



PRESIDENCY UNIVERSITY

Presidency University Act, 2013 of the Karnataka Act No. 41 of 2013 | Established under Section 2(f) of UGC Act, 1956
Approved by AICTE, New Delhi

POLICY ON RESEARCH PROMOTION

**(As Approved in 19th BoM Meeting held on 04-08-2022 –
Agenda 19.12)**

August 2022

[Version 2.0]

CONTENTS

Sl. No	Title	Pg. No
1.	PREAMBLE	01
2.	SHORT TITLE AND APPLICATION	01
3.	EXTENT AND APPLICABILITY	01
4.	RESPONSIBILITY OF THE FACULTY / RESEARCHERS	01
5.	RESEARCH PROMOTION	01
6.	INCENTIVES FOR FACULTY MEMBERS TO ACCELERATE RESEARCH PROMOTION	07
7.	SABBATICAL LEAVE	10
8.	ACADEMIC LEAVE	10
9.	FACULTY APPRAISAL AND DEVELOPMENT SYSTEM (FADS)	10
10.	RESEARCH SUPPORT FOR UG STUDENTS	10
11.	RESEARCH SUPPORT FOR PH.D SCHOLARS	11
12.	RESEARCH INCENTIVES FOR POST –DOCTORAL RESEARCH FELLOWS	11
13.	SUBSCRIPTION TO PROFESSIONAL BODIES	12
14.	CONSULTANCY PROJECTS	12
15.	RESEARCH ETHICS	13
16.	AMENDMENTS	13
	ANNEXURE-I	14

PRESIDENCY UNIVERSITY
POLICY FOR RESEARCH PROMOTION

1. PREAMBLE

Presidency University aims to contribute to society by becoming a global knowledge superpower through quality education, research, and innovation. In order to achieve this goal, the University seeks to strengthen research and innovation activities by motivating faculty members and students through internal funding in the beginning of their research career. The Seed money scheme and Grants offered by the University will enable an early start of research work until sponsored projects from external agencies are secured by the faculty. To retain and motivate the faculty to contribute towards achieving the University research goals, incentives are granted for research activities.

2. SHORT TITLE AND APPLICATION

- 2.1. This document shall be called the "Presidency University Policy on Research Promotion".
- 2.2. This document shall come into effect from the date of approval by the Academic Council of Presidency University and ratified by appropriate higher bodies.

3. EXTENT AND APPLICABILITY

This shall apply to all the researchers and to all the research-related activities of the University. The 'researchers' are defined to include:

- 3.1 All Employees, permanent, probationary, and contractual, who are active in Teaching, Research, Administration, and provision of any form of support to the core functions of the University.
- 3.2 All students registered with the University.
- 3.3 All mentors, guides, external experts, and sponsors associated with any of the research activities of the University.
- 3.4 All academic and administrative departments of the University.

4. RESPONSIBILITY OF THE FACULTY/ RESEARCHERS

All the eligible Faculty/ Research members of the University are expected to:

- 4.1. Publish journal articles/ papers/books/ book chapters, write project proposals and seek external grants with accurate details of affiliation and association to Presidency University, Bengaluru, Karnataka.
- 4.2. Publish a minimum of 2 Research articles which are indexed by Scopus / SCI / SSCI/ ABDC / WoS.
- 4.3. Publish a minimum of One Conference Article with an ISBN.
- 4.4. Attempt to file at least one / File a Patent with the guidance and mentorship of Senior Researchers and Dean.
- 4.5. Submit a minimum of one Research Proposal.

5. RESEARCH PROMOTION

5.1. INTERNAL FUNDING -SEED GRANTS

The R&D has mandated Research Funding Schemes Through Seed Grants to encourage faculty researchers to set up the basic infrastructure through development of research centers/institutes and to carry out research achieving the outcomes such as Research paper

publications, Patents, Book / Book Chapter publications, Collaborations with National and International Institutions of repute, Applying for grants from External Agencies. This seed grant scheme shall offer financial assistance to individuals or to teams of faculty (Interdisciplinary/Multidisciplinary Research Centers) in establishing basic research infrastructure.

GUIDELINES:

Sponsored Research division will put out a call for research proposals annually for grant of seed money.

5.1.1 Individual / Joint Proposals to be submitted through their respective Deans of the Schools to Dean – Sponsored Research Division.

5.1.2. All the Research Proposals submitted by the faculty Call for grant for seed money will be put forward to Expert Committee constituted by Dean – Sponsored Research comprising of external experts in various disciplines in which these proposals have come. This committee will first review the proposal in written form and based on their recommendations there will be an opportunity given to the proposers to clarify the comments of the experts through presentation.

5.1.3. Based on the above process the proposals will be shortlisted. These shortlisted proposals would be put forward to the Seed Grant Committee consisting of School Dean, Dean - Sponsored Research and Vice Chancellor.

5.1.4. Following the Approval, a sanction letter indicating the title of the project, name of the Principal Investigators (PI and Co-PIs), Duration of the Project, Sanctioned Amount and date of commencement will be issued by R&D Division to all the successful applicants.

5.1.5. PI should acknowledge the receipt of the Sanction letter mentioning the actual date of Commencement of the Project and the budget outlay for this financial year. The PI and Co PIs will sign an undertaking that they will not leave the employment of the University prior to completion of the sanctioned project.

5.1.6. The procedure in procuring capital equipment and raw materials / Labor Charges/Transportation will be as per the Regular Purchase rules of the Presidency University. PI shall comply with these policies and will coordinate with concerned officers.

5.1.7. PI and his/her team are collectively responsible in achieving the proposed objectives of the sanctioned Project within the stipulated period of the Project.

5.1.8. PI and his/her team should be present for bi-annual Project Review meetings chaired by Dean – Sponsored Research without fail and ensure that the recommendations of the Expert members are incorporated. Review meetings are held twice a year and the schedule of the Review meetings shall be conveyed by the Office of Sponsored Research to all the Concerned PIs two weeks ahead of the meeting dates.

5.1.9. Dean - Sponsored Research along with the duly constituted committee shall judge whether the outcomes of the Project are patentable or publishable in peer reviewed journals/conferences. In case it is Patentable, the University shall assist the PI and

his/her team in filing the required patent in the name of Presidency University with PI as the Patent Investigator.

- 5.1.10. In the event of PI going on long leave, Co-PI shall be responsible for completing the project. In case, Co-PI does not exist for the concerned Project, the Dean – Sponsored Research in consultation with respective School Deans will be responsible in identifying the authorized and competent Co-PI.
- 5.1.11. The equipment procured under the sanctioned projects will be the property of PU. It is the responsibility of the PI to ensure that all the details of procured equipment/raw materials are recorded in the Stock registry of the concerned Research Centre. In future this equipment is open to be used by any researcher at the University.
- 5.1.12. PI should submit three copies of the yearly Progress report to Dean - Sponsored Research in the standard format as issued by R&D Division along with Expenditure statement duly signed by FO.
- 5.1.13. Five Copies of the project report on completion should be submitted by the PI to the office of Sponsored Research Division in the standard format.
- 5.1.14. The Dean - Sponsored Research reserves the right to terminate the project in the event of PI and his/her team not working on the proposed deliverables/ unsatisfactory progress/ lack of commitment in meeting the project schedule/ not implementing recommendations of the Review Experts or under some unforeseen circumstances.
- 5.1.15. The seed grant money provision would be available to faculty members only once during their tenure at the University. Further, under any circumstances, if the project of a faculty member is terminated, he/she will lose the opportunity to seek the grant again.

5.2 EXTERNAL FUNDING TO BE RAISED BY FACULTY MEMBERS

Faculty members are encouraged and supported to apply for Research Grants/Infrastructure to various funding agencies of both Government and Private Organizations. He / She shall take utmost care in preparing a funding budget with clear understanding that the project will not burden the University. They should also include adequate overheads for utilizing shared services/resources of the University.

GUIDELINES:

- 5.2.1. Faculty members from the University can formulate the research project proposals in their area of expertise. An Individual Project Proposal shall have one Principal Investigator (PI), preferably with one Co-PI. However, in the case of multi/inter disciplinary field of research, a maximum of three Co-PIs is permitted. Emphasis shall be on Inter/multi-disciplinary research to achieve Innovations through research.
- 5.2.2. All the Research Proposals should be forwarded through School Deans to Dean - Sponsored Research well in time to meet the deadline of the funding agency. The office of Sponsored Research will examine these proposals and suggest modifications, if required, and conformance to the stipulated conditions of the funding bodies.

- 5.2.3. Upon approval of Dean - Sponsored Research, the PI will forward the proposal under the aegis of Presidency University to the funding agency. A copy of the submitted proposal is to be submitted to the School Dean and Dean - Sponsored Research for records without fail.
- 5.2.4. The PI shall intimate the sanction/ rejection of the submitted proposals to the Dean - Sponsored Research.
- 5.2.5. PI is authorized and responsible for all the financial transactions complying with fiscal norms of the University. He/she shall submit a Copy of the Annual Audited financial statement and Utilization Certificate duly certified by FO to the R&D Division.
- 5.2.6. In case the research funding provides funds for appointment of temporary project staff (JRF, SRF, Research Assistants, Lab Assistants etc.) the PI in consultation with Dean - Sponsored Research shall have the right to appoint such staff conforming to the HR policy of the University.
- 5.2.7. PI shall get a copy of the Annual Progress report duly approved by Dean - Sponsored Research before submitting to the funding agencies.
- 5.2.8. PI shall submit the Progress reports duly approved by the Dean - Sponsored Research as and when due to the Funding agencies and attend all the Review Meetings without fail.
- 5.2.9. PI shall constitute a Purchase Committee as per the norms of the University to oversee the purchases of equipment/Items as listed in the sanctioned letter of the Project Proposal.
- 5.2.10. PI is responsible for installation and maintenance of all the equipment procured under the sanctioned grants in a place allotted by the University to operate the respective Research Centers. He / She shall put in place mandatorily all the necessary safety and environmental protection measures to safeguard all the users from any accidents.
- 5.2.11. In case of survey Projects, PI shall have prior appropriate and necessary permissions by the concerned authorities.
- 5.2.12. PI is responsible for successful completion of the Sanctioned Projects within the allotted timeframe. He / She should submit a closure report duly approved by Dean - Sponsored Research in the standard format as suggested by the Funding agency.
- 5.2.13. In the event of PI unable to continue execution of the sanctioned project due to unforeseen reasons (resignation, ill-health, long leave), the designated Co-PI shall be the PI and will be responsible for the successful completion of the project.
- 5.2.14. PI and Co-PIs are encouraged to publish the outcomes of research of sanctioned projects in Refereed Journals/ Conferences after seeking the necessary permission from the funding agencies. In all the Publications, PI should acknowledge the funding agency as well as the University. Wherever, there is a possibility of Innovation in the outcomes, PI and Co-PI's should not publish the results but are encouraged to apply for patent(s).

5.2.15. In case there is a possibility of Co-owned Intellectual Property rights in the Sanctioned project, it is the responsibility of the PI to protect it by applying for Joint Patent in consultation with the concerned authorities of the funding agency. The University shall also have joint rights as a Patent Owner with PI and Co-PIs as Investigators.

5.2.16. PI is responsible for maintaining the data/records of observations/Trials/ Experiments/Simulation results, Action Plan records, Audited Financial Statements, Problems faced, Progress reports and Closure Reports with due professional ethics.

5.3. GRANT TOWARDS ANALYTICAL AND TESTING CHARGES

Faculty/Researchers are encouraged to publish their Research Articles in High Impact Journals and the University provides financial support for the expenses made towards analytical charges/ experiments / tests done from outside the Institute.

5.3.1. This financial support shall be applicable to the first author of the article only and the grant amount will be based on the Quartile of the Journal in which the article was published.

Quartile of the Journal	Maximum allowed amount in Rs.
Q1	15,000
Q2	10,000
Q3	5,000

5.3.2. Invoice for the Analytical / Testing / Characterization must be obtained in the name of the Institution.

5.3.3. Article / Paper must be published in SCI Indexed journal showing affiliation to Presidency University.

5.3.4. Results of the Testing /Characterization should reflect in the published article, and it is mandatory to acknowledge the Presidency University, Bengaluru for the support.

5.3.5. Request must be submitted by the first author through their respective reporting head to office of the Dean – Sponsored Research with a Reprint of the published Paper/ Article, Original Invoice (with email communication, if the invoice is sent by email), Copies of Characterization / Testing results and proof of Impact Factor.

6. INCENTIVES FOR FACULTY MEMBERS TO ACCELERATE RESEARCH PROMOTION

To retain and motivate the faculty to contribute towards achieving the University research goals, the following incentives are awarded to eligible members:

6.1. Incentive for External Grants for Research/Establishment of Research Centers

To encourage the faculty to actively participate in applying for research grants from outside agencies, it is proposed that a faculty succeeding in getting the grants shall be entitled for the following incentives. PI of sanctioned Project shall get an appropriate incentive based on the size of the sanctioned project. These incentives would be payable in parts over the course of the project. (Refer Annexure – I).

6.2. Incentive for Journal Publications/Books/Book Chapters:

The disbursement of the incentives described below shall be prerogative of a committee constituting of VC, Respective School Dean and Dean - Sponsored Research, after ascertaining the reputation of the journal and publishers. **(Refer Annexure – I)**. The Affiliation details must be clearly mentioned as **"Presidency University, Bengaluru"**.

- Faculty members publishing papers in peer reviewed Journals.
- Faculty members contributing a Book Chapter in Books published by reputed National/ International Publishing House.
- Faculty members publishing full Book with reputed National/International Publisher.
- Faculty members publishing the Patent with Presidency University Bengaluru as applicant, shall receive an incentive.

6.3. Incentive / Financial Support for Conference Publications / Technical Chairperson / Speaker:

To ensure dissemination of research activities in the right forum, to create networking opportunities for collaborations, to be abreast with the latest developments in cutting edge technologies and domains, faculty are encouraged to attend Conferences, Seminars, Workshops, Faculty Development Programme organized by reputed National and International Scientific/Academic/Research/Professional Bodies.

National Events

The Faculty Members may attend Inter-State, National, International Conferences, Government organized Events of repute relevant only to their domain of expertise held in India for which the following support will be rendered:

- a. Registration Fee (reimbursement up to a maximum of **Rs.5000/-** per event)
- b. Travel Allowance (as per TA/DA Policy)

International Events (outside India)

- a. Travel Allowance (as per TA/DA policy- Shortest Route to and Fro Economy Airfare)

Note: All other Expenses shall be borne by the Faculty Member.

6.3.1. The nominations of the faculty members to the conference / seminars/ FDPs as duly approved by School Deans under the faculty incentives scheme shall be the prerogative of a committee constituting of VC, Dean Academics and Dean - Sponsored Research, after ascertaining the reputation of the organizers and quality of the events.

6.3.2. Faculty are encouraged to seek financial assistance for Travel and Registration from Funding Agencies like DST, AICTE, CSIR for Conferences within India and Abroad specially under policies which promote young faculty researchers.

6.3.3. Annual grants as applicable to an Academic Year from August to July are as under:

- a. Professor is entitled for a maximum of **Rs.1,00,000/-**
- b. Associate Professor is entitled for a maximum of **Rs.75,000/-**
- c. Assistant Professor is entitled for a maximum of **Rs.60,000/-**

6.3.4. Application for the Participation needs to be forwarded by the School Dean. Faculty members should submit the following documents for seeking approval prior to attending the Event:

- a. Acceptance Letter and Event Brochure
- b. Copy of Abstract with Full Paper (Accepted Version)
- c. Invitation Letter
- d. Estimated Expenditure

6.3.5. Faculty members can attend not more than Four Events in one Financial Year.

6.3.6. A sanction letter from the office of the Dean - Sponsored Research shall be issued to the successful applicant.

6.3.7. After the event, the following documents are to be furnished by the faculty participant to the office of the Dean - Sponsored Research routed through the School Dean. A copy of the following documents authorized by the Dean and HRD needs to be submitted to the Finance Department for final settlement of accounts:

- a. Travel Documents
- b. Proof of participation
- c. Proof of Expenditure
- d. Brief Report on the event Attended and Benefits to Department/School/University verified and authorized by the Dean, Sponsored Research.

6.4 Incentive for Conference Proceedings

The Faculty Members are encouraged to get their papers published through conference proceedings which should have either ISBN or ISSN Number to receive the incentive.

6.5. Incentive for Publication/Award/Grant of Patent

The Faculty Members employed with Presidency University are encouraged to file for Patents which provides identification and recognition of the innovative research work leading to a novel Process/Product/Technology, as well as to ensure protection of Intellectual Property Right (IPR).

GUIDELINES

6.5.1. The Patent should be in the name of the University and the Faculty should be employed at the University.

6.5.2. Prior permission needs to be taken by the faculty, from the University, before filing a Patent.

6.5.3. Faculty will be extended Financial Assistance up to a maximum of Rs.50,000 towards both Patent Filing Fee and Patent Examination Fee post-submission, to the Dean - Sponsored Research, of all the relevant Patent-related documents.

6.5.4. Preference shall be given to those Patents having potential for Commercial adoption.

6.5.5. Incentives shall be given on the basis of patent filing acceptance and viability.

6.5.6. Stage-wise Incentives of the Patenting Process, leading to the Publication and Award thereof, shall be applicable as per Annexure – 1.

6.5.7. In the event of commercialization of the said Patent, the Faculty Inventor is entitled to receive 60% of the Profit and the remaining 40% shall be processed in the name of the University.

6.6. Incentive for Best Paper Award in Conferences of other Universities / Institutions

The Faculty Members are encouraged to participate in National/International Conferences organized by other Universities/Institutions and attempt to win the Best Paper Award in such conferences. The first author shall be eligible for the Incentive.

7. SABBATICAL LEAVE

As per the HR leave policy, the University encourages faculty to take up Overseas Sabbatical assignments in Universities and Industries, National/International. This is to promote Global exposure to faculty/researchers and to enhance their research and teaching capabilities.

8. ACADEMIC LEAVE

The HR Leave policy encourages Faculty members through the provision of Academic Leave for a maximum of 15 days in a year to enable them to disseminate his/her research activities, to promote networking opportunities and staying abreast of knowhow in their domain by attending/presenting research papers in conferences/seminars. The eligible faculty members interested in availing the Academic Leave should route his/her application recommended by the School Dean well in advance of at least Two Weeks prior to the event schedule so as to ensure their travel does not affect the smooth functioning of Academics.

9. FACULTY APPRAISAL AND DEVELOPMENT SYSTEM (FADS)

The University has an appraisal system in place to encourage and reward Faculty members to contribute towards research and consultancy activities as detailed in the FADS Policy.

10. RESEARCH SUPPORT FOR STUDENTS

To inculcate the spirit of research amongst all the UG / PG students and to encourage them to get involved in the research activities of the University, the "**Student Research Experience**" (SRE) scheme shall be extended to all prospective student researchers.

10.1. To be eligible he/she shall have a minimum CGPA of 7.0.

10.2. Students desirous of opting for this scheme should apply through Research mentors of the Departments/School either in even or odd semester to the office of Dean, Sponsored Research. The application should mention the Research topic and Specific Outcomes.

10.3. The Research Interns shall work closely with their research mentors and publish their research outputs in reputable Seminars/Conferences.

10.4. The SRE consists of following set of activities:

- a. Assisting the PI or Co-PI who has an internally or externally funded project in terms

of data collection, data analysis, conduct of experiments and report writing. Based on the judgment of the PI, he/she will be given a token stipend. These research experiences will run concurrently with the academic semester. However, the onus is on the students to work on these assignments outside of class timetable.

- b. Summer Research Experience: In this case, interested students may spend an entire summer with the research centers or within the university or outside the university and undergo such research activities.
- c. Selected students who have potential to go for higher education may also opt for research internship in which case an internship amount of Rs.5000/- for their internship period of Eight Weeks.

10.5. A report highlighting the research experience during the course of any of above three research activities should be submitted to the office Dean - Sponsored Research, duly forwarded by the Research mentor.

11. RESEARCH SUPPORT FOR PH.D. SCHOLARS.

Research scholars are encouraged to keep abreast with the latest know how of research knowledge and dissemination of their research outcomes by attending workshops/seminars/symposium /conferences at National and International levels.

11.1. Full Time Research Scholars are entitled for a contingency grant of **Rs.10,000** per annum as per the University Research Fellowship based on recommendation by the Research Supervisor and School Dean, approved by Dean – Research & Development (Academic Research).

11.2. Research Scholars should submit the following documents for seeking approval prior to attending the Event.

- i. Acceptance Letter and Event Brochure
- ii. Copy of Abstract with Full Paper (Accepted Version)
- iii. Invitation Letter
- iv. Estimated Expenditure
- v. A sanction letter from the Dean – Research & Development, Academic Research (Dean – R&D, AR) shall be issued to the successful applicant.

11.3. After the event, the following documents need to be furnished by the research scholar to the office of Dean – Research & Development (Academic Research) routed through School Dean. To receive the financial grant, the following documents should be submitted to the Finance Officer of PU authorized by the Dean – R&D (AR):

- i. Travel Documents
- ii. Proof of participation
- iii. Proof of Expenditure
- iv. Brief Report on the event Attended and Benefits to Department/School/University.

12. RESEARCH INCENTIVES FOR POST-DOCTORAL RESEARCH FELLOWS:

To encourage young Ph.Ds. to continue their research work and to strengthen the R&D efforts of the Faculty in contributing to the research activities, the university will provide Post-Doctoral Fellowship in specific domains. The guidelines for the award of Post-Doctoral fellowship will be announced from time to time.

13. SUBSCRIPTION TO PROFESSIONAL BODIES:

The University believes that Employees should be given opportunities to create networking bases for future collaborations and to be kept abreast with the latest developments in cutting edge technologies and domains. In this direction, the University perceives a need to encourage Members of the Academic Fraternity to subscribe to at least one Professional Body, which is related to their respective Domain-expertise.

GUIDELINES:

- 13.1. The University will compensate the Employee for Professional Subscription or Membership Fee where it is deemed necessary for the discharge of their respective roles in the University or is a pre-requisite to help them enhance the quality of Academic/ Research-pursuits.
- 13.2. The Faculty Members are entitled to a maximum of two subscriptions to Professional Bodies which have advisory or regulatory control over the domain or discipline in which the eligible Employee is teaching or whose professional qualification and nature of work is relevant to the Professional Body to which Membership/Subscription is sought.
- 13.3. The Professional Body shall be on the Approved List of Professional Bodies as published by the University. Where the Professional Body is not on the Approved List of the University, a special recommendation can be taken from the Dean – Sponsored Research and HR, on a case-to-case basis. Such request and approval will be routed through the Offices of the respective Dean of the School to which the Eligible Employee is attached and that of the Vice Chancellor.
- 13.4. The Subscription / Membership Fee shall not exceed **Rs. 5,000/-** per year. In circumstances where the duties of the Position in the Organization span more than one professional discipline and are not covered by the existing subscriptions or where membership of other respected and reputed Associations/Bodies will add value to the University, the Dean – Sponsored Research and HR may recommend the necessary grant, for final approval from the Vice Chancellor.
- 13.5. The Fee once approved can, on the advice of the Vice Chancellor, be either paid as Institutional Membership or reimbursement can be claimed, by the Employee, post payment from his/her personal account, as evidenced by a valid Receipt from the relevant Professional Body.
- 13.6. To be eligible for reimbursement, Employee must demonstrate some form of correlation between the use of the Professional-body Membership and the Performance of the Duties or for Higher Learning or Career-enhancement purpose like completing a professional qualification, publishing papers, inclination to act as a Mentor to Junior Faculty who are working towards full membership of the applicable Institution or such membership is essential to carrying out the duties of the position.

14. CONSULTANCY PROJECTS:

The Faculty Members are encouraged to collaborate with External Agencies/ Organizations with an objective to utilize their expertise in their respective domain towards offering Consultancy-services, Projects dealing with Process Improvement, Policy Formulation etc which will help the Faculty Member gain repute in the industry for both, the Individual-self as well as for the University.

On successful collaboration and agreement through an MOU, the Faculty Member, serving as the Principal Consultant shall be entitled to the incentives as per the guidelines provided by the Consultancy Policy of the University.

15. RESEARCH ETHICS

Achieving the highest ethical standards is integral to the entire concept of Research promotion and advancement. In this direction, the University aims at Zero Tolerance towards plagiarism in research activities and their outcomes.

15.1. All the Faculty & students contributing to the research outcomes in terms of Paper/ Books/ Book Chapter/Patents Publications should strictly adhere to this principle of ethics with no compromise whatsoever at any stage in their professional career while on duty in the University.

15.2. The Committee shall meet twice in one Academic year. Constitution of the Research Ethics Committee shall be:

- i. Chairperson – Dean, Research & Development
- ii. Member- One Member outside the University to be nominated by the Chairperson.
- iii. Member- Pro-Vice Chancellor- Academics / CoE
- iv. Member- Dean, Sponsored Research Projects
- v. Member-Deputy Dean –Academics Research-Member
- vi. Member-Chief Librarian

15.3. Penalties in case of Plagiarism in submission of Thesis, Dissertation and Paper Manuscripts.

- i. Level 0: Similarities up to 12% -Minor Similarities, no penalty
- ii. Level 1: Similarities above 12% -25%, such students shall be asked to submit a revised script within a stipulated time not exceeding 15 Days.
- iii. Level 2: Similarities above 25% - 40%, such student shall be debarred from submitting a revised script for a period of one month.
- iv. Level 3: Similarities above 40%, such student registration for that programme shall be cancelled.

15.4. If a student is found guilty of the Charges of Plagiarism, his/her Program registration may be cancelled.

15.5. If the Faculty is found guilty of the Charges of Plagiarism, his/her services may be terminated / withheld of Increments.

15.6. In the event of complaints against a faculty/student, the same will be referred to Research Ethics Committee constituted by the Chairperson, R&D. The decision of the Committee is final and is binding.

16. AMENDMENTS

This policy will be reviewed periodically to enhance our support towards Research, Development and Innovation pursuits and to incorporate feedback received from the stakeholders, impact analysis and deliberations of the Research Advisory constituted the University.

ANNEXURE-I

The Incentive Schemes for the various Research contributions are detailed below:

1.0 Incentive for Journal Publications

Sl. No.	Journal Quality	Single Author Incentive (Rs.)
1	Q1	35,000/-
2	Q2	25,000/-
3	Q3	15,000/-
4	Q4	10,000/-
5	Publication, if, indexed in Scopus / WoS / ABDC	5,000
6	Listed in UGC Care	3,000

Note: In the case of Co-Authors, 50% of the Single Author incentive is for the First Author and the remaining 50% will be shared equally among the Co-Authors.

2.0 Incentive for Book Chapter(s) Publication

Sl. No.	Book Publisher	Single Author Incentive (Rs.)
1	National	5,000/-
2	International	10,000/-

Note: In the case of Co-Authors, 50% of the Single Author incentive is for the First Author and the remaining 50% will be shared equally among the Co-Authors.

3.0 Incentive for Book Publication

Sl. No.	Book Publisher	Single Author Incentive (Rs.)
1	National	10,000/-
2	International	15,000/-

Note: In the case of Co- Authors, 50% of the Single Author incentive is for the First Author and the remaining 50% will be shared equally among the Co-Authors.

4.0 Incentive for Publication of Patent

An incentive of Rs. 10,000/- shall be awarded on publishing a Patent.

Note: In the case of Co-Inventors, 50% is for the First Inventor and remaining 50% will be shared equally among the Co-Inventors.

5.0 Incentive for Award/Grant of Patent

An incentive of Rs. 50,000/- shall be awarded on being awarded/granted the Patent.

Note: In the case of Co-Inventors, 50% is for the First Inventor and remaining 50% will be shared equally among the Co-Inventors.

6.0 Incentive for receiving External Grants for Research and Establishment of Research Centers

An incentive of 10% of the External Grant received by the Faculty Member shall be awarded to the Principal Investigator (PI).

Note: In the case of Co-PIs, 5% of the sanctioned grant is for the PI and the remaining 5% will be shared equally among the Co-PIs.

7.0 Incentives for Conference Proceedings

An incentive of Rs. **3000/-** Per Paper published through Conference Proceedings with ISBN/ISSN number

8.0 Incentive for Best Paper Award in Conferences of other Universities/Institutes

An incentive of **Rs. 10,000/-** for Best Paper Award in Conferences

Note: The corresponding Incentives are applicable only to the Employees/ Researchers of Presidency University.