University Ph.D. Regulations of the University
- iii) Honorarium form

Part B (continuation)

Enclosure / Attachment to be Sent to the Thesis Examiner Along with the Pro Forma for Thesis Evaluation Report

Excerpt from Presidency University Ph.D. Regulations (Clause 18.3)

18.3 Thesis Examiners' Reports and Recommendation:

18.3.1 The Thesis Examiners, independently, shall submit the Thesis Evaluation Report (Pro Forma placed in Annexure L) to the Chairperson, Research and Innovation Council (R&IC) through the Controller of Examinations of the University. The evaluation report includes:

- a.** A critical survey and evaluation of the quality and quantity of the research work;
- b.** A definite recommendation as to whether the Thesis is "ACCEPTED" for the award of the Ph.D. Degree (Doctor of Philosophy); OR,
- c.** A provisional recommendation that the Thesis is "ACCEPTABLE WITH MINOR REVISIONS" for the award of the Ph.D. Degree, subject to the condition that the Research Scholar is required to make the "minor" revisions / modifications, which are clearly specified by the External Examiner; OR,
- d.** A conclusive decision by the External Examiner that the Thesis is "REJECTED", with reasons why the Thesis is adjudicated as unacceptable for the award of the Ph.D. Degree.
- e.** If the Thesis is ACCEPTED / ACCEPTABLE WITH MINOR REVISIONS, the evaluation report may also include specific questions or points to be clarified by the Research Scholar at the time of the Ph.D. Defense Viva-Voce.

18.3.2 If BOTH the External Examiners recommend acceptance of the Thesis as it is, or, with answers to the specific questions, and / or points to be clarified, if any, at the Ph.D. Defense Viva-Voce (refer Section 19), the Ph.D. Defense Viva-Voce shall be held at the earliest.

18.3.3 If ONE or BOTH the External Examiners recommend "ACCEPTABLE WITH MINOR REVISIONS" in the Thesis, as described in Sub-Clause 18.3.1 c, the Ph.D. Defense Viva-Voce shall be held only after the Research Supervisor certifies to the Chairperson RAC, that the changes / revisions have been completed and documented. The Chairperson, RAC shall forward the aforementioned certificate (with the concerned document) to the Controller of Examinations. The COE shall conduct the Ph.D. Defense Viva-Voce with the approval of the Chairperson R&IC.

18.3.4 If BOTH External Examiners adjudicate the Ph.D. Thesis as "REJECTED", then the submitted Ph.D. Thesis is rejected and the Research Scholar shall be declared ineligible for the award of the Ph.D. Degree.

18.3.5 If any ONE External Examiner adjudicates the Ph.D. Thesis as "REJECTED", an opinion of a "third" External Examiner shall be sought. The third External Examiner shall be appointed by the Chairperson, R&IC from the priority list of the Panel of Thesis Examiners (Sub-Clause 18.3.2). If the third External Examiner adjudicates the Ph.D. Thesis as "ACCEPTED"/ "ACCEPTABLE

WITH MINOR REVISIONS”, the Ph.D. Defense Viva-Voce shall be conducted as per the relevant provisions of Section 19. If the evaluation report of the third External Examiner adjudicates the Ph.D. Thesis as “REJECTED”, the Thesis shall be rejected and the Research Scholar shall be declared ineligible for the award of the Ph.D. Degree.

18.3.6 The copies of the Reports of the External Examiners shall be made available to the Supervisor(s).

18.3.7 A copy of the reports of all Thesis Examiners shall be intimated to the Research Scholar.



PRESIDENCY UNIVERSITY

ANNEXURE L - Part C

Thesis Evaluation Report

[To be completed by the Ph.D. Thesis Examiner]

I. Details of the Ph.D. THESIS

[To be filled by the Controller of Examinations before sending to the Thesis Examiner]

| | |
|--|--|
| (i) Title of Ph.D. Thesis: | |
| (ii) Name of Research Scholar | |
| (iii) Enrollment Number (UID) | |
| (iv) Name / Designation of Thesis Examiner | |

II. Recommendation of the THESIS EXAMINER

| | |
|---|--|
| (i) Name & Designation of Thesis Examiner | |
| (ii) Email ID | |
| (iii) Signature | |
| (iv) Date | |

- ☐ **“ACCEPTED”**: I adjudicate that the Ph.D. Thesis as submitted is ACCEPTABLE for the award of the Degree of Doctor of Philosophy (Ph.D.)
- ☐ **“ACCEPTABLE WITH MINOR REVISIONS”[#]**: I adjudicate that the Ph.D. Thesis is ACCEPTABLE for the award of the Degree of Doctor of Philosophy (Ph.D.) subject to the condition that the Research Scholar completes the “minor revisions” detailed in Item V of the Detailed Evaluation Report and the revised Thesis must be certified as satisfactorily completed by the Supervisor(s) and the approved by the Ph.D. Defense Committee.
- ☐ **“REJECTED”^{##}**: I adjudicate that the Ph.D. Thesis as submitted is UNACCEPTABLE for the award of the Degree of Doctor of Philosophy (Ph.D.). Reasons are enumerated in Item VI of the Detailed Evaluation Report.

III. DETAILED EVALUATION REPORT:

- (a) Critical survey and evaluation of the quality and quantity of the research work as embodied in the Thesis (preferably Chapter by Chapter).
- (b) Highlight the points, which, in your opinion constitute significant original contribution to knowledge in the area of work.
- (c) Comment on the standard of presentation of the Thesis:
- (d) Any other comments / feedback:

IV. QUESTIONS, IF ANY, TO BE ASKED OR POINTS TO BE CLARIFIED AT THE Ph.D. DEFENSE VIVA-VOCE:

- (i)
- (ii)

V. [#]If your Recommendation is “ACCEPTABLE WITH MINOR REVISIONS”, please enumerate the specific queries / clarifications / revisions / modifications the Research Scholar has to complete to be considered as eligible for the Ph.D. Defense Viva-Voce:

VI. ^{##}If you have adjudicated the Ph.D. Thesis as “REJECTED”, please enumerate the specific reasons leading to your decision:

Thesis Evaluation Report submitted to the Chairperson, Research and Innovation Council, Presidency University, through the Controller of Examinations, Presidency University.

| | |
|---------------------------------------|--|
| Name & Designation of Thesis Examiner | |
| Email ID | |
| Signature | |
| Date | |



ANNEXURE M

Ph.D. Defense Committee

Constitution of Ph.D. Defense Committee and Announcement of Ph.D. Defense Viva-Voce

[Pro Forma]

(To be communicated to all members of the PDC by the COE)

To

Members of the PDC

Subject: Constitution of Ph.D. Defense Committee and Announcement of Ph.D. Defense Viva-Voce

| Details of Research Scholar | |
|--|--|
| Ph.D. Defense Committee and Ph.D. Defense Viva-Voce | |
| Name of the Research Scholar | |
| Enrollment Number (UID) | |
| Date of Provisional Registration: | |
| Department / School: | |
| Name of Research Supervisor with Designation | |
| Name of Co-Supervisor with Designation (If applicable) | |
| Title of Ph.D. Thesis | |

With the approval of the Chairperson R&IC the Ph.D. Defense Committee for the above Research Scholar is constituted as follows:

| Ph.D. Defense Committee | | |
|-------------------------|--|------|
| Members | Description | Name |
| Chairperson | Dean – Academic Research | |
| Members | At least One External Examiner, who has evaluated the Thesis | |
| | One Professor / Associate Professor of the University | |
| | Research Supervisor | |
| | Co-Supervisor(s), if any | |
| Convener | Chairperson of the concerned RAC | |

| | |
|---|-----------|
| The Ph.D. Defense Viva-Voce for the above Research Scholar is scheduled for | Date..... |
|---|-----------|

Signature and Name of COE

Date:

Enclosed / Attached

1. Copy of the Ph.D. Thesis
2. Thesis Evaluation Report of all Thesis Examiners
3. Pro Forma for PDC Report

CC:

1. Research Scholar
2. Dean of concerned School



PRESIDENCY UNIVERSITY

ANNEXURE N

Ph.D. Defense Committee Report

[Pro Forma]

ii. Details of Ph.D. Thesis and Research Scholar:

| | |
|--|--|
| Title of Ph.D. Thesis | |
| Name of Research Scholar | |
| Enrollment Number (UID) | |
| Department / School | |
| Name / Designation of Research Supervisor | |
| Name / Designation of Co-Supervisor (if any) | |
| Name / Designation of Co-Supervisor (if any) | |
| Date of Ph.D. Defense Viva-Voce | |

iii. PDC REPORT:

☐ **RECOMMENDED:** Recommend conferring of the Degree of Doctor of Philosophy (Ph.D.) on the Research Scholar, subject to the approval of the Academic Council

Observations of PDC:

☐ **RE-EXAMINATION:** Ph.D. Viva-Voce UNSATISFACTORY. Recommend the Research Scholar be re-examined within {DD/MM/YYYY specify date / time period}

Observations of PDC:

PDC Report Endorsed by the members of the PDC:

| Ph.D. Defense Committee | | |
|--|------|-----------|
| Members | Name | Signature |
| Chairperson | | |
| External Examiner | | |
| Professor / Associate Professor of the University | | |
| Research Supervisor | | |
| Co-Supervisor(s), if any | | |
| Chairperson of the concerned RAC | | |

Forwarded to the Chairperson R&IC

Controller of Examinations

(Signature with Date)

☐ **RECOMMENDED** TO THE BOARD OF MANAGEMENT FOR APPROVAL – The Ph.D. Degree may be conferred on the Research Scholar, subject to the conditions specified by the Ph.D. Regulations, 2025 and subsequent approval of the Board of Management.

☐ **NOT RECOMMENDED** – Note to the Chairperson, R&IC with reasons / questions for clarification:

Date: _____

Approved By

Vice Chancellor

Chairperson, R&IC



PRESIDENCY UNIVERSITY

ANNEXURE O

Application for the Provisional Degree Certificate and Provisional Degree Certificate

[Pro Forma]

This Annexure has three parts

Part A: Application for Provisional Degree Certificate

Part B: Certificate from Research Supervisor

Part C: Provisional Degree Certificate



ANNEXURE O - Part A

Application for Provisional Degree Certificate

[To be submitted by the Research Scholar upon Final Submission of two (02) hardbound copies and a softcopy of the approved Ph.D. Thesis, along with Certificate given by the Research Supervisor Pro Forma as in Part B]

From,

Name of Research Scholar _____

Enrolment Number (UID) _____

Department / School _____

To,

The Controller of Examinations,

Presidency University

Subject: Application for Provisional Degree Certificate – Ph.D.

| | |
|---------------------------------|--|
| Title of Ph.D. Thesis | |
| Name of Research Scholar | |
| Enrollment Number (UID) | |
| Department / School | |
| Date of Ph.D. Defense Viva-Voce | |

I request you to kindly issue the Provisional Degree Certificate for having fulfilled the requirements for the award of the degree of Doctor of Philosophy (Ph.D.).

Please find enclosed / attached:

1. Two (02) hard bound copies of the approved Ph.D. Thesis
2. Soft Copy of Ph.D. Thesis titled: _____
3. Certificate by the Research Supervisor
4. University No Dues Certificate

Signature of the Research Scholar:

Date:

Address for communication:

{Email: (other than University mail id)}

(Mobile :}

For use of Office of Controller of Examinations:

| | |
|---------------------------------|--|
| Title of Ph.D. Thesis | |
| Name of Research Scholar | |
| Enrollment Number (UID) | |
| Department / School | |
| Date of Ph.D. Defense Viva-Voce | |

Checked and verified that all submissions are complete and accurate.

The Provisional Degree Certificate may be issued.

Signature

Controller of Examinations

Date:

CC:

1. Registrar
2. Research Scholar
3. Dean Research
4. Dean of concerned School
5. Research Supervisor
6. Chairperson R&IC



PRESIDENCY UNIVERSITY

ANNEXURE O - Part B

[Certificate to be given by the Research Supervisor. To be submitted by Research Scholar with Application for Provisional Degree Certificate, Pro Forma as in Part A]

Certificate

Final Submission of Ph.D. Thesis

| | |
|---------------------------------|--|
| Title of Ph.D. Thesis | |
| Name of Research Scholar | |
| Enrollment Number (UID) | |
| Department / School | |
| Date of Ph.D. Defense Viva-Voce | |

Certified all the revisions / corrections specified by the Thesis Examiners and the Ph.D. Defense Committee have been satisfactorily incorporated in the Ph.D. Thesis by the Research Scholar, and that the Ph.D. Thesis is prepared in accordance with the Guidelines specified by the Presidency University Ph.D. Regulations.

The two (02) hard bound copies and the soft copy of the Ph.D. Thesis (as titled above) may be accepted.

Signature of Research Supervisor:

Name and designation of the Research Supervisor:

Date:

ANNEXURE O - Part C
Provisional Degree Certificate

Certificate No.: XXXXXXXXX



PRESIDENCY UNIVERSITY

Presidency University Act, 2013 of the Karnataka Act No. 41 of 2013 | Established under Section 2(f) of UGC Act, 1956

PROVISIONAL DEGREE CERTIFICATE

This is to certify that

NAME OF THE RESEARCH SCHOLAR

Enrollment Number (UID)

Father's Name: XXXXXXX

Mother's Name: XXXXXXX

has fulfilled all the requirements for the award of the degree of

DOCTOR OF PHILOSOPHY

(Discipline)

of this University in recognition of the research work presented in the
Ph.D. Thesis titled

“Title of the Research”

The Degree will be conferred at the next Convocation of the University.

Further certified that the award of the degree of Doctor of Philosophy is in accordance with the provisions and guidelines of the *University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022*.

Controller of Examinations

Registrar

Date: